

# A Comprehensive Evaluation of Regression Uncertainty and the Effect of Sample Size on the AHRI- 540 Method of Compressor Performance Representation



Vikrant Aute, Ph.D.



*July 11 -14, 2016*



# Acknowledgements

---



- AHRI Project 8013 Committee Members
- Cara Martin, Optimized Thermal Systems, Inc.



# Agenda



- Background and Goals
- Compressor Data
- Uncertainty Analysis
- Method of Representation and Sampling
- Conclusions



# Background



- Background
  - » AHRI Standard 540 (2015)
  - » Data for positive displacement compressors represented in tabular format, 10-coefficient third order polynomial equation
  - » Coefficients derived from measured and/or extrapolated values using “Least Squares”
- Goals
  - » To assess current approach and determine the optimal method to represent performance data
  - » Develop an estimate of the level of uncertainty



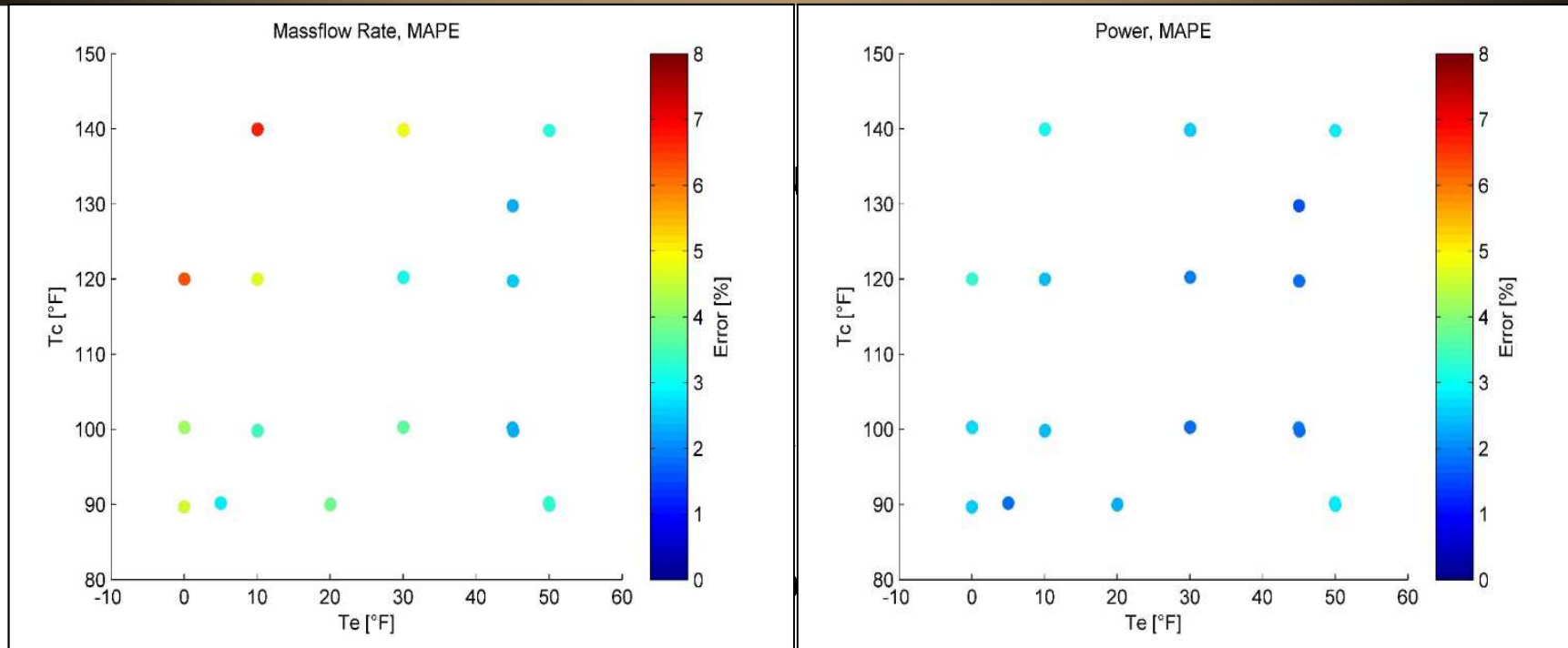
