

# Analytical and Quantitative Aspects of Surface Moisture Transport and Plastic Shrinkage Cracking

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## ABSTRACT

*The drying rate of porous materials such as hydrating cement paste during early hydration stages is studied using analytical and experimental procedures. Effects of micro and macro fibers as they change the nature of restrained shrinkage cracking are also documented. A methodology based on vacuum drying experiments is developed to measure the rate of evaporation from the surface of fresh paste and mortar mixtures that leads to restrained shrinkage cracking. Stages of microcrack coalescence due to plastic shrinkage cracking are quantitatively analyzed using digital image correlation. A model for internal moisture transfer simulates initial constant drying rate followed by a vapor diffusion transport phenomena.*

*A fluid mechanics approach for water evaporation from the boundary-layer in terms of mass transfer, diffusion, and convection is used. Effect of temperature, wind speed, and relative humidity are studied. Results of these two experiments are then integrated with an analytical approach for the restrained ring specimen which correlates the moisture diffusion in the specimen with the rate of evaporation and shrinkage strain. The model incorporates key influential parameters of diffusion, evaporation, shrinkage, creep, aging, and microcracking, in the stress analysis of a restrained concrete section. The formulation addresses the effect of geometry of the specimen, the humidity and shrinkage conditions, and the restraint offered by stiffness of the steel ring. Finally the modelling is extended to simulate a slab on ground and predict multiple transverse cracking as well as slab curling using a finite difference model.*

**Keywords:** restrained shrinkage, cracking, drying, diffusion, moisture transport, modeling

## INTRODUCTION

Surface of freshly-placed concrete when restrained and exposed to drying conditions is susceptible to early age plastic shrinkage cracks. Guidelines for durable concrete therefore require addressing of crack widths. Passageways such as cracks and joints lead to eventual transport of ions and removal of barriers that prevent infiltration of harmful ions. Early age properties such as shrinkage and thermal dimensional changes are key parameters in reducing the potential long-term durability in structural design.

Even at relatively low volumes, fibers are effective in controlling the cracking of plastic concrete (ACI Committee 224, 2007; ACI Committee 302, 2004) by

increasing the strength and strain capacity during early ages and minimize the potential for cracking due to the tensile stresses generated by the shrinkage (ACI Committee 544, 2010). Fibers inhibit crack propagation by providing bridging forces across the crack surfaces (Grzybowski and Shah, 1990; Kim et al., 2008). They also indirectly influence plastic shrinkage cracking and the evaporation rate by increasing crack density and reducing their width.

Initiated by high rate of water evaporation from the exposed surface (Banthia and Gupta, 2009; Cohen et al., 1990; Mora-Ruacho et al., 2009, p.) plastic shrinkage cracks reduce load carrying capacity, allow ingress of aggressive agents, increase maintenance costs, and reduce service life (Kwon et al., 2009; Mu

and Forth, 2009; Yoon et al., 2007). From a diagnostic point of view, we have primarily used the well-known Menzel formula, developed based on water evaporation rate from the surface a lake Hefner, for more than 60 years ago. There is a need to employ scientific approaches that rely on key mechanisms and allow for mix design parameters to be included in the solution strategy.

Effective prevention techniques rely on prevention of water loss, thus the mechanisms of evaporation from the surface given the moisture transport phenomenon is essential in effective control of plastic shrinkage cracks (Banthia et al., 1993; Banthia and Yan, 2000; Grzybowski and Shah, 1990; Qi et al., 2003). During the drying phase, moisture flows through the porous material towards the evaporative surface to supply the necessary surface flux. Diffusion of water through the boundary layer to the surface maintains the equilibrium of liquid-gas phase transition (Arya, 2001; Brighton, 1985; Brutsaert, 1965; Huang, 1997; Prata and Sparrow, 1985; Sultan et al., 2005). The interaction of unsaturated flow within pores and surface evaporation rate can be used to measure moisture diffusivity (Carmeliet et al., 2004; Janz, 1997).

Key factors of plastic shrinkage potential include wind velocity and temperature as they correlate with surface conditions of concrete and its rate of drying. This rate can be obtained from the moisture movement due to the porosity and capillary porosity of the freshly poured mix and allows for determination of degree of potential for bleeding/cracking. The interaction of bleeding and evaporation are modelled by means of nonlinear fluid mechanics, gas transport to the surface and the subsequent evaporation.

