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TCP BUFFERING AND PERFORMANCE OVER AN ATM NETWORK

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Abstract

This paper reports a series of experiments to measure TCP performance when transferring data through an Asynchronous Transfer Mode (ATM) switch. The results show that TCP buffer sizes and the ATM interface maximum transmission unit have a dramatic impact on throughput. We observe a throughput anomaly in which an increase in the receiver's buffer size decreases throughput substantially. For example, when using a 16K octet send buffer and ATM Adaptation Layer 5 on a 100 megabit per second (Mb/s) ATM path, the mean throughput for a bulk transfer drops from 15.05 Mb/s to 0.322 Mb/s if the receiver's buffer size is increased from 16K octets to 24K octets. This paper analyzes the performance, explains the anomalous behavior, and describes a solution that prevent the anomaly from occurring.

1 Introduction

Asynchronous Transfer Mode (or ATM) is a connection-oriented data communication technology that switches 53-octet data units called *cells* [1, 4, 5, 20]. ATM's fixed-size cell and early binding of routing information during connection setup make ATM suitable for high-speed data communication. Thus, standards committees (e.g., ANSI T1, ITU Study Group XVIII) have chosen ATM as an underlying transport technology for many Broadband Integrated Services Digital Network (B-ISDN) protocol stacks [10].

Although the standards committees are still working to refine ATM standards, network equipment manufacturers have developed ATM Local Area Network (LAN) equipment that provides gigabit aggregate bandwidth with connections to desktop workstations. Many ATM LAN switches support the widely used TCP/IP Internet protocol suite by allowing the Internet Protocol (IP)

^{*}This work was supported in part by a fellowship from UniForum Association.

[16] to operate over ATM. A user who connects to an ATM network can run existing applications that use the Transmission Control Protocol (TCP)
[17] or the User Datagram Protocol (UDP) [15] without modification.

Users who share a conventional network (e.g., a 10 Mb/s Ethernet) expect dramatic increases in performance from a dedicated ATM connection that operates an order of magnitude faster. However, measurement of file transfers using FTP [19] showed a surprising result: the same ftp program that performs well over a 10 Mb/sec Ethernet can perform worse over an 100 Mb/s ATM path. For example, when transferring a 4.4 megabyte data file between two hosts connected to the same Ethernet, ftp reports a mean throughput of 1.313 Mb/s. However, using the same software and computers to transfer the file across a 100 Mb/s ATM path produce a mean throughput of only 0.366 Mb/s. Furthermore, the ATM network management software reports no cell lost. The low throughput prompted us to investigate the effects of TCP buffering on its performance. Experiments revealed the sizes of the sender's and receiver's buffers have a dramatic effect on performance.

The remainder of this paper is organized as follows. Section 2 describes the ATM network configuration used to conduct the experiments, the tool used to measure TCP performance, and the experimental procedures. Section 3 presents the results of the experiments and identifies a throughput anomaly in which an increases in the receiver's buffer size decreases TCP throughput significantly. Section 4 explains the cause of the throughput anomaly and describes a solution that prevents the anomaly from occurring. Section 5 summarizes the paper.

2 Measuring TCP Performance Over ATM

Figure 1 illustrates the network configuration for conducting the experiments. Two multi-homed Sun Microsystems' SPARCstation IPCs, A and B, running SunOS 4.1.1¹ are used to measure TCP performance over ATM. Each host has two network interfaces: one connects to an Ethernet and the other connects to a Fore Systems' ASX-100 ATM switch via a 100 Mb/s multi-mode fiber link. Each host uses a Fore SBA-200 ATM adapter card to interface with the ATM switch. The adapter card embeds a dedicated RISC processor and special purpose hardware to handle ATM Adaptation Layer 5 (AAL5) [6, 9]. The Maximum Transmission Unit (MTU) on the ATM interface and the Ethernet interface is 9188 octets and 1500 octets, respectively.