# Compressor Data



Compressor Type	Application	Refrigerant	Capacity [Btu/hr]	# of Data Sets	# of units/ Model
Reciprocating	A/C & HP	R410A	2,285 – 65,099	9	2-3
Reciprocating	A/C & HP	R22	5,075 – 36,079	2	3
Reciprocating	Medium Temp	R404A	1,825 – 13,483	2	1
Reciprocating	High Temp	R134A	2,930 – 12,740	1	1
Reciprocating	High Temp	R407C	5,610 – 40,900	1	1
Scroll	A/C & HP	R410A	6,013-776,125	8	1
Scroll	Low Temp	R404A	4845-19970	1	1
Rotary	A/C & HP	R410A	3840-44430	2	1
Rotary	A/C & HP	R134A	1525-18595	2	1
Rotary	A/C & HP	R407C	4815-20850	1	1
Total Complete Data Sets Available for Analysis:				29	



# Uncertainty Analysis



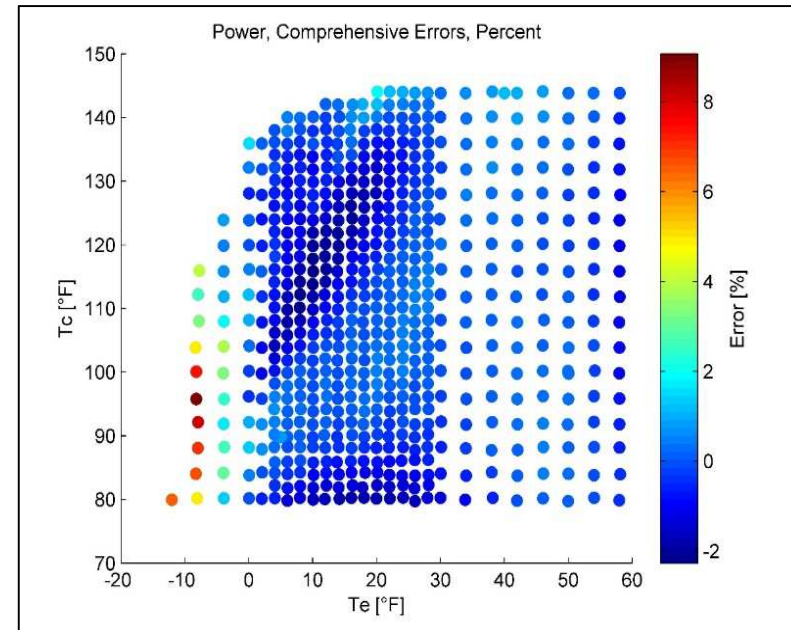
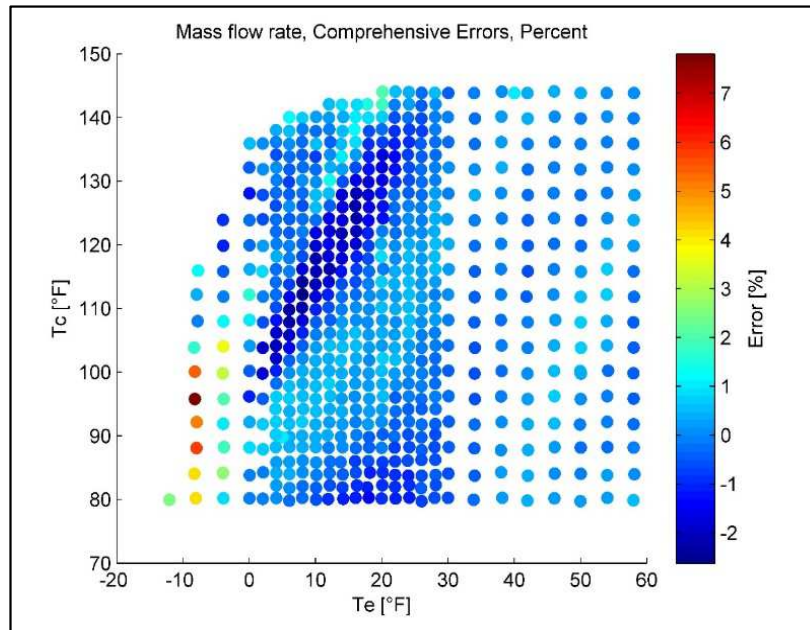
	Minimum Absolute Error in Predicted Mean	Maximum Error in Predicted Mean	Maximum COV	Worst Case Maximum Absolute Error
Power	0.2%	5%	1.7%	9%
Mass Flow Rate	0.4%	4.3%	3.5%	17%