### Low Pressure Drying Experiments

Effect of fibers on the moisture loss and evaporation rate were studied by Bakhshi and Mobasher using low-pressure drying tests as shown in Figure 1 to study the shrinkage cracking of fresh cement paste (Dey et al., 2015). This test method uses a low-pressure vacuum to monitor weight-loss and mass transfer properties during the early drying stages while the development of crack patterns during drying is documented using time-lapse photography. Reduction of crack areal fraction due to increase in the fiber content can be quantitatively measured. Rate of evaporation, weight loss, surface cracking, and mass transfer coefficients are obtained using analytical methods by relating moisture diffusivity to the effect of fibers on apparent diffusion coefficients. As shown in Figures 2(a) and 2(b), moisture evaporation rate is simulated using a dual-stage drying model and moisture diffusivity constants representing liquid and vapor phases (Dey Vikram et al., 2016).

Figure 2 relates the temporal cumulative moisture loss and evaporation flux (Dey Vikram et al., 2016). Drying in Stage I is a continuous liquid phase within the pore structure, while Stage II describes a discontinuous liquid-continuous vapor phase within the pore structure. Moisture removal in Stage II is dominated by diffusion coefficient of the internal microstructure, such that the evaporation rate reduces and moisture content decreases below saturation. Shrinkage cracks occur when the biaxial tension shrinkage stresses exceed the uniaxial tensile strength.

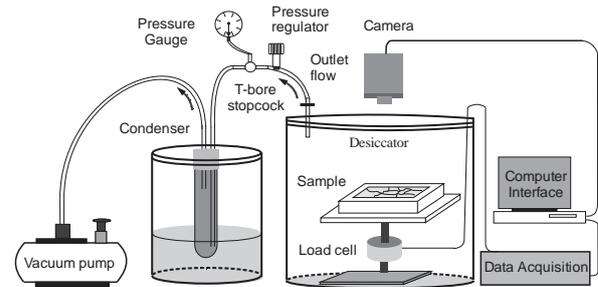


Figure 1. Schematic of vacuum drying test setup

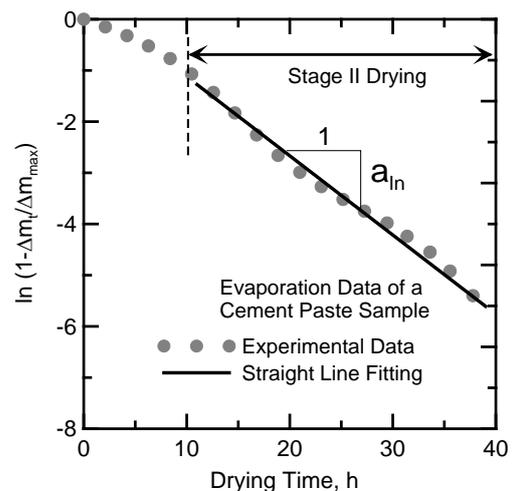
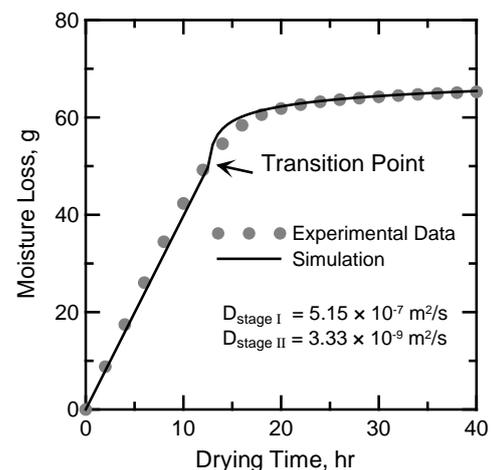


Figure 2. (a) Experimental moisture loss and its Simulation for cement paste (b) Derivation of model coefficients for linearized curve of moisture loss  $\ln(1 - \Delta M_t / \Delta M_{max})$  vs. time in stage II.

