

# Optimization of a Main Engine Driven Roof Top Bus Air- Conditioning System

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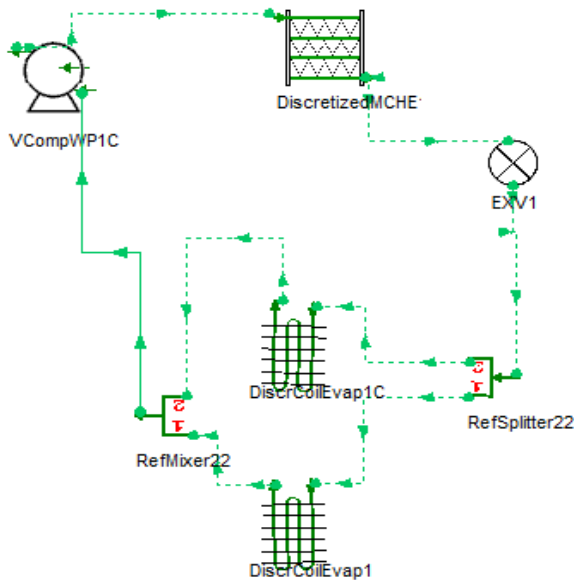


# Introduction

- The use of Bus air-conditioning (AC) system has been steadily growing in the emerging markets.
  - The Indian bus market is around 40,000 units a year. ( expected to double in a decade ), making it the second largest behind China.
  - Only 2% of these are Air conditioned.
- AC system is the second biggest energy consumer in a bus.
- In the Emerging markets, In addition to fuel efficiency, there is even more emphasis on the product cost.
- Thus, there is a need to develop an affordable and efficient bus AC system featured by low product cost, economical operation and stable passenger thermal comfort.
- This leads to a thermoeconomic optimization exercise, taking into account the compromise between an economical system size and efficient refrigeration.



# System Components & Variables



## Compressor :

- A variable speed open type reciprocating compressor
- RPM to represent volumetric displacements.

## HX Coils :

most of the parameters ( except size ) are imposed on the design engineer by established manufacturing practices, e.g., heat transfer surfaces or tube definition.

- Condenser : A microchannel Brazed Al Coil
- Evaporator : copper tube and aluminum fin coil

## Other system component :

TXV, liquid receiver, filter-dryer and piping network, which are not significantly affected by the variation of the design parameters and hence not considered in the optimization.

An in-house refrigeration system balancing tool is used to simulate the steady state performance of the AC system.

- Each component model is represented using a set of nonlinear equations
- The set of nonlinear multi-equations are solved simultaneously for output variables

## Design Variables

Variable			
Compressor RPM	2000	2250	2500
Condenser Face length(m)	1.2	1.4	1.6
Condenser tube width (mm)	25.4	18	
Evaporator Face length(m)	1.2	1.5	1.8
Evaporator Tube OD (mm)	7	8	
Evaporator Fin pitch (FPI)	12.7	14	
Refrigerants	R134a	R407C	



# Approximations for Optimization



Detailed simulation models are typically complex and computationally demanding. Response surface based metamodels for objective functions were used to save computational effort.

Response Surface Methodology provides a formalized approach to global space approximations

The response surface is an approximate relationship between the response ( objective and constraint functions ) and the design variables.

$$f(\mathbf{x}) = \hat{f}(\mathbf{x}) + \varepsilon$$



## Experiment Design ( DOE )

- Experimental Design tell which alternatives to simulate so that the desired information is obtained with the least amount of simulation
- Conduct Experiments using the Simulation Tool for the variables “off-line” from the optimization.
- Several “experimental designs” available using different orthogonal array properties
- Full Factorial Design is used here (216 runs for each refrigerant )

## Quadratic Response Surface

$$\hat{f}(\mathbf{x}) = a_0 + \sum_{i=1}^n a_i x_i + \sum_{i=1}^n \sum_{j=i}^n a_{ij} x_i x_j$$

- ◆ Quadratic fit includes intercept (zero-order), first-order, and second-order terms
- ◆ There are  $(n+1)(n+2)/2$  coefficients  $(a_0, a_i, a_{ij})$  that must be computed

## Response Surface Coefficients

- ◆ Once a response surface model (here, a quadratic equation) is chosen, coefficients must be determined ( $a_0, a_i, a_{ij}$  are unknown)