# AHRI 540 Analysis



- Map data (16 points) used to fit the 10-coefficient polynomial
- Verification data (600+ points) measured at finer increments over entire envelope





# AHRI 540 Analysis Summary



	Map Generation Data	Verification Test Data
<b>Points</b>	16	611
<b>Power AAPE</b>	0.153	0.684
<b>Power MAPE</b>	0.492	9.068
<b>Power RRMSE</b>	0.00193	0.01160
<b>Mass flow AAPE</b>	0.312	0.595
<b>Mass flow MAPE</b>	0.6777	7.812
<b>Mass flow RRMSE</b>	0.004	0.009

- Map predicts source data within  $\pm 0.5\%$
- Highest errors in third quadrant (low suction / discharge dew point temps.)
- Regions with error  $> 1\%$  have insufficient data points → guide future testing sampling plans

- AAPE: Average Absolute Percent Error
- MAPE: Maximum Absolute Percent Error

- RRMSE: Relative Root Mean Square Error (RMSE, but normalized with actual value)





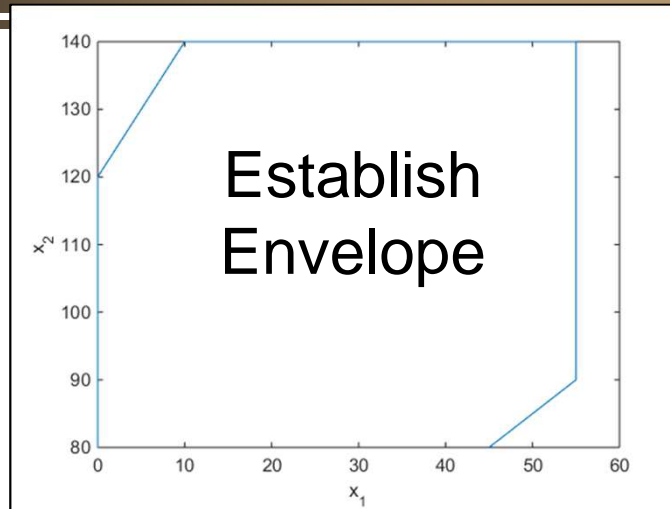
# Sampling Analysis



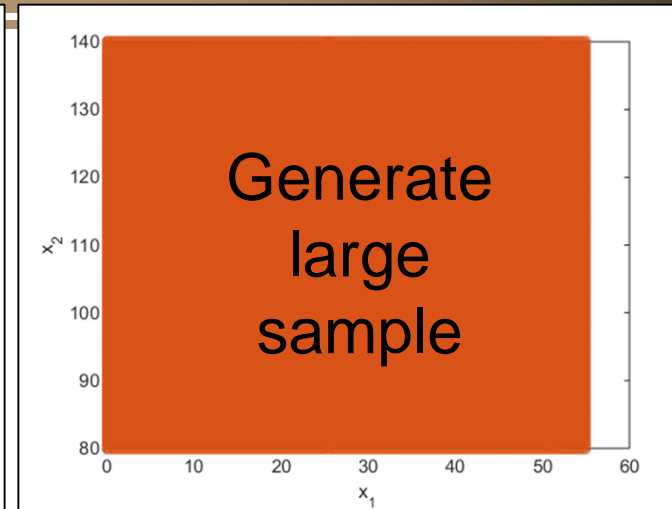
- Goal: Analyze various sampling techniques and methods of representation to find the best combination
- Sampling Methods
  - » Latin Hypercube Sampling (LHS)
  - » Polygon DOE (PDOE)
- Methods of representation
  - » AHRI 540
  - » 3 Alternatives (discussed shortly)