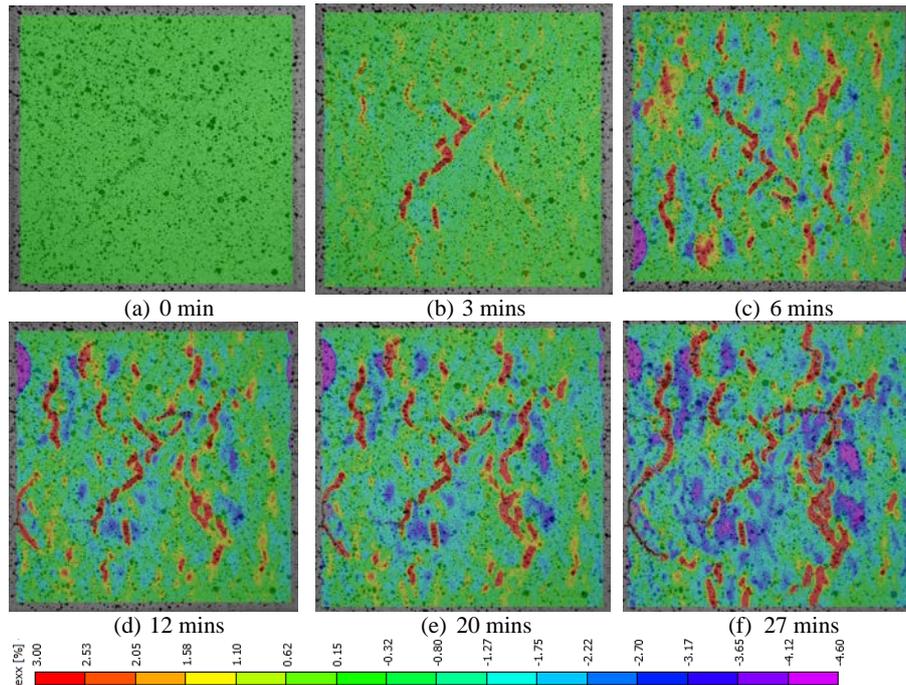


Figure 3: Sequential growth of shrinkage cracks observed in an alkali activated slag specimen within the first hour of the test (Dey et al., 2015).

Fig. 3 shows the surface deformation and associated strain distribution. In addition, maximum crack width was significantly reduced (approximately 50-70%) in comparison to control samples. Map cracking on the surface and its morphology are shown.

Comparison of micro & macro wollastonite micro-fibers with the control matrix as shown in Figure 4. The time history of total cracked area during the experiment shows crack initiation within 2 hours and crack saturation within 5 hours. Progression of cracks is much faster in the control specimen and addition of coarse (C850 and C2000, representing mean fiber length in microns) and fine grained (F33, F55) wollastonite microfibers both the rate and extent of the crack area are reduced. Use of fine grained fibers result in the lowest crack formation rate (defined as crack area change per unit time), an order of magnitude lower rate than control paste. Relationship between crack area and cumulative moisture loss is expressed as a linear function as shown in Figure 4.b.

### Fluid Mechanics-Based Estimation of Evaporation Rate

Using a fluid mechanics approach, the evaporation rate is modelled with four main input parameters of temperature, RH, wind velocity, and moisture diffusion rate. The analytical model is based on two coupled mechanisms and integrates boundary layer theory with diffusion and convection mass transfer (Bakhshi M. et al., 2012). The first set of equations address the mass transfer and diffusion of water vapor from the

pore to the surface in order to maintain a finite thickness boundary layer.

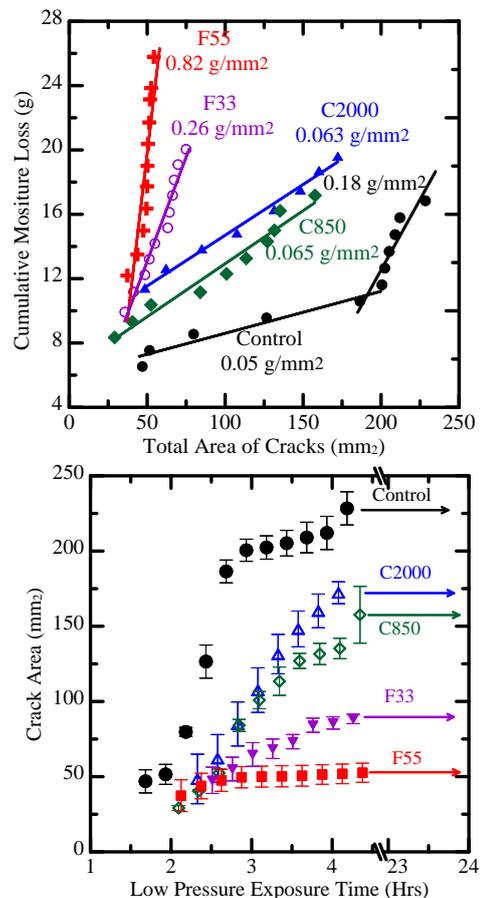


Figure 4 – a) Effect of wollastonite micro-reinforcement in crack formation, b) Correlation of moisture loss and crack morphology

The second component is the convection, primarily due to temperature and wind at the boundary layer. The model validation is by predicting the evaporation rate during the stage I, from a smooth isothermal flat plate with an external forced convection mass transfer is then coupled with the internal diffusion as shown in Figures 5(a) and 5(b). The total mass flux of water vapor due to evaporation ( $E$ ) is calculated from the evaporation equation, i.e. a product of the water vapor concentration gradient near the evaporation surface defined as  $(c_s - c_e)$  as primary driving force and average mass-transfer coefficient ( $\overline{h_m}$ ).

