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Orbital Stark effect and quantum confinement transition of donors in silicon

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Adiabatic shuttling of single impurity bound electrons to gate-induced surface states in semiconductors has attracted much attention in recent times, mostly in the context of solid-state quantum computer architecture. A recent transport spectroscopy experiment for the first time was able to probe the Stark shifted spectrum of a single donor in silicon buried close to a gate. Here, we present the full theoretical model involving large-scale quantum mechanical simulations that was used to compute the Stark shifted donor states in order to interpret the experimental data. Use of atomistic tight-binding technique on a domain of over a million atoms helped not only to incorporate the full band structure of the host, but also to treat realistic device geometries and donor models, and to use a large enough basis set to capture any number of donor states. The method yields a quantitative description of the symmetry transition that the donor electron undergoes from a three-dimensional Coulomb confined state to a two-dimensional (2D) surface state as the electric field is ramped up adiabatically. In the intermediate field regime, the electron resides in a superposition between the atomic donor states and the 2D surface states. In addition to determining the effect of field and donor depth on the electronic structure, the model also provides a basis to distinguish between a phosphorus and an arsenic donor based on their Stark signature. The method also captures valley-orbit splitting in both the donor well and the interface well, a quantity critical to silicon qubits. The work concludes with a detailed analysis of the effects of screening on the donor spectrum.

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I. INTRODUCTION

AQ: #28 A key feature behind the remarkable progress in solid-state electronics over the past years has been the ability to modulate the conductivity of semiconductor devices at will by using ensembles of dopants. As we approach the era of nanoscale electronics, dopants have yet another interesting role to play. Individual dopants at low temperatures provide three-dimensional (3D) confinement to electrons and holes on length scales that are greater than individual atoms but usually less than that of quantum dots. These naturally occurring carrier traps not only provide access to a number of quantum phenomena typically associated with natural or artificial atoms, but also provide possibilities of wave-function engineering^{1,2} by classical control mechanisms with electric and magnetic fields. The homogeneity of the confining potential from one dopant to another of the same species is an added advantage over quantum dots, which are usually not identical in practice. On the other hand, the small length scales associated with dopants can make individual donor gate control difficult to achieve. Among other factors, developments in this area rely on a boost in the ability to scale down gate lengths to tens of nanometers.

Already, donors have been used in some elegant quantum computing (QC) proposals that draws upon the vast expertise of the semiconductor device industry. One particularly interesting proposal that renewed interest in the quantum mechanics of donors is the Kane qubit,³ which encodes quantum information in the nuclear spin of a phosphorus donor in silicon, and engineers the donor electron wave function by

electrodes to manipulate information. Several other spinoffs of the Kane qubit include encoding qubits in the electronic spin of the donor electron^{4,5} or in the spatial orbitals of a singly ionized molecule of two donors.⁶ In Ref. 7, an excited state-based encoding scheme was also presented with the deep donors in Si. Recent schemes have also proposed the use of a bilinear array of electron spin qubits⁸ with semiglobal field control⁹ to enhance scalability of the Kane architecture and to incorporate quantum error correction and the associated circuitry. In addition to the promise of scalable system design, such architectures also benefit from the long-spin coherence times in Si.

The Kane qubit proposal has spurred a number of experimental efforts aimed at fabricating donor-based nanostructures and developing single atom¹⁰ or ion¹¹ implantation technologies. Some of the recently fabricated structures in the laboratory include a gated charge qubit device of two donors,¹² a metallic wire of donors,¹³ a single donor in a FinFET corner,^{1,14} and a delta-doped layer of discrete dopants.¹⁵ Recent experiments have been successful in measuring Stark shift of the hyperfine coupling of donors in Si,² coherent oscillations of a P donor spin,¹⁶ orbital Stark effect of a donor coupled to a triangular well,¹ and charge relaxation of a donor charge qubit.¹² Optical spectroscopy experiments have already revealed a rich excited electronic structure of bulk donors at zero fields.¹⁷⁻¹⁹ The extensive on going research efforts in this area are aimed at ultimately achieving the initialization, readout, and control of individual donor spins.