2.1 Measurement Tool

SunOS 4.1.1 TCP is a version of 4.3BSD-Tahoe TCP.



Figure 1: Configuration of an ATM network used to measure TCP throughput



Figure 2: Using ttcp to measure TCP throughput

We used a public domain C program called $ttcp^2$ to measure TCP throughput. A ttcp running on host A uses the BSD socket interface provided by SunOS to communicate with another ttcp on host B. As Figure 2 illustrates, we configured one ttcp as a source and the other as a sink. Once a user has specified the amount of data to transmit, the source ttcp continuously transmits the data to the destination ttcp (sink) until all the data are transmitted; the destination ttcp simply discards the data it receives. Users also can specify the sizes of the sending TCP's send buffer and the receiving TCP's receive buffer by using an option

²The program ttcp is available for anonymous ftp on host gwen.cs.purdue.edu in directory /pub/lin.

provided by ttcp.

The experiments use two timestamps at the sending ttcp to calculate throughput: one taken at the instant before it calls the *write* system call to start transmitting data, and the other taken at the instant after it finishes the transmission. System call *gettimeofday* provides the timestamps. On a Sun IPC running SunOS 4.1.1, the timestamps have a granularity of one microsecond. TCP throughput is calculated as the total number of application data transmitted divided by the interval between the two timestamps.

Because ATM is connection-oriented, a connection must be established between the sender and the receiver before IP datagrams can be transmitted. Once an ATM connection between two IP hosts has been established, the signalling software of Fore Systems provides a caching mechanism such that an ATM connection is only closed when the connection is quiet for approximately 15

		16K	20K	24K	28K	32K	36 K	40K	44K	48K	51K
Send Buffer Size (octet)	16 K	15.05	13.60	0:322	0.319	0.319	0.467	0.469	0.466	0.469	0.469
	20K	15.99	14.60	15.07	14.87	15.40	14.24	1.095	1.095	0.548	0.549
	24K	17.71	16.79	16.74	16.32	17.40	17.31	17.42	17.12	0.760	0.740
	28K	16.57	17.69	17.93	18.13	18.36	19.20	19.74	19.78	18.38	18.20
	32K	14.63	18.96	18.42	19.23	19.14	19.74	19.96	20.31	19.69	19.17
	36K	14.33	19.22	18.12	19.82	19.77	19.92	20.56	20.49	20.13	20.20
	40K	15.16	19.34	18.85	19.73	20.11	20.41	20.81	20.74	20.69	20.57
	44K	14.80	19.40	18.27	20.39	20.16	20.74	20.99	20.87	20.89	20.70
	48K	14.62	19.46	18.34	20.48	20.26	20.41	20.85	20.83	20.93	20.83
	51K	13.92	19.41	18.26	20.50	20.06	20.21	20.88	20.91	21.21	21.06

Receive Buffer Size (octet)

Note I: Throughput numbers are in megabits per second (Mb/s).

2: Shaded area indicates abnormal TCP throughput.

Table 1: TCP buffer sizes and mean throughput

minutes [2]. We artificially established an ATM connection before each experiment. Thus, the reported throughput does not include connection setup time.

2.2 Experimental Procedures

We conducted 100 experiments to investigate the effect of send and receive buffer sizes on TCP throughput when transferring bulk data over a 100 Mb/s ATM path. The send and receive buffer sizes range from 16K octets to 51K octets in a 4K increments. The minimum buffer size of 16K was selected because the MTU of the ATM interface is more than 8K octets and the SunOS kernel is configured to use a default send and receive buffer size of 16K when installing the driver software for the ATM adapter cards. The maximum buffer size of 51K was selected because SunOS 4.1.1 TCP

restricts the buffer size to 52428 octets.

Each experiment consists of 50 independent throughput measurements. In each measurement, the source transmits 32 megabytes of data to the sink. There is a delay of 5 seconds between measurements. All the experiments use AAL5 to encapsulate IP datagrams.