$$E = (c_s - c_e) \overline{h_m}$$

$$c_s = M \cdot e_0 / (RT) \quad \text{and} \quad c_e = M \cdot e_a / (RT)$$

where  $c_s$  is the water vapor concentration of the surface bleed water, or saturated water vapor concentration ( $\text{kg/m}^3$ ) and  $c_e$  is the water vapor concentration in the air sufficiently far from the surface.  $M$  is the molar mass of the water ( $\text{kg/mol}$ ),  $R$  is the universal gas constant ( $\text{m}^3 \cdot \text{Pa} / \text{mol} \cdot \text{K}$ ), and  $T$  is the absolute temperature ( $\text{K}$ ). Parameters  $e_0$  and  $e_a$  are the saturation water vapor pressure in the air immediately over the concrete surface, and water vapor pressure in the air surrounding the concrete (Pa), and analogous to the equation developed by Uno (Uno, 1998). The average mass-transfer coefficient ( $\overline{h_m}$ ) is calculated from the diffusivity  $D$  ( $1/\text{m}^2\text{s}$ ), and the characteristic length in the direction of the air flow,  $L$  (m) and represented as:

$$\overline{h_m} = \frac{\overline{Sh_L} D}{L}$$

$$\overline{Sh_L} = 0.6774 \text{Re}_L^{1/2} \text{Sc}^{1/3} \left[ 1 + \left( \frac{0.0468}{\text{Sc}} \right)^{2/3} \right]^{-1/4} \quad \text{Re}_L < \text{Re}_{crit}$$

$$\overline{Sh_L} = 0.6774 \text{Re}_{crit}^{1/2} \text{Sc}^{1/3} \left[ 1 + \left( \frac{0.0468}{\text{Sc}} \right)^{2/3} \right]^{-1/4} +$$

$$0.037 \text{Sc}^{1/3} (\text{Re}_L^{0.8} - \text{Re}_{crit}^{0.8}) \quad \text{Re}_L > \text{Re}_{crit}$$

The characteristic length ( $L$ ) depends on the geometry. For example, for a flat plate,  $L$  is the distance from the leading edge or the length of slab in the direction of wind. Sherwood number ( $\overline{Sh_L}$ ) as a function of Reynolds ( $\text{Re}_L$ ) and Schmidt ( $\text{Sc}$ ) numbers, and represents combined effects of the wind velocity and temperature, or alternatively the ratio of the convective mass transfer to the rate of diffusive mass transport. Schmidt number ( $\text{Sc}$ ) represents the ratio of momentum diffusivity (kinematic viscosity) and mass diffusivity, and is used to characterize fluid flows in which there are simultaneous momentum and mass diffusion convection processes.  $\text{Re}_{crit}$  represents the critical Reynolds number for the flow over a flat water surface.

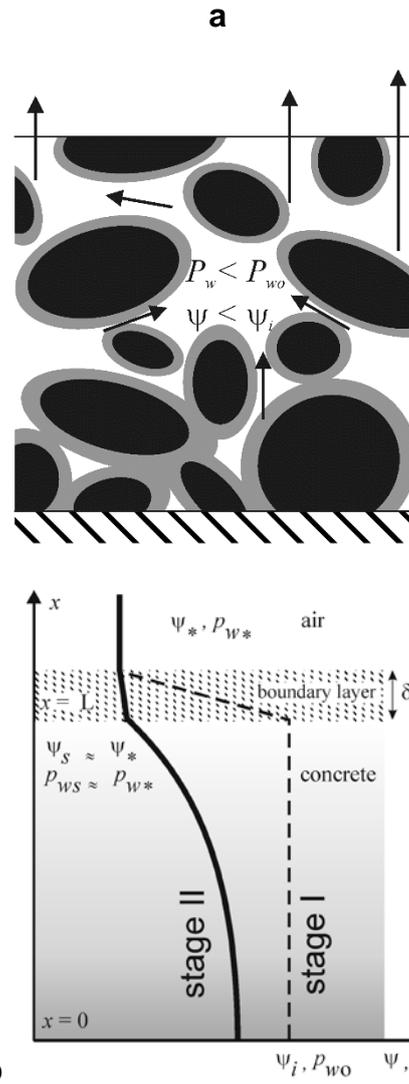


Figure 5. (a) Low saturation state of porous material as the advanced stage of drying, (b, c) variation of hydraulic potential and vapor pressure from the inferior of material through ambient in stage II drying

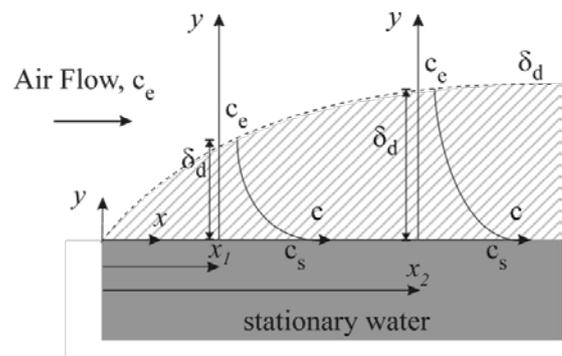


Figure 6 Distribution of water vapor concentration and diffusion boundary layer thickness in laminar, and turbulent regions near concrete interface

