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Using Common Vendors, Joint Approval Plans, and Shared Acquisitions Databases to Enhance Cooperative Collection Development

The Africana Collections at the Libraries of the University of North Carolina at Chapel Hill and Duke University

by Luke Swindler (Social Sciences Bibliographer, University of North Carolina) <swindler@email.unc.edu> and Terry B. Hill (Graduate Student, School of Information Science, University of North Carolina-Chapel Hill) <tbhill@email.unc.edu>

ABSTRACT: The University of North Carolina at Chapel Hill and Duke libraries recently pioneered the use of common vendors, joint approval plans, and shared acquisitions databases to expand their cooperative collection development programs for African Studies. These efforts have enhanced the possibilities for systematic and ad hoc cooperation, increased precision in coordinated acquisitions, provided greater assurance that each library is meeting its cooperative obligations, and improved the complementarities of their combined collections. By maximizing the number of specialized monographs collectively available and minimizing the chances of needed books not being held locally, the libraries provide better service to patrons and enhance institutional excellence.

The literature on cooperative collection development focuses on libraries and the publishing universe, with only passing mention of the role vendors can play. This omission is particularly striking in the case of area studies, where the problematic nature of publishing and the book trade in developing countries makes foreign vendors the critical nexus in the selection/acquisition processes. Major domestic vendors also are potentially key players in cooperation, because of their global coverage of English-language academic monographs published or distributed in the U.S. and U.K. and technological
capabilities. This article shows how librarians can make foreign and domestic vendors a central component in cooperative collection development and a vital element of success.

The libraries of the University of North Carolina at Chapel Hill and Duke University have been pioneers in cooperative collection development, with extant programs going back to the 1930s.\(^1\) Librarians learned early that the goals of successful cooperation are institutional excellence and better service to patrons, which are achieved by maximizing the number of titles available. In addition, they came to realize that agreements must be built on the principle of self-interest and based upon existing academic programs and collection strengths. Divisions of responsibility also have to take into account audience and level of use and, hence, work only for research materials. Moreover, faculty are most willing to support such efforts for interdisciplinary areas with area studies providing not only some of the best subject possibilities but also geographical opportunities to coordinate these efforts and, thereby, maximize benefits.

UNC and Duke also identified two major types of cooperation. Ad hoc approaches work for specific titles across the publishing, bibliographical, and format spectrum. Systematic approaches are appropriate for categories of materials where parent institutions have unique academic programs and/or the libraries have exceptional collection commitments. Librarians have used both successfully for African Studies.

English-language academic monographs, scholarly journals, microform sets, and Web-accessible databases for news and data produced in North America and Western Europe represent the core teaching and research resources for area studies in terms of quality, visibility, and use. The central importance of these publications limits them to ad hoc forms of cooperation, while their relatively high cost justifies the time spent on title-by-title selection.

Libraries supporting major programs in African Studies also need to acquire a range of indigenous publications. African imprints provide important cultural, economic, political, and social documentation. In addition, they fill lacunae in the standard academic literature and provide local perspectives for subjects covered elsewhere in the scholarly literature.\(^2\) At the same time, precisely because books published in Africa do not represent core materials at American institutions, they lend themselves to systematic divisions of cooperative collection development responsibility based on broad categories.

Libraries at UNC and Duke developed cooperative programs for Africana when the latter’s parent university created a Commonwealth Studies Center nearly a half-century ago. Duke naturally began to build research collections on the anglophone countries of the continent. UNC therefore complemented these efforts by assuming the primary collecting responsibility for the other institution to acquire materials selectively from and about that country. Collectively the two libraries left no publishing market uncovered in terms of in-depth acquisitions. This joint profile also recognizes pre-existing instances where strong or unique academic programs and concomitant library collection emphases resulted in UNC or Duke making a primary subject collection development commitment that transcended country divisions.

By using the same vendor for a specified universe of publications and ad hoc approaches to divide responsibility for their acquisitions from Africa systematically, UNC and Duke know exactly what the other library is obtaining. This arrangement maximizes the chances that all subjects and countries are covered appropriately to support their combined programmatic and user needs and ensures no topic of common interest falls through the cracks. The two libraries keep duplicative acquisitions to an absolute minimum. Finally, they achieve all these benefits without spending one cent more than they would or could have otherwise.

Librarians at UNC and Duke also use a common dealer and shared vendor database to create more possibilities for ad hoc coordinated acquisition of books published or distributed in the U.S. and the U.K., including, of course, those related to African Studies. This process was more gradual and followed from the broader decisions of the separately-administered librarians at UNC, Duke, and their consortial library partners, North Carolina State University, to use the same vendor, in this instance, Yankee Book Peddler, to supply nearly all books published or distributed in the U.S. and U.K.