A single donor in Si in the proximity of a gate forms an important system in quantum electronics. Thus, a great deal

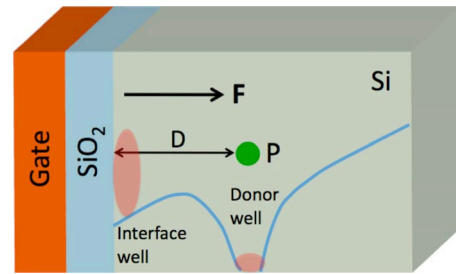


FIG. 1. (Color online) A schematic of a single-donor device. An electric field perpendicular to the oxide interface generates a potential well at the surface, which can couple to the Coulombic potential well produced by a donor. The electronic structure of the whole system is sensitive to the donor depth D and the applied field F .

energy spectrum of donors buried deep in bulk silicon. Inclusion of the p states in the calculation³⁰ was shown to improve the evolution trend of the ground state obtained from a $1s$ -manifold model.²⁸

While most other works have been done on P donors, we have modeled P and As impurities in detail to help positively identify the impurities found in the experiment as As. We also investigate the effect of various types of screening on the donor spectrum. Such screening effects in real devices can range from purely metallic to half-metallic or insulator type, and need to be a part of any realistic donor-interface model.

A schematic of the device under investigation is shown in Fig. 1. A Group V donor is located a distance D from the oxide barrier in a lattice of [001] grown Si. The donor generates a Coulomb potential well that traps an electron at low electric fields and at low temperatures. A unidirectional electric field is applied perpendicular to the oxide surface, and generates a triangular well at the interface. At low-electric fields, the donor well is much lower in energy than the triangular well, and the lowest states of the system are localized in the donor well with symmetries permitted by a 3D Coulomb well, host band structure and interface effects. At high-electric fields, the interface well is lower in energy, and states are localized at the surface forming a two-dimensional (2D) system, weakly bound by the lateral Coulomb potential. The transition from the 3D Coulomb confinement to the 2D surface states occur at intermediate field values at which the two wells are almost aligned in energy, resulting in a strong hybridization of the Coulomb and the surface states.

This paper is organized as follows. In Sec. II, we elaborate on the details of the method. In Sec. III, we discuss the Stark spectrum of a donor in detail dividing the spectrum into three field regimes. Explanations of interface effects, donor species and depths, valley splitting in interfacial states, and effects of image charges are also provided. Section IV concludes this work.

II. METHOD

The tight-binding method employed in this work utilizes the 20 band $sp^3d^5s^*$ spin model with nearest neighbor interactions. This model is based on representing wave functions

of effort has gone into understanding the gate control of the electron wave function.^{20–35} By applying suitable gate voltages, the donor bound electron can be ionized to the surface, where it is convenient to measure its spin and to perform quantum control. The ionization process is adiabatic if the donor is close to the interface but abrupt for donors buried deep into the host.^{20,26,27} Donors close to interfaces have also been studied recently in the context of quantum computing. In a digital version of the Kane qubit, Skinner *et al.*³⁶ proposed a gate directed subinterfacial transport mechanism of an ionized donor electron as a means of information transport. Calderon *et al.* has calculated typical adiabatic shuttling times of the electron between the donor and the interface, both from single³² and two-valley³³ effective mass models, and concluded that the tunneling time can be sensitive to the donor depth from the interface. A single-valley effective mass approach³⁴ investigated the ionization process in the presence of metallic gates. In other works,^{37,38} it was suggested that entangling the laterally confined ionized electrons at the surface could offer more robust control over two-qubit operations, and may help to circumvent the J-oscillation problem encountered in entangling donor-bound electron spins.³⁹

In a recent experiment,¹ the electric field-dependent electronic structure of a donor near an interface was probed for the first time, thus, demonstrating the soundness of the theoretical proposals. The experiment involved resonant tunneling through single-donor states, and made use of single donors embedded in the corners of commercial FinFETs. To understand the transport data, we employed a tight-binding-based large-scale device simulation involving over a million atoms, and obtained an accurate quantitative description of the donor spectrum. As a result, not only were we able to infer the depths of the donors and the electric fields they were subjected to, but also we could deduce the species of the donors from their Stark signature.⁴⁰

In this paper, we elaborate on the theoretical analysis of the gated surface-proximal donor system, and also offer a more comprehensive view of the quantum-confinement transition observed in the FinFET measurements. In earlier works on this system, trial wave functions were employed in a limited basis using either hydrogenic states, or restricted valley effective mass theory. While these works are important milestones in our understanding of the system, the intuitive effective mass or hydrogenic approaches generally do not provide the precision required to test and interpret experimental data. Such EMT calculations only provide an incomplete description of the electronic structure, and are not able to capture many excited states, some of which could be probed in the experiments. In going beyond effective mass theory, the band minima basis method introduced in Ref. 27 is able to describe excited donor levels in a large basis of conduction band states, but is not optimized for devices with linear dimensions beyond 10 nm. The tight-binding method involves a full-band-structure, and due to its large atomistic basis set, can capture most parts of the donor spectrum. A more complete description can provide correct trends of energy states and correct symmetry transitions of the wave functions particularly near the ionization regime.