Average mass-transfer coefficient,  $\overline{h_m}$ , and temperature reflect the effect of characteristic length in the direction of the air flow. Diffusivity ( $D$ ) is a

function of temperature, and the water vapor concentration gradient near the evaporation surface

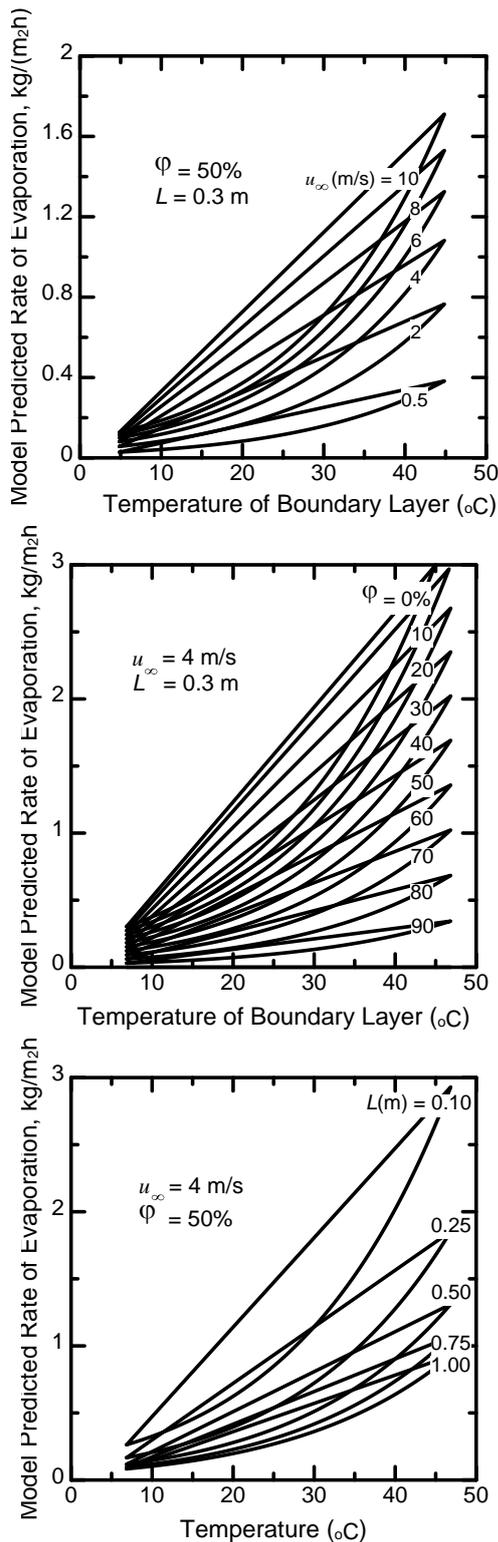


Figure 7. Effect of boundary conditions (T, RH, wind, and characteristic length) on the rate of evaporation a) effect of wind velocity, b) effect of RH, c) effect of characteristic length (Slowik et al., 2008)

$(c_s - c_e)$  as shown in Figure 6. Parametric studies shown in Figure 7 reveal a concise effect of the temperature, RH and wind velocity on the evaporation

rate. Additionally, effect of evaporation surface in the direction of wind flow on evaporation rates is studied and reduction of evaporation rate relates to increasing characteristic length. The parametric model was applied to the most recent experimental data by Slowik et al. (Slowik et al., 2008); Azenha et al. (Azenha et al., 2007a, 2007b); Lura et al. (Lura et al., 2007); and Hammer (Hammer, 2001).