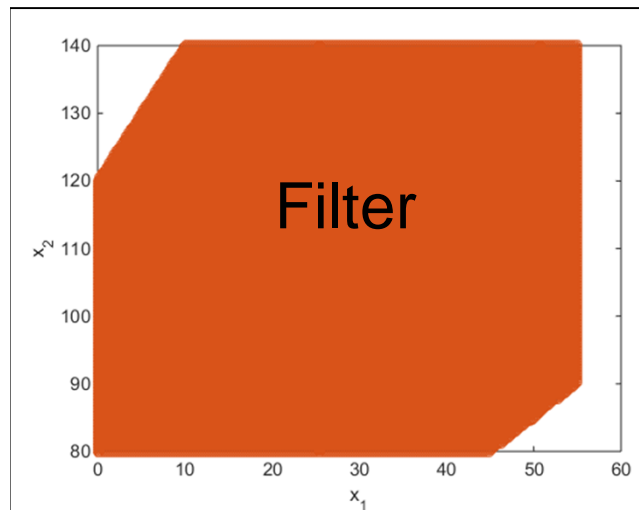
# PDOE Sampling Method\*



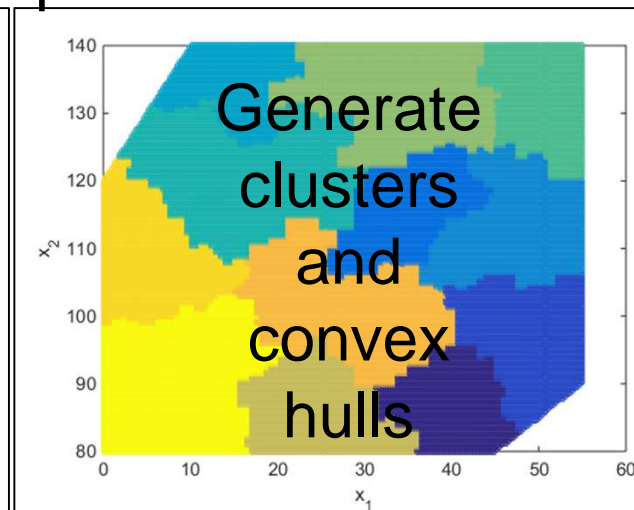
1



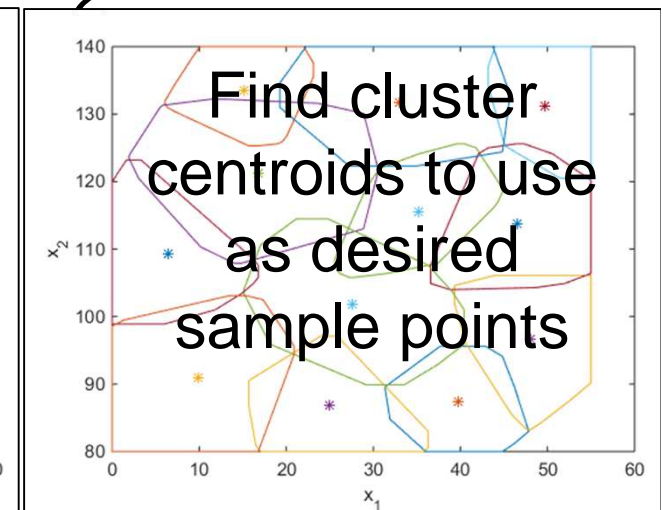
2



3



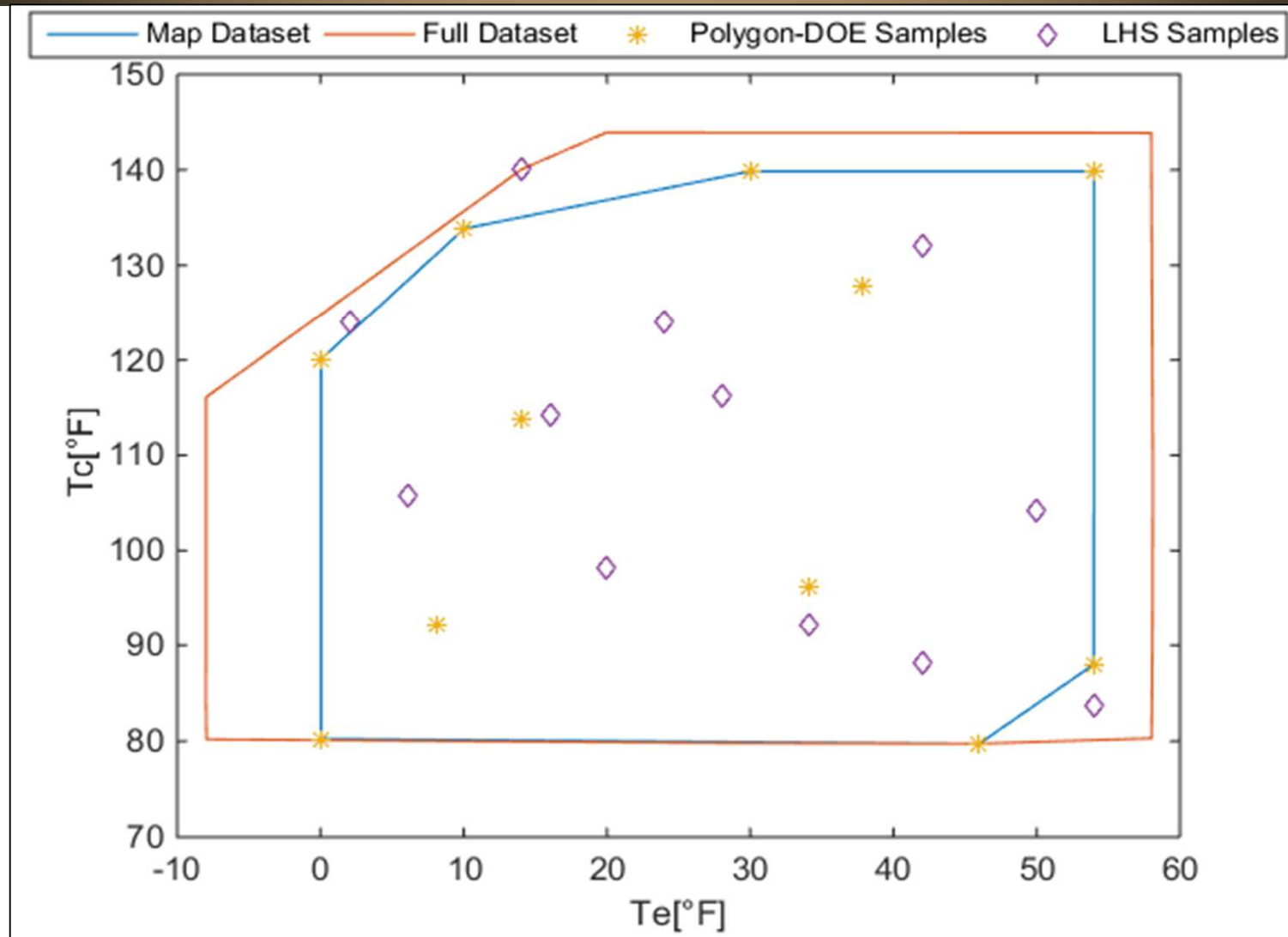
4



5



# Comparison of Samples





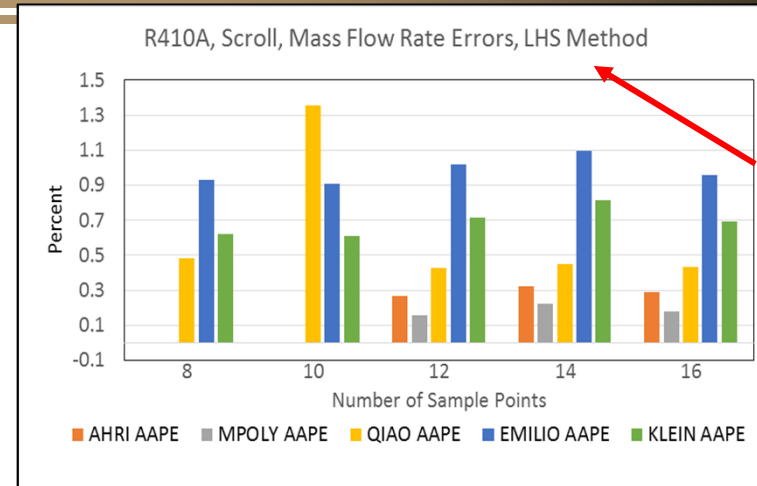
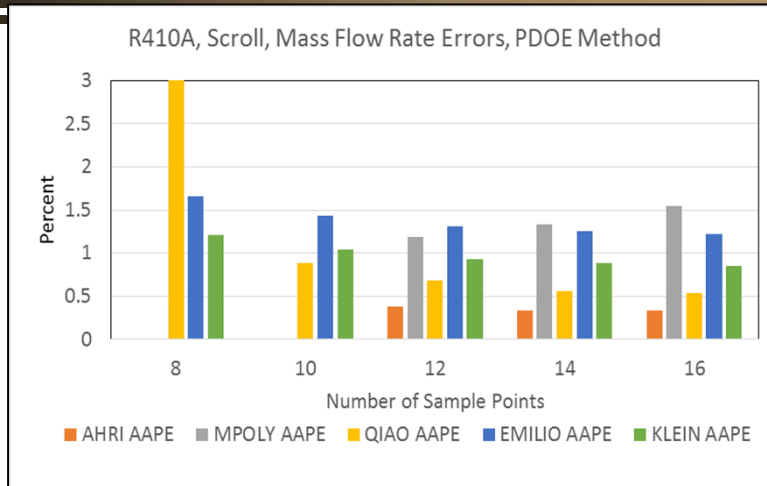