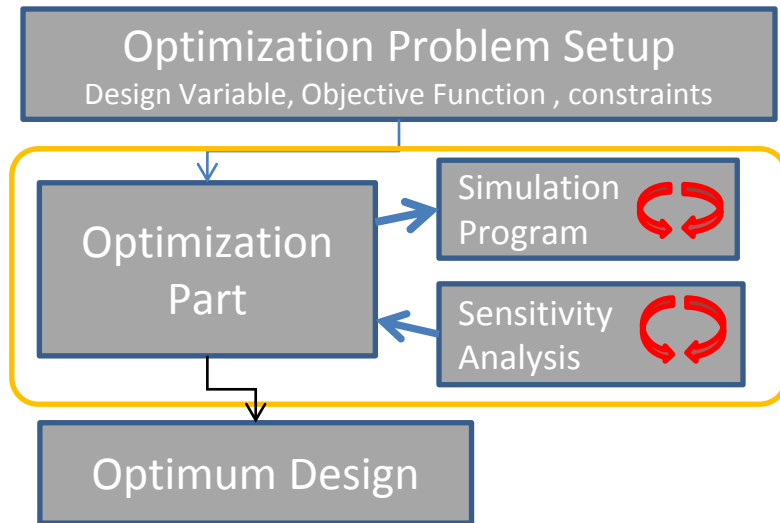
Use regression to find coefficients ( Least squares solution )