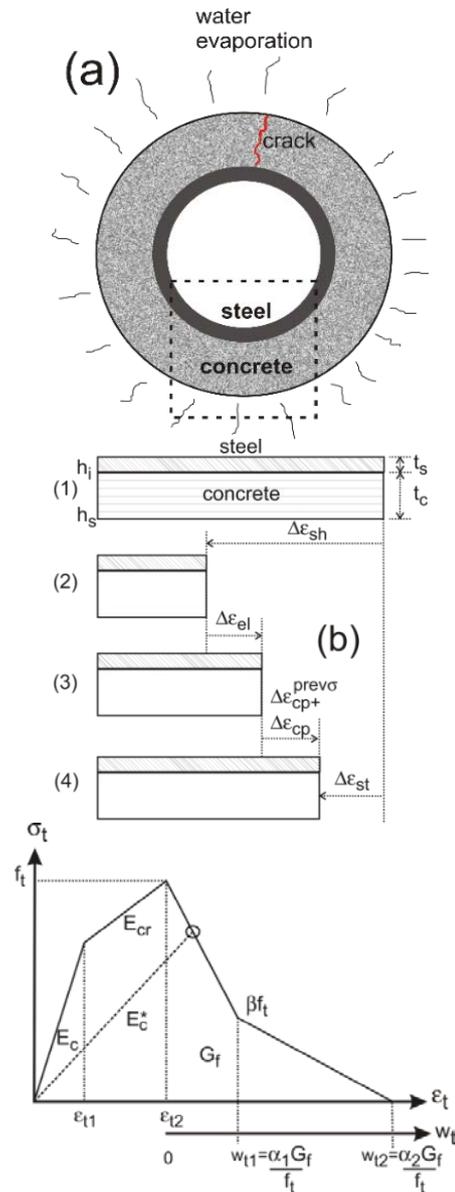


Figure 8. Schematic drawing for drying shrinkage model; (a) ring specimen; (b) strain components (c) Concrete tensile stress strain and crack width model

### Modeling of the Shrinkage Ring Specimens

Restrained shrinkage tests characterize the role of fibers in cracking potential using AASHTO PP34-99 test specimens ("AASHTO PP 34-99 Standard Practice for Estimating the Cracking Tendency of Concrete," 2005). While plastic shrinkage cracks are 2-D, a majority of modeling efforts address 1-D Mode I cracking by utilizing restraints (Lura et al., 2007; Mora-Ruacho et al., 2009).

Concrete with steel, AR glass, and PP fibers at  $V_f=0.1\%$  were tested under restrained shrinkage cracking ASTM C 1579-13 (ASTM C1579-13, n.d.) by Rahmani et al. (Rahmani et al., 2012). Maximum crack width and total crack area reduced by 30-50% and 40-60%, respectively, while first crack formation was delayed. Modeling was used to address observations (Soranakom et al., 2008; Weiss W. Jason et al., 2000). To simulate this response the components of a 1-D model are shown in Figure 8 a, b, and c. Figure 8(a) shows the ring specimen; strain components (c) Concrete tensile stress strain and crack width model are shown in figures 8b, and 8c respectively.

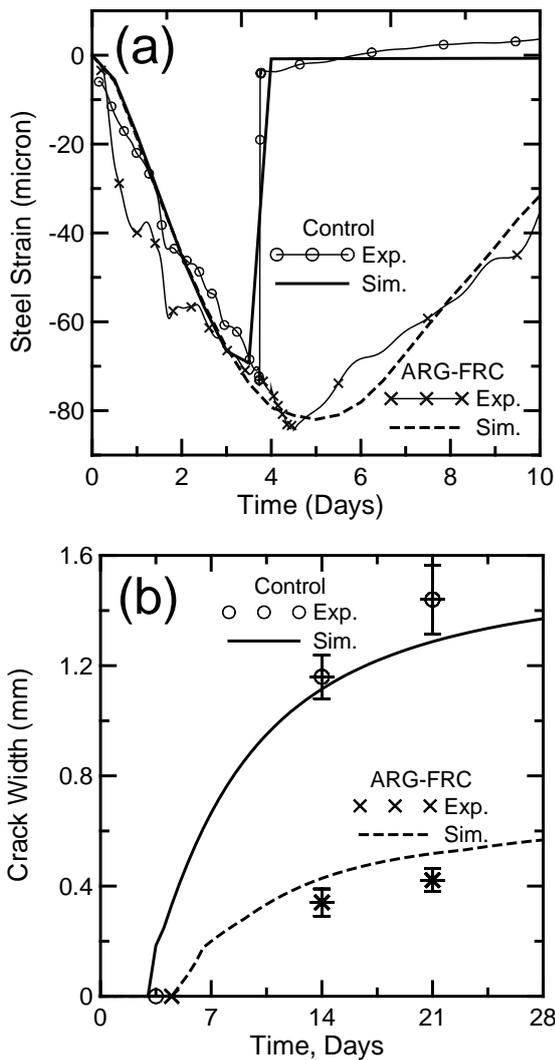


Figure 9 Comparison between experimental result and model simulation; (a) strain history at steel ring; (b) crack width at outer concrete surface

The shrinkage generates a compressive strain in the steel ring and tension in concrete and the friction between the concrete and steel due to clamping pressure. Cracking occurs when the tensile strain exceeds the allowable strain, and then opening of the crack relieves the stresses as shown in Figure 9(a-b). Creep of concrete in tension however allows for continuous load transfer. The input functions for

modeling include free shrinkage at the outer surface, creep coefficients, concrete strength development and tensile stress strain relationship. The softening response of concrete continues to carry load in the post peak range as shown in Figure 9.a. Results indicate a significant reduction (up to 3 times less) in crack width using ARG-FRC and control specimens as shown in Figure 9b. The strain response in the control sample after cracking indicates that concrete completely loses its ability to transfer the forces, while the ARG-FRC show strains in post-crack zone.