3 Results

Table 1 shows the mean throughput measured for each experiment; Figures 9 to 13 in Appendix plot the throughput data of each experiment. As Table 1 shows, in general, TCP throughput increases as the sender's and receiver's buffer sizes increase. Some experiments, however, show a decrease in mean throughput when send and/or receive buffer sizes increase. For example, when a sending TCP



Figure 3: TCP mean throughput when sender and receiver use the same buffer size

uses a 16K buffer, the mean throughput *decreases* about 10% when the receiving TCP *increases* the receiver buffer size from 16K to 20K. Also, as Figure 3 shows, when the sender and receiver use the same buffer size, TCP performs better with a 16K buffer than with a 20K buffer.

Surprisingly, as the shaded entries in Table 1 shows, certain combinations of unequal send and receiver buffer sizes cause exceptional low throughput. Furthermore, the exceptional low throughput occurs when a receiving TCP *increases* its receive buffer size. For example, when using a 16K send buffer, TCP mean throughput decreases from 15.05 Mb/s to 0.322 Mb/s if the receive buffer size is increased from 16K to 24K. The next section explains the anomalous behavior and describes a solution to prevent it from occurring.

4 Analysis of the Results

Although network analyzers can be used to capture data on a shared access network (e.g., an Ethernet), the technique does not work well in a pointto-point, non-shared access ATM network. Thus, we use *kernel probing* [8, 14] to study the observed TCP throughput anomaly over ATM. The technique uses a data structure in the kernel address space and inserts probing code at various locations of the TCP source modules to gather relevant data. An application program reads the gathered data from the kernel address space for off-line analysis.

4.1 Analysis of the Throughput Anomaly

By analyzing the gathered data, we conclude that the interaction of the following items causes the dramatic throughput decrease:

- 1. The sender's send buffer size
- 2. The receiver's receive buffer size
- 3. The MTU of the ATM interface
- 4. The TCP maximum segment size (MSS)
- The way user data is added to the TCP send buffer
- Sender side Silly Window Syndrome avoidance (Nagle's algorithm)

7. The receiver side delayed acknowledgment algorithm

The first two items are configurable by applications in BSD derived UNIX by using *setsockopt* system call. The MTU of Fore Systems' ATM interface card is 9188 octets for IP over ATM when using AAL5. SunOS 4.1.1 calculates TCP MSS as 9148 octets (i.e., 9188 minus the default TCP and IP header sizes) in our ATM network configuration. Items 5 to 7 are implementation related; we describe how SunOS 4.1.1 implements them below.

4.1.1 Adding Data to TCP Buffer

The SunOS 4.1.1 implements TCP buffers as a list of *mbufs* [12]. Each mbuf can store up to 112 octets of data or contain a pointer to a 1K octet memory block for storing large messages. During bulk data transfer, if the send buffer is larger than 4K and the user has more than 4K data to sent, SunOS adds user data in blocks of 4K octets to mbufs, then invokes a TCP routine to transmit the data; if the available space in the send buffer is smaller than 4K, SunOS adds data in multiples of 1K octet block.

4.1.2 Sender Side Silly Window Syndrome Avoidance (Nagle's Algorithm)

Silly Window Syndrome (SWS) [7] is characterized as a situation in which a steady pattern of small TCP window increments results in small data segments being transmitted. Sending small data segments lowers TCP throughput because TCP and IP headers consume network bandwidth. To avoid SWS, both sender and receiver must implement SWS avoidance algorithm [3]. On the receiver side, it must avoid advancing the right window edge in small increments when it receives small data segments. On the sender size, it must avoid sending small data segments to the receiver even if the receiver has space available to accept them.