As in the case with Hogarth/Meabooks for African imprints, YBP as a domestic vendor is a good choice because of its comprehensive coverage of new books published. Whenever libraries choose vendors that cover the largest number of publishers, they provide the greatest number of possibilities for cooperative acquisitions. In addition to profiling more books published or distributed in the U.S. than any other dealer, YBP’s U.K. and Australian subsidiaries and its inclusion of key English-language publishers along the western Pacific Rim and elsewhere provide the global academic book coverage needed. This broad scope, in turn, integrates maximally relevant foreign imprints into core domestic collection development programs.

YBP’s Gobi 2 also turned out to be an excellent choice for enhancing ad hoc cooperation because its acquisitions database includes both titles and staff profiles as well as all orders from any of its customers. This shared database allows librarians to see what all libraries on a given campus and within their self-defined consortium have been sent on approval, have ordered, have received as notification slips, or...
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have selected online for possible later purchase. The database indicates actions taken in real time, using YBP further increases the potential for coordination by providing selectors with this information close to the time a book is published or becomes available for acquisition.

This domestic vendor decision resulted in creating a common universe of English-language monographs that encompassed relevant domestic and foreign publishers in the emerging global English-language academic book market, while dramatically reducing the time and effort required to find out what consortial partners were doing. The shared database also indicates decisions as soon as libraries or YBP takes any action. Such timely knowledge, coupled with the use of email and the quick responses this medium typically gets, allows the Africanista selectors at UNC and Duke to coordinate cooperative collection development for specialized or expensive purchases down to the level of individual titles on a comprehensive and ongoing basis. The UNC Africanista bibliographer selects YBP titles online from its Gobiz database. By checking the consortial status box to the right of the bibliographical record he can review at a glance what actions have been taken by selectors at Duke and NCSU.

Using the same vendor for English-language books published or distributed in the U.S. and U.K. also has resulted in extending ad hoc cooperative collection development for Africana beyond the formal agreements between the main libraries at UNC and Duke. The Africanista bibliographers can now easily see what the branches and separately administered health science and law libraries on both of their campuses are acquiring as soon as an action is registered in YBP's database. Although NCSU is not part of this cooperative program, its acquisitions can also be considered when the UNC and Duke Africanista bibliographers make their selection decisions. This de facto expansion of the libraries involved has further decreased unneeded duplication and, through such dollar savings, has correspondingly increased the number of unique titles available to users within the local consortium.

In conclusion, these vendor-based programs at UNC and Duke have enhanced the possibilities for systematic and ad hoc cooperation, increased precision in coordinated acquisitions, and improved the complementarities of their combined collections. By that process, they have provided greater assurance that each library is meeting its cooperative obligations. By maximizing the number of specialized monographs collectively available and minimizing the chances of needed books not being held locally, the libraries provide better service to patrons and enhance institutional excellence.

Endnotes


3. The overview section on the UNC-Chapel Hill Libraries' Website located at http://www.lib.unc.edu/cdill/inter/afri/overview.html provides a list and map of the divisions of primary responsibility by African countries in general and a copy of the current joint approval plan profile for African publications specifically.

4. Although the focus of this article is on sub-Saharan (black) Africa, UNC and Duke libraries built a consensus in the mid-1990’s of a joint approval plan with the Library of Congress regional acquisition offices for South Asia. They subsequently decided to use the LC Cairo Office as their primary vendor for North (Arab) Africa. They wrote complementary profiles for this part of the continent in terms of subjects and levels of coverage in order to create cooperative collections of African imprints for their nascent Middle Eastern Studies programs. Incidentally, this joint approval plan for South Asia, which includes nearly North Carolina State University, represented the first and remains the only such one with the LC regional acquisition offices.


6. While this article centers on the experience of research institutions using vendors to enhance ad hoc cooperation, such approaches are potentially relevant to all libraries. See, for example, Rob Kaits, “Tools for Small Colleges: Using Yankee Book Peddler to Facilitate Cooperative Collection Development,” Library Collections, Acquisitions & Technical Services 27 (2003), p.173-78.

Preparing for the Future: Strategic Planning and Leadership in Special Collections

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African-American collections at Historically Black Colleges and Universities (HBCUs), in spite of a longstanding history of limited and unequal funding, were birthed, survived, some might even say blossomed, in the adverse climate of nineteenth-century Jim Crow. Donations from prominent bibliophiles provided the core of these nascent African-American collections. Some special collections, including those at Howard Fisk, Tuskegee, and Hampton, stand as a tribute to the library leaders of the past and their dogged determination to chronicle the notable accomplishments of people of African descent. The work of Dr. Dorothy Porter Wesley (1905-1995) and her contemporaries (Waller, 2003, p.17) are evidence of exemplary collection development. According to Charles L. Blockson, curator of the Charles L. Blockson Collection, “Dr. Wesley transformed Howard's collection of black culture into an internationally known treasure.” Thanks to the effort of these early bibliophiles and library pioneers, African-American Collections at HBCUs remain a national, in some cases international, treasure.

In the twenty first century, information professionals will be challenged to answer persistent questions regarding these special collections; 1) What role, if any, will the African-American collection play in advancing continued on page 32

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