The importance of the excited states in the basis has been evident from previous EMT works on the Stark shift of the

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189 of solid-state systems with linear combination of atomic or-
 190 bitals (LCAO) after the semiempirical treatment proposed by
 191 Slater and Koster.⁴¹ The model parameters were optimized
 192 by a genetic algorithm procedure⁴² with analytically derived
 193 constraints⁴³ to fit critical features of the Si band structure.
 194 This is a widely applied technique in semiempirical tight-
 195 binding theory to model a host of semiconductor materials.
 196 The donor was modeled by a Coulomb potential screened
 197 by the dielectric constant of Si. The donor potential assumes
 198 a cutoff potential U_0 at the donor site, the magnitude of
 199 which was adjusted to obtain the ground-state binding energy
 200 of the donor. It was shown in an earlier work⁴⁴ that the
 201 magnitude of U_0 approximates the strength of the valley-
 202 orbit (VO) interaction responsible for lifting the sixfold de-
 203 generacy of the 1s manifold of a bulk donor. The full Hamil-
 204 tonian of the host and the donor subjected to a constant
 205 electric field and modified closed boundary conditions
 206 (BC)⁴⁵ was diagonalized by parallel Lanczos algorithm to
 207 extract the relevant part of the donor spectrum⁴⁶ with the
 208 nanoelectronic modeling tool-3D (NEMO-3D) simulation
 209 engine.^{42,47} Each of the simulations in this work typically
 210 used a 3D zincblende atomistic lattice of about 1.4 million Si
 211 atoms, and took 6 h on 40 processors to capture 14 energy
 212 states.⁴⁸

213 Since the oxide barrier is about 3 eV above the conduc-
 214 tion band (CB) minima of Si, while the relevant states in this
 215 work span a 100 meV range below the CB, a hard-wall BC is
 216 well justified as an interface model. An abrupt hard-wall BC
 217 in an atomistic simulation domain, however, introduces non-
 218 physical surface states over a broad range of energies includ-
 219 ing a large number of states inside the bandgap. The separa-
 220 tion of these nonphysical states from the relevant physical
 221 states is a computation challenge as it hampers the effective-
 222 ness of the Lanczos algorithm. Ref. 45 introduced a modified
 223 hard-wall BC in which the energies of the exposed dangling
 224 bonds of the surface atoms were raised to eliminate these
 225 nonphysical states from the relevant part of the spectrum. In
 226 essence, this BC mimicks the passivation of dangling bonds
 227 at the surface. This modified hard-wall BC has proved very
 228 robust⁴² and has negligible effect on the physically relevant
 229 states near the band edges.

230 This tight-binding technique was previously used to com-
 231 pute Stark shift of the donor hyperfine interaction³⁵ in good
 232 agreement with ESR experiments.² The model has also been
 233 applied to compute valley splitting in quantum wells in the
 234 presence of lattice miscuts and alloy disorder,⁴⁹ and to model
 235 quantum dots for optical communication wavelengths.⁵⁰

236 III. RESULTS AND DISCUSSIONS

237 It is well known that a Group V donor in bulk Si has an
 238 orbital singlet ground state of A_1 symmetry, an orbital triplet
 239 manifold of first excited states of T_2 symmetry, and an or-
 240 bital doublet manifold of second excited states of E_1
 241 symmetry.⁵¹ The six lowest states of the donor are of 1s type,
 242 and arise from the sixfold degenerate conduction band
 243 minima of Si. For a P donor in Si, the above three manifolds
 244 are at -45.6 , -33.9 , and -32.6 meV, respectively, below the
 245 conduction band.¹⁷ In addition, there are higher manifolds of

notably $2p$ and higher states bound at approximately 246
 -11 meV. In comparison, the only notable difference in this 247
 spectrum for an As donor is the ground state energy of 248
 -54 meV instead of -45.6 meV. 249

The splitting of the six 1s states of a donor into the three 250
 components described above is due to the VO interaction,⁵² 251
 which is the result of coupling between the conduction band 252
 valleys produced by the rapidly varying donor potential in 253
 the vicinity of the nucleus. The VO interaction varies from 254
 one donor species to another due to the species-dependent 255
 microscopic variation of the donor potential in the central 256
 cell. These central cell effects are caused by a number of 257
 factors such as distance-dependent dielectric screening and 258
 local strain in the bonds between the donor and the host 259
 atoms.^{27,52} 260