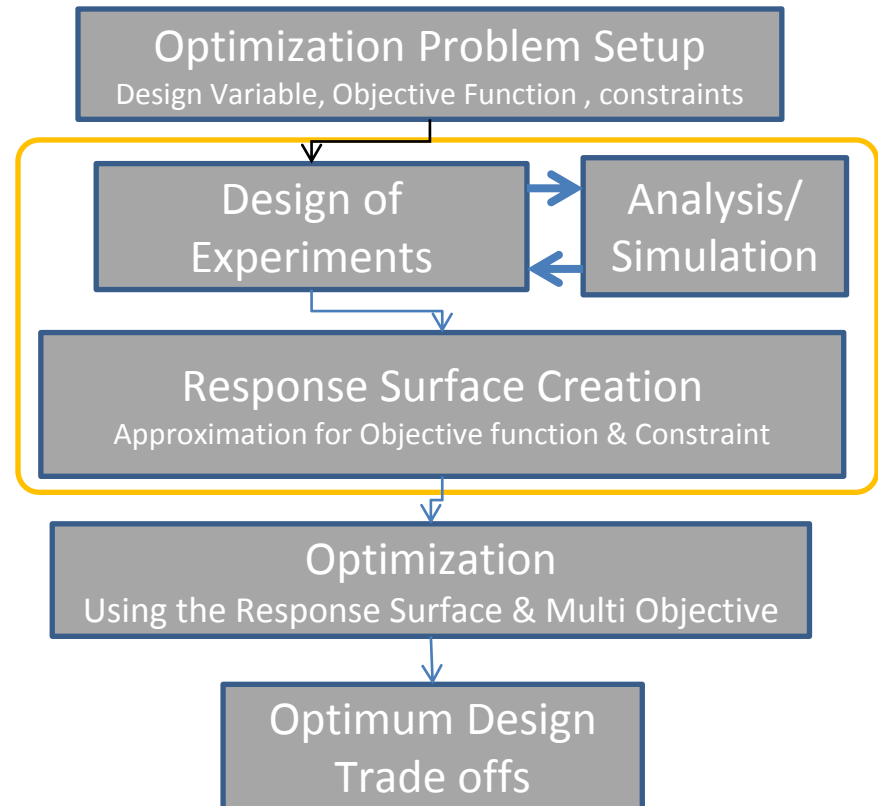
# Optimization Method



## Conventional Optimization



## Response Surface based Optimization





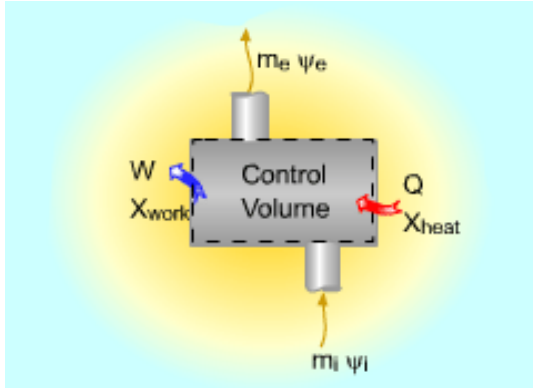
# Multi Objective Criterion

- In Real Life optimization, We may consider one or more of criteria as the objective function.
- Example :
  - By Considering thermodynamic criterion, the system will be an ideal system from thermodynamic point of view, but it might not be able to pass the economic criterion.
  - By considering only the economic criterion, the system will be the cheapest one, but this system might not be a well designed system from thermodynamic points of view .
  - Both of these systems are not acceptable
- The goal. Is to have a system that satisfies all of the optimization criteria as much as possible simultaneously. This can be obtained by multi-objective optimization techniques
- In this paper thermoeconomic criteria for multi-objective optimization is considered
  - the second law criterion (the total exergy destruction of the system) is considered as the thermodynamic objective function.
  - The economic objective function is the total product cost of the system.





# Exergy loss as Thermodynamic Criteria



$$Ex_{in} - Ex_{out} + Ex_Q + Ex_w = Ex_{des}$$

Where,

$Ex_{des}$  : total Exergy destruction

$Ex_Q$  : Exergy flow due to heat transfer.

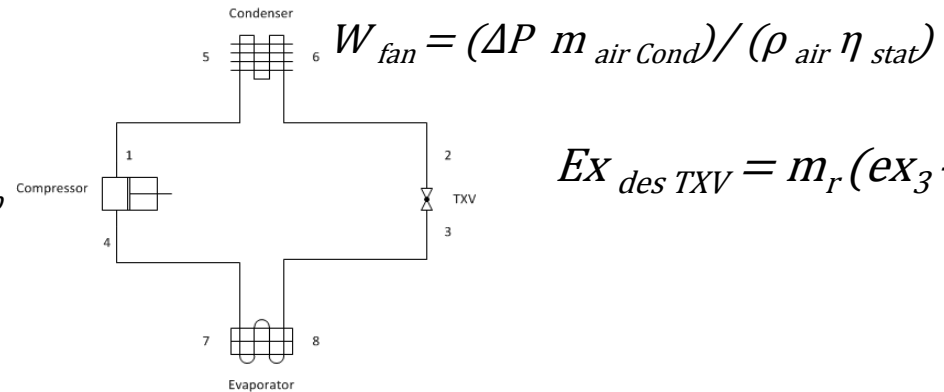
$Ex_w$  : Exergy associated with the work interaction.

$Ex_{in}$  and  $Ex_{out}$  are the exergies of the inlet and outlet streams

$$Ex_{des\ Cond} = m_r (ex_2 - ex_3) + m_{air\ Cond} (ex_{air\ in} - ex_{air\ out}) + W_{fan}$$

$$W_{Comp} = m_r (h_{2_{isen}} - h_1) / \eta_{isen}$$

$$Ex_{des\ Comp} = m_r (ex_1 - ex_2) + W_{Comp}$$



$$Ex_{des\ TXV} = m_r (ex_3 - ex_4)$$

$$Ex_{des\ evap} = m_r (ex_4 - ex_1) + m_{air\ evap} (ex_{air\ in} - ex_{air\ out}) + W_{fan}$$

$$W_{fan} = (\Delta P m_{air\ evap}) / \rho_{air}$$

Exergy relations are developed based on the book by Ibrahim Dincer and Marc A Rosen (2009)



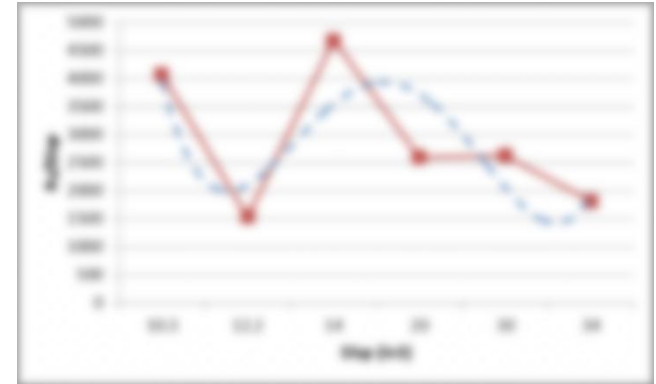
# Component Cost Functions

## Evaporator Cost:

- Cu Tube & Al Fin coil
- Function of Cu & Al LME

## Condenser Cost:

- Microchannel Brazed Aluminum Coil
- Function of Al LME



## Compressor cost:

- determined according to the equation suggested by Wall (1991)
- $$C_1 = a_1 k_1 \frac{V_2}{0.9 - \eta_1} \frac{p_3}{p_2} \ln \frac{p_3}{p_2}$$