For applications that are character-oriented (e.g., remote login, TELNET [18]), every character generated by the applications must be *pushed* explicitly by the application or TCP to avoid deadlocks. If a TCP transmits every pushed data, the result is a stream of one octet data segments. To better utilize network resources, a TCP tries to buffer segments that are small compared to the size of TCP and IP headers. However, to avoid deadlock, TCP must not buffer a data segment that needs immediate delivery. Nagle's algorithm [13] provides a simple solution to the dilemma: if there is unacknowledged data, TCP buffers all data (even if the PUSH bit is set) until TCP can



Figure 4: Illustration of a sender's usable window

send an MSS segment or until all the outstanding data has been acknowledged [3, 13]. Note that Nagle's algorithm also provides sender side SWS avoidance. SunOS 4.1.1 TCP uses the following two conditions to avoid sending small data segments:

- S1: If min(D, U) >= 1 * MSS, then transmit a segment with 1*MSS octet of data. D is the amount of data to be transmitted, U is the usable window [7] (i.e., the available receive buffer space in the receiver) as illustrated in Figure 4.
- S2: If an ACK from the peer acknowledges all the outstanding data and there are X octets of data waiting in the send buffer, then transmit a segment with min(X, U) octets of data.

In condition S1, if a sender has at least 1*MSS octets of data to be transmitted and the receiver has space to receive an MSS segment, TCP transmits an MSS segment. Condition S2 requires TCP



Figure 5: Illustration of how a TCP determines when to send data over an ATM path

to transmit buffered data when the peer acknowledges all the outstanding data. When there is unacknowledged data, conditions *S1* and *S2* allow TCP to buffer small data segments until it can send an MSS segment or all the unacknowledged data have been acknowledged. Note that when the connection is idle (i.e., there is no unacknowledged data), TCP immediately transmits data added the send buffer even the amount of data is less than 1*MSS.

Figure 5 illustrates how a sending TCP with 16K send buffer uses conditions *SI* and *S2* to decide when to transmit data segments over an ATM path to a receiver with 24K receive buffer. SunOS 4.1.1 TCP transmits 4K octets in the first segment because the connection was idle. Because TCP MSS is 9148 octets, after SunOS finishes adding the fourth 4K octet block, TCP transmits a second data segment with 9148 octet of data leaving 3140 (12K - 9148) octets of data queued in the send buffer (condition SI). When the peer acknowledges all the unacknowledged data in the third segment, TCP transmits a 3140 octet data segment (condition S2).

4.1.3 Receiver Side Delayed ACK

A receiving TCP can increase TCP throughput, reduce protocol processing at both ends, and generate less traffic by using delayed ACK [7]. A receiving TCP implements delayed ACK by generating fewer than one ACK per data segment received. A TCP should implement delayed ACK [3], but should not excessively delay an ACK because TCP uses ACKs to estimate packet roundtrip time and determine how much more data to transmit [3, 11]. RFC-1122 recommends that in a stream of full-sized segments there should be an ACK for at least every second segment. SunOS 4.1.1 TCP implements delayed ACK and uses it by default. The following two conditions determine when a SunOS 4.1.1 TCP should transmit an ACK if delayed ACK is used:

RI: If the receive sequence space has advanced at least 2*MSS octets and receive buffer is empty, then transmit an ACK. R2: If the receive sequence space has advanced at least 35% of the total receive buffer space, then transmit an ACK.

Note that the receive sequence space advances as an application extracts data from the receive buffer, and TCP checks condition RI before condition R2. Condition RI guarantees TCP acknowledges the peer after every two MSS segments received by an application. For a receiving TCP with a small receive buffer, as compared to TCP MSS, condition R2 generates ACKs to allow the sending TCP to transmit more data.

RFC-1122 mandates that a TCP must ACK the peer within 500 milliseconds (ms) after receiving data. Observe that the above two conditions do not guarantee that a receiving TCP will meet the requirement. For example, if an application extracts data from the receive buffer too slowly, TCP can delay sending an ACK for more than 500 ms. Therefore, SunOS 4.1.1 TCP schedules a *delayed ACK timer* event every 200 ms to check for possible delayed ACKs [12]; an ACK is transmitted when the timer expires and ACKs have been delayed.