### Overlay Shrinkage cracking and Curling

This strain distribution in a slab is a combination of the shrinkage dominated mechanisms and the applied loads in the context of Euler-Bernoulli assumptions. From a structural point of view, one has to extend relevant microstructural parameters to the full slab that is bound by the joint and subbase restraint conditions as shown in Figure 10. The strain distribution along the slab length and depth can be used to calculate slab cracking, joint opening, failure, and curling.

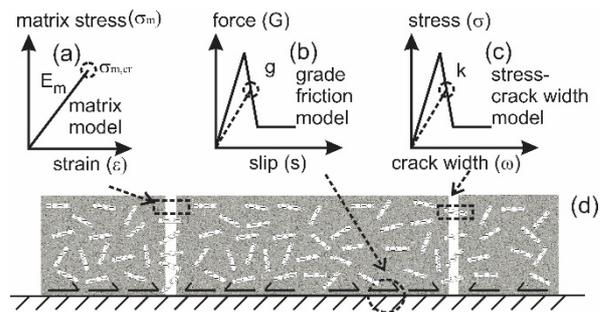


Figure 10 Mechanical behavior of cracked FRC slab: **a)**matrix strength for cracking criterion, **b)**nonlinear spring model simulating the frictional force, **c** stress-crack width model, **d** cracked cement composite (Destrée et al., 2015).

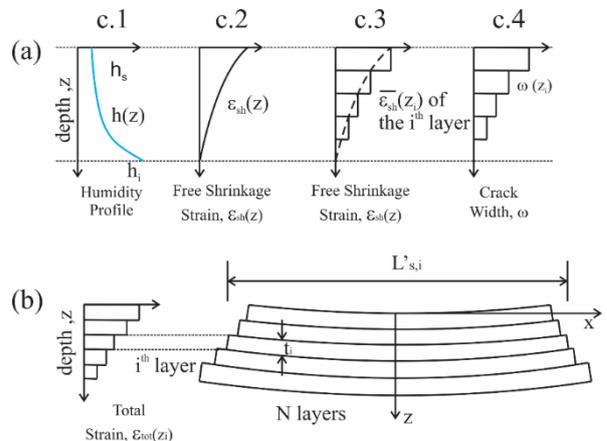


Figure 11. Modelling procedure for curling of a slab. a) Slab strain distribution discretized into  $N$  layers and the impose strain gradient due to humidity profile. b) Distribution of total strain into the curvature and tip deflection (Destrée et al., 2015).

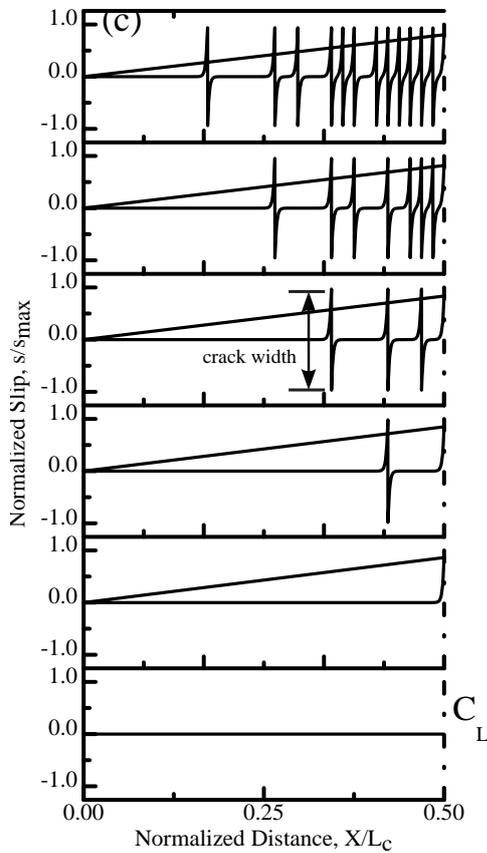
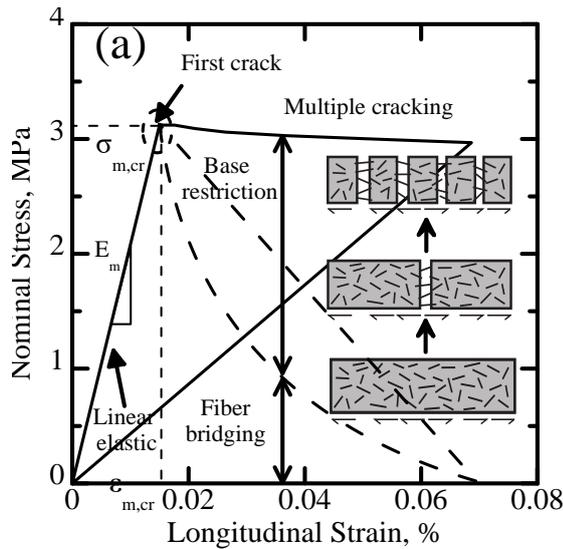


Figure 12 (a) Stress-strain evaluation, and four normalized material responses of a numerical base model: (b) matrix stress distribution (Destrée et al., 2015).