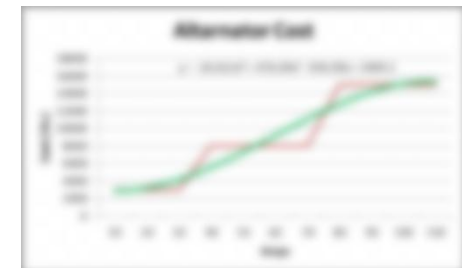
$a_1$  , the annuity factor  
 $k_1$  , Compressor cost per volumetric rate ( based on internal cost information )  
 $\eta_1$  , isentropic efficiency ( obtained from the experimental data )

## Fans and blowers cost :

- based on a cost factor as a function of airflow

## Alternator Cost:

- Based on a cost factor based on power





# Objective Functions & Constraints



## Objective Functions

$$J_{Thermodynamic} = EX_{des Total}$$

$$J_{Product cost} = C_{Comp} + C_{Cond} + C_{Evap} + C_{evap Blower} + C_{cond Fan} + C_{alternator}$$

## Constraints

$g_1$  : Discharge pressure < Max discharge pressure

$g_2$  : COP<sub>Min</sub> , ( based on internal product development standard )

$g_3$  : Condensing temp > Ambient temp +  $\Delta T$

$g_4$  : Evaporating Temp < Inlet Air Temp -  $\Delta T$

$g_5$  : COP > COP<sub>Carnot</sub>

$g_6$  : Evaporator PD<sub>ref</sub> < Evaporator PD<sub>Allowable</sub>

$g_7$  : Condenser PD<sub>ref</sub> < Condenser PD<sub>Allowable</sub>

$g_8$  : Evaporating temp < inlet air dew point temp

*Addl Constraints :*

All costs are non-negative

All exergy terms are non-negative.

The target cooling capacity ( defined as a nonlinear equality constraint ) .



# Goal Programming

## Weighted Sum Approach

$$\text{minimize } \Phi(\mathbf{x}) = a_1\phi_1(\mathbf{x}) + a_2\phi_2(\mathbf{x}) + \dots + a_{n_{obj}}\phi_{n_{obj}}(\mathbf{x})$$

$$\text{subject to } g_j(\mathbf{x}) \leq 0 \quad j = 1, m$$

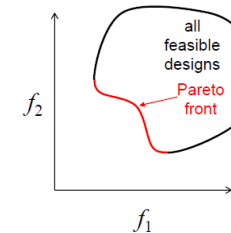
$$h_k(\mathbf{x}) = 0 \quad k = 1, l$$

$$x_i^L \leq x_i \leq x_i^U \quad i = 1, n$$

- Weights are not known a priori
- Issue with Convexity

### Convexity

- ◆ Weighted sum approach cannot locate all designs on non-convex Pareto fronts



### ◆ Pareto-optimal solutions

- No improvement in one objective can be made without degrading at least one other objective  
(these are the best tradeoff designs)



# Goal Programming

## Basic Idea:

Instead of optimizing a single valued objective function, try to meet a number of pre-specified goals

## How do you handle the goals?

- Determine a set of “ideal” goals.
- Determine a metric in the goal space to measure the distance to the ideal goal.
- Minimize the distance to the “ideal” goal.

$$\begin{array}{ll} \text{minimize} & \gamma \\ \text{subject to} & f_l(\mathbf{x}) - w_l \gamma \leq f_l^G \quad l = 1, n_{obj} \\ & g_j(\mathbf{x}) \leq 0 \\ & h_k(\mathbf{x}) = 0 \\ & x_i^L \leq x_i \leq x_i^U \end{array} \quad \mathbf{x} = \begin{Bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \\ \gamma \end{Bmatrix}$$



# Tool



- Used in-house System simulation tool to develop the meta data
- Developed Optimization program in Matlab ( Decoupled to the System simulation tool )
  - » Can be used to get the ( Lite ) performance characteristics of the system
  - » Can do “What – if” for Application ( Specialty orders )
  - » Can give a single objective & Multi objective optimizes designs for a wide Capacity Ranges.
  - » Can generate Trade offs ( through Pareto frontier)
  - » Reduce the Product development cycle



# Results & Discussion

Three optimization scenarios are considered

- single-objective thermodynamic optimized,
- single-objective economic optimized, and
- multi-objective optimized.