4.1.4 Anomalous Behavior

TCP experiences low throughput while a sending TCP (A) has a buffer of 16K octets and a receiving TCP (B) has a buffer of 24K octets. As Figure 6

		Seg. Label	TCP A (Sender)		TCP B (Receiver)	Comment					
Il State		1	data: 4096			·					
		2	data: 9148			S1 (3140 octets waiting in buffer)					
					ACK 1, 2	R2 (13244 > 0.35 * 24K)					
		3	data: 3140			S2 (3140 = 12K - 9148)					
		4	data: 9148			\$1 (3140 octets left in buffer)					
		-			ACK 3, 4	R2 (12288 > 0.35 * 24K)					
Ē		:	(repeat 8 times)								
ž		21	data: 3140			$S_{2}(3140 = 12K - 9148)$					
		22	data: 9148			SI (3140 octets waiting in buffer)					
		Al		-	ACK 21	ACK generated by delayed ACK timer					
_	<u> </u>	A2		-	ACK 22	R2 (7236 octets waiting in buffer)					
ormal State		23	data: 7236	-		S2 (7236 = 3140 + 4096)					
			(200 ms later)			R1, R2 (7236 < 0.35 * 24K)					
					ACK 23	ACK generated by delayed ACK timer					
		24	data: 8192 🛛 🛏								
			(200 ms later)			RI, R2 (8192 < 0.35 * 24K)					
Ab					ACK 24	ACK generated by delayed ACK timer					
	ı		(repeat till the end of trabsmission)								
	_	Note: 1. The send and receive buffer sizes are 16K and 24K, respectively.									

2. S1, S2, R1, and R2 are condition labels.

Figure 6: Illustration of the segment exchange between two TCP that leads to a deadlock state

illustrates, after A sends segments 1 and 2 to B, it reaches a steady state consists of two data segments from A and an immediate ACK from B. In segment A1, the delayed ACK timer generates an ACK for segment 21; the ACK allows SunOS to add 4K octets of data to the send buffer. After A receives segment A2 that acknowledges all the outstanding data, by condition S2, it immediately sends a data segment with 7236 (3140+4096) octets to B. Because 7236 is less than 2*MSS and also less than 35% of 24K, B delays acknowledging A and expects A to send more data.

In the mean time, A adds only 8K octets of data to the send buffer even although the send buffer has 9148 octet space available. Because 8K is less than 1*MSS and does not satisfy condition S2, A waits for an ACK from B before transmitting the 8K data.

A circular-wait situation has occurred: A is waiting for an ACK from B before it sends more data, and B is waiting for more data from A before it sends an ACK. Finally, B's delayed ACK timer expires and causes B to send an ACK that breaks the circular-wait. After A responds to the ACK from B by sending an 8K data segment, the circular-wait situation occurs again. Thus, a lockstep interaction in which a circular-wait followed by an ACK that breaks the circular-wait has established. Because the delayed ACK timer generates one ACK per 200 ms, the sender experiences unnecessarily long delay before sending additional data. Therefore, two hosts connected via a high-speed network waits to send data while the ATM network remains idle. As a result, TCP throughput decreases dramatically.

4.1.5 Discussion

When a sender with 16K octet buffer communicates with a receiver with 24K buffer, as Figure 6 illustrates, an ACK segment (segment A1) from B that acknowledges a data segment with 3140 octets causes A to enter a circular-wait and then a steady state of lockstep transmissions that lowers TCP throughput significantly. Because the delayed ACK timer generates the ACK, the time at which the first circular-wait occurs depends on when the delayed ACK timer will generate such an ACK. The longer the data transfer takes, the more likely a transition to the steady state of lockstep transmissions becomes. Once in the steady state of lockstep transmissions, TCP throughput is approximately 8K octets per 200 ms (or 0.3125 Mb/s).