Numerical and empirical models addressing the drying shrinkage cracking behavior of joint-free steel-fiber-reinforced concrete (SFRC) slabs were studied by Destrée et al. (Destrée et al., 2015). Using the schematic model shown in Figures 11, a, b, and c. A finite difference model to simulate the effect of shrinkage in consideration to the base support was developed and the numerical solution forms the basis

and is extended to an empirical model for drying shrinkage cracking behavior of joint-free fiber-reinforced concrete slabs. Fibers would allow for distribution of stresses and reduction of crack width such that the joint spacing can be increased significantly by as much as 100%.

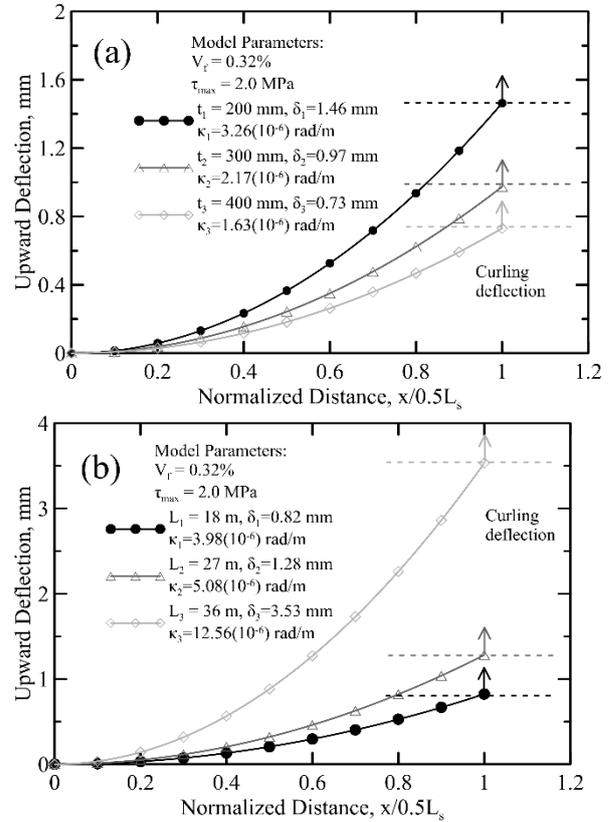


Figure 13. Tip curling deflection increase at the cracked section with effect of slab thickness, and b) effect of slab length (Destrée et al., 2015).

Using the finite-difference equilibrium solution of a one-dimensional (1D) slab on frictional ground, the formation of multiple cracks in the slab were calculated and compared with empirical crack opening models as shown in Figure 12. a Predicted crack opening as shown Figure 12, b reduces by increasing fiber volume, base friction, and interfacial bond strength. The model was extended to simulate the curling phenomenon in order to explain the corner slab lifting in service.

Effect of water-cement ratio, admixtures, free shrinkage, and mechanical restrictions including base friction were addressed. Fiber dosage, and interfacial bond properties restrain the growth of microcracks into main cracks and reduce crack opening. The modelling procedure to address the curling of a slab uses a discretized N layers through the thickness model and the strain gradient is imposed in each layer using the constant strain in each layer based on the distribution of total strain and curvature generated due to the differences in humidity as shown in Figure 13. The tip deflection is obtained from the integration of curvature distribution. Tip deflection,

or slab lift increases with smaller thickness and longer length of slab.

## Conclusions

Various aspects of shrinkage cracking in cement-based materials are addressed. The drying rate of hydrating cement paste during hydration stages is studied using a method based on vacuum drying experiments and analytical procedures. Both micro and macro fibers affect the nature of restrained shrinkage cracking without altering the evaporation rate. Stages of microcrack coalescence due to plastic shrinkage cracking are analyzed using digital image correlation and a model for internal moisture transfer based on vapor diffusion is presented.

Effect of temperature, wind speed, and relative humidity are studied using fluid mechanics approach for water evaporation from the boundary-layer. Results are then integrated with an analytical approach for the restrained ring specimen as well as a slab on ground in order to predict multiple transverse cracking as well as slab curling.

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