	UNITS	R134a			R407C		
		Cost Optimized	Exergy optimised	Multi objective optimised	Cost Optimized	Exergy optimised	Multi objective optimised
<b>Decision Variables</b>							
Evap Tube dia	mm	6	6	6	8	8	9.52
Cond Tube width	mm	32	32	32	18	25.4	25.4
Compressor speed	rad/s	228	150	193	135	84	113
Evap finned length	m	1.14	1.71	1.1	1.34	1.98	1.55
Fin density	# per foot	169	200	169	189	200	182
Condenser coil length	m	1.11	2.56	2.0	1.63	2.45	2.29
<b>Objective function values</b>							
Capital Cost	\$	3150	4711	3525	3046	4462	3732
Exergy Destruction	kW	8.2	5.48	6.3	10.01	6.70	8.24

## Single Vs Multi objective

	Capital Cost	Running Cost
economic optimization	↓33%	↑35%
thermodynamic optimization	↑50%	↓35%
Multi Objective Optimization	↓25%	↓23%

## Comparison with base line

	Capital Cost	Running Cost
economic optimization	↓15%	↓2%
thermodynamic optimization	↑25%	↓34%
Multi Objective Optimization	↓4%	↓21%

The results shows that the multi-objective design more acceptably satisfies all criteria than other two single-objective optimized designs.

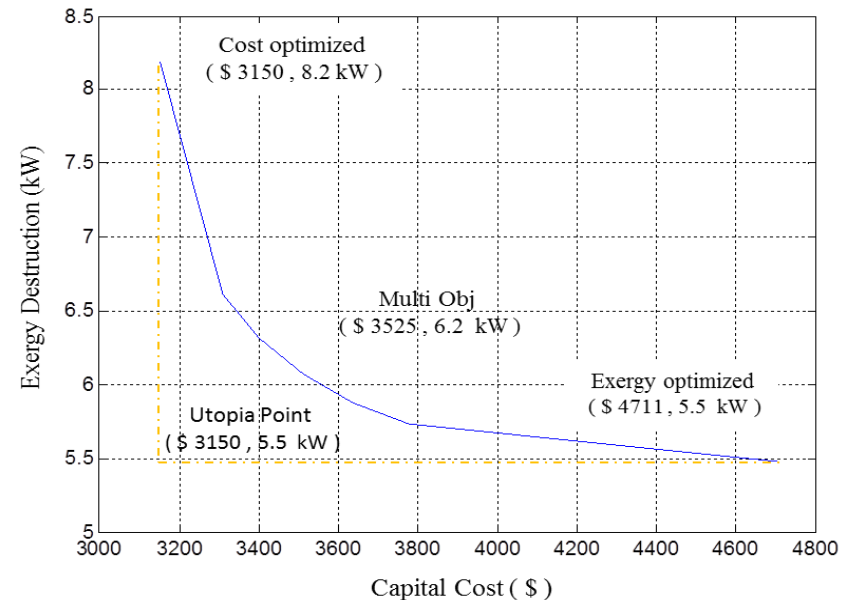


# Results & Discussion



## Pareto frontier

- Utopia Point ( \$ 3150, 5.5 kW)
- The multi objective optimization yields the best solution in terms of cost and efficiency ( \$ 3525, 6.2 kW), which is represented by the minimum distance point from the utopia point on the Pareto optimal curve.
- This Pareto frontier can be used to aid the process of decision-making by considering the tradeoffs.



**Pareto frontier for R134a, 25kW case**





# Future Work

- Code optimization ( for fast execution )
- Optimization using Genetic Algorithm
  - » Capability to deal with Discrete Variables
- Improved ( and Automatic ) Cost Models
- Web based



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# Thank You