Certain combinations of send and receive buffer sizes cause the circular-wait situation to occur shortly after connection establishment. For example, when a sender with 16K buffer communicates with a receiver with a 36K buffer, the first circular-wait occurs after the sender transmits the fourth data segment (see Figure 6) because 12288 (3140+9148) is less than 18296 (2*MSS) and 12903 (35% of 36K). Then, a steady state of lockstep transmissions that yields a throughput of 12288 octets per 200 ms (or 0.46875 Mb/s) occurs. However, if the delayed ACK timer generates an ACK for segment 3, a different lockstep pattern of transmissions that yields a throughput of 8K octets per 200 ms (or 0.3125 Mb/s) occurs.

Figure 7 summarizes the behavior of lockstep transmissions that reduces TCP throughput substantially for various combinations of send and receive buffer sizes; it shows the segment exchange pattern to be repeated till the end of the transmission, the throughput achieved when the steady state of lockstep transmissions occurs. It also indicates whether the delayed timer triggers the transition to the steady state of lockstep transmissions or not.

4.1.6 Preventing Anomalous Behavior

If an implementation of TCP that uses delayed ACKs follows the recommendation of RFC-1122 to generate an ACK each time it receives at least two MSS segments, then a sending TCP can prevent the anomalous behavior from occurring, regardless of the receiver buffer size, by using a send buffer no smaller than 3*MSS octets. When the send buffer contains 3*MSS octets, TCP either allows at least 2*MSS octets of data to be outstanding (in case the receive buffer can hold



Receive buffer size: 24K, 28K, 32K, 36K 40K, 44K, 48K, 51K Lockstep pattern of transmissions:

(repeat till the end of transmission)

Triggered by delayed ACK timer? Yes Throughput lower bound: 0.315 Mb/s

Send buffer size: 20K



Triggered by delayed ACK timer? No Throughput lower bound: 1.094 Mb/s

Send buffer size: 24K



Send buffer size: 16K Receive buffer size: 36K, 40K, 44K, 48K, 51K Lockstep pattern of transmissions: data: 3140 ______ data: 9148 ______ (200 ms later) ______ ACK (repeat till the end of transmission) Triggered by delayed ACK timer? No Throughput lower bound: 0.469 Mb/s.

Send buffer size: 20K



Triggered by delayed ACK timer? No Throughput lower bound: 0,547 Mb/s

Figure 7: Summary of the lockstep transmission behavior that decreases TCP throughput dramatically



Figure 8: Illustration of main states observed during a data transfer

3*MSS octets or more), or allows at least 35% of the receive buffer size to be outstanding (in case the receive buffer is smaller than 3*MSS octets). Thus, the receiver will always acknowledge the sender promptly (conditions R1 and R2).

4.2 Other Observation

Table 1 and Figure 9 (a) in Appendix show that a sending TCP with 16K buffer achieves better performance if a receiver *reduces* its buffer size from 20K to 16K. The main reason for the observed unintuitive result is because SunOS 4.1.1 TCP uses the following condition, in addition to conditions *S1* and *S2*, to determine when to send a data segment:

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S3: If $L \ge max_sndwnd/2$, then transmit a segment with L octet of data, where L is min(D, U), D is the amount of data to be transmitted, U is the usable window, and max_sndwnd is the largest receive window the peer has offered.

Because SunOS 4.1.1 TCP checks conditions S1 and S2 before condition S3, L is always less then 1*MSS. When a receiver's buffer space is small (less than 2*MSS), condition S3 allows TCP to send data segments that are smaller than 1*MSS. To see how SunOS 4.1.1 TCP uses condition S3 to send data, consider a sending TCP with 16K buffer communicates with a receiving TCP with 16K buffer over an ATM path. Because the receiving TCP uses a 16K buffer, the largest

receive window it offers to the peer is 16K (i.e., sender's max_sndwnd is 16K). Assuming that the receiver has buffer space at least 8K to accept the incoming data and the sender's buffer contains more than 8K data ready to be transmitted, by applying condition S3, the sender can transmit an 8K data segment to the receiver because 8K $>= max_sndwnd/2$.