# Alternative Methods



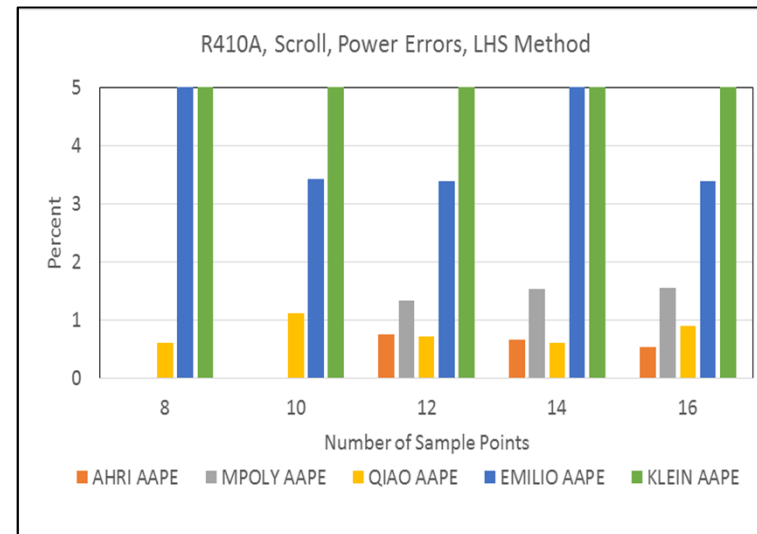
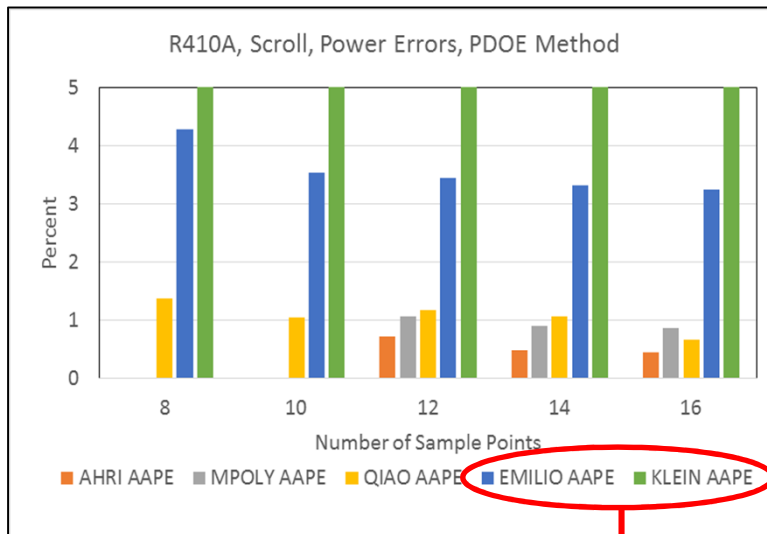
<b>Model/Method</b>	<b>Min. # of Data Points Needed</b>	<b>Regression Type</b>	<b>Comments</b>
<b>AHRI540 (baseline)</b>	11	Linear	Easy to solve, no guess values
<b>MPOLY</b>	11	Linear	Variation of the AHRI-540 method; easy to solve, no guess values required
<b>QIAO (Qiao et al.)</b>	7	Mixed	Requires guess values; capable of handling variable speed, with 19 points
<b>KLEIN (Jahnig et al.)</b>	6	Non-linear	Most comprehensive and popular model from literature; requires guess values
<b>EMILIO (Navarro et al.,)</b>	6	Non-linear	Can handle superheat; requires guess values