Figure 8 (i) illustrates two main states observed during a data transfer between a sender with 16K buffer and a receiver with 16K buffer, and Figure 8 (ii) illustrates two main states observed during a data transfer between the same sender and a receiver with 20K buffer. States (a) and (c) consist of a steady state of lockstep interaction in which the sender repeatedly transmits two data segments, waits for an ACK, then receives an ACK from the receiver. Notice that two segments in state (a) carries the same amount of data as the two segments in state (c). State (b) consists of a steady flow of 8K data segments from the sender mixed with the corresponding ACKs from the receiver. State (d) consists of a steady state of lockstep interaction in which the sender repeatedly transmits a 1*MSS data segment, waits for an ACK, then receives an ACK from the receiver. Comparing states (b) and (d) reveals the cause for the observed throughput difference.

State (b) occurs because sender and receiver both use a 16K buffer, hence the sender can apply condition S3 to transmit two 8K data segments before waiting for an ACK. Furthermore, because the receiver consumes the incoming 8K data segments fast enough, it generate ACKs in time for the sender to send additional 8K segments. Thus, a continuous flow of 8K data segments between sender and receiver establishes.

In State (d), because receiver's buffer space is larger than 2*MSS, the sender does use condition S3 to send data. After the sender sends an 8K segment, it waits for an ACK before sending another 8K segment because 8K is less than 1*MSS. The repeated waiting for an ACK before sending additional data causes TCP to perform worse than a continuous flow of 8K data segments observed in state (b).

5 Summary

The results of the performance measurements show that TCP protocol software that performs well in a conventional LAN environment may suffer poor performance in a high-speed ATM LAN environment. The large MTU used by ATM creates a circular-wait situation not previously observed on a conventional LAN. The circular-wait, which can only be broken by the receiver's delayed ACK timer, creates a lockstep interaction in which the sender repeatedly experiences unnecessarily long delay before sending additional data. Thus, the network remains idle while data is waiting to be transmitted. As a result, TCP throughput decreases dramatically.

We observed a throughput anomaly in which an increase in the receiver's buffer size reduces throughput substantially. The anomaly is particularly annoying and surprising to users of a high-speed ATM LAN when they discover that the same ftp program they use to transfer files on an 10 Mb/s Ethernet can perform much worse on an ATM connection with 100 Mb/s interface hardware.

Large MTU size, as compared with the TCP buffer sizes, and mismatched TCP send and receive buffer sizes are the main cause of the anomalous behavior. We conclude that a TCP can prevent such a behavior from occurring, regardless of the receiver buffer size, by using a send buffer size no smaller than 3*MSS. The solution is especially effective in a heterogeneous distributed environment because a sending TCP does not have control over the receive buffer size chosen by the peer. However, a sending TCP knows the TCP MSS and has control over its send buffer size.

Finally, it is worth noting that the significant throughput decrease observed in this paper is not restricted to ATM. Any environment with analogous combinations of TCP send and receive buffer sizes can experience poor throughput. For example, two hosts attached to the same Ethernet running a standard 4BSD-derived TCP (e.g., SunOS 4.1.1 TCP) will experience poor performance when the sending TCP uses a 3K octet send buffer and the receiving TCP uses a 6K octet receive buffer.³

6 Trademarks

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³We assume that TCP calculates MSS as 1460 octets.

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(b)

Figure 9: TCP throughput vs. buffer sizes





Figure 10: TCP throughput vs. buffer sizes (con't)





Figure 11: TCP throughput vs. buffer sizes (con't)





Figure 12: TCP throughput vs. buffer sizes (con't)





Figure 13: TCP throughput vs. buffer sizes (con't)