# Sample Analysis for Select Methods



Generally better for mass flow rate



Unacceptably high errors in power, regardless of sampling method



# Conclusions - 1



- Manufacturer's survey indicated that >14 points tested, typically for 3+ compressor units
- Largest sources of uncertainty from measurement and regression during model development
  - » Average uncertainty as high as 5% for power and 4% for mass flow rate
  - » Highest errors occur in region with low suction and discharge dew point temperatures
- Comprehensive analysis shows that AHRI-540 method predicts mass flow rate and power within 1% (errors of 9% in extrapolated regions)



# Conclusions - 2



- Analysis of various methods showed that
  - » Average errors in predicting mass flow rate  $< 2\%$ ; power  $< 4\%$ , for AHRI-540 and 2 other methods
  - » Linearly regressed models have better performance
- Opportunities exist to reduce the number of test samples
- Future Work: It is possible to develop a model with 16 samples that would handle superheat correction as well, comprehensive test data required



# Bibliography

---

- ANSI/AHRI., 2015. Standard for Performance Rating of Positive Displacement Refrigerant Compressors and Compressor Units. Standard 540. Arlington, VA: AHRI.
- Coleman, H. W., and Steele, W. G., 2009, Experimentation, Validation and Uncertainty Analysis for Engineers, Third Edition, John Wiley and Sons.
- Draguljic D, Santner TJ, Dean AM. 2012, Noncollapsing Space-Filling Designs for Bounded Nonrectangular Regions, *Technometrics* 2012; 54(2):169–178.
- Jahnig, Dagmar; Klein, S.A.; Reindl, D.T.; A semi-empirical method for modeling reciprocating compressors in household refrigerators and freezers (1999). MS Thesis.
- Lekivetz, R. and Jones, B., 2014, Fast Flexible Space-Filling Designs for Nonrectangular Regions, Research Article, *Quality and Reliability Engineering International*, DOI:10.1002/qre.1640.
- Navarro, E.; Granryd, E.; Urchueguia, J.F.; Corberan, J.M.; A phenomenological model for analyzing reciprocating compressors, *International Journal of Refrigeration* 30 (2007), 1254 – 1265.
- Qiao, Hongtao; Kwon, Laeun; Aute, V; Radermacher, R; Transient Modeling of a Multi-evaporator Air Conditioning System and Control Method Investigation, *IEA Heat Pump Conference* (2014).





# Thank You!



---

Vikrant Aute, Ph.D.  
University of Maryland  
Center for Environmental Energy Engineering  
301-405-8726  
[vikrant@umd.edu](mailto:vikrant@umd.edu)