In Fig. 2, we show the Stark shifted spectra of donors in 261
 Si. Figure 2(a) shows the spectrum for an As impurity at a 262
 depth of 3.8 nm (7 lattice constant, a_0) from the interface. 263
 Figure 2(b) shows the spectrum of a P donor at the same 264
 depth, while Fig. 2(c) is for a P donor 15 nm from the inter- 265
 face, mimicking a bulk donor as surface effects do not influ- 266
 ence the donor states at zero field. The field range is chosen 267
 such that we capture the entire transition of the donor elec- 268
 tron from the impurity well to the interface well. The follow- 269
 ing analysis is broken down into three field (F) regimes. In 270
 this work, the zero of the energy is taken to be the conduc- 271
 tion band minimum at the donor site. 272

A. Coulomb confined regime 273

At $F=0$, the states are all confined to the donor well. 274
 While the bulk impurity case of Fig. 2(c) shows the singlet, 275
 triplet and doublet manifolds at the respective energies de- 276
 scribed above, an interface breaks this symmetry for a donor 277
 located close to the Si boundary. For both an As and a P 278
 donor about 3.8 nm from the interface, the degeneracy of the 279
 triplet (doublet) states is lifted. A closer look at the zero-field 280
 states as a function of donor depth, as shown in Fig. 3, re- 281
 veals the effect of a planar interface on these Coulomb con- 282
 fined states. As the donor depth decreases, all the states are 283
 pushed up in energy due to confinement, similar to what is 284
 observed in a quantum well as the width of the well de- 285
 creases. The triplet state is split into components of two and 286
 one, while both the doublet states are split. The twofold de- 287
 generate component of the triplet approaches one of the dou- 288
 ble states at about a donor depth of 5 nm ($9a_0$). The states 289
 are restored to their bulk symmetries at larger depths of 290
 about 7 nm ($13a_0$). 291

At low-electric fields, the ground state is unaffected [Fig. 292
 2(a)], while the higher states evolve downwards in 293
 energy.^{30,32} This downward movement is more pronounced 294
 for the higher manifold of p states. 295

For small donor depths, the s -type excited states and the p 296
 states exhibit a slight upward movement with field before 297
 following their general trend of downward evolution in the 298
 energy scale. A similar effect was also observed in our earlier 299
 work on the Stark shift of the contact hyperfine coupling,³⁵ 300
 and could be explained by simple symmetry arguments from 301
 perturbation theory (PT). The energy shift given by first- 302

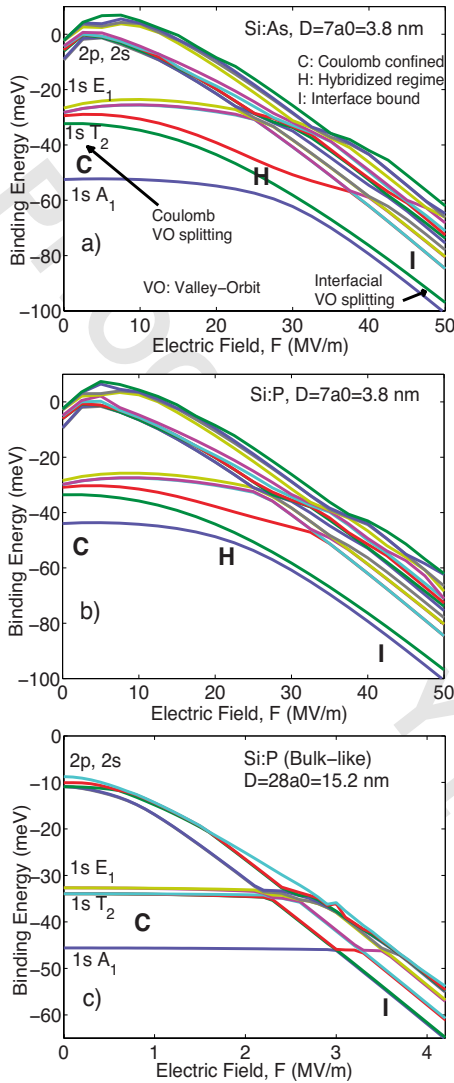


FIG. 2. (Color online) The electronic structure of a donor near an interface as a function of electric field. a) and b) depict the spectrum of an As and P donor, respectively, at a depth of 3.8 nm (7 lattice constants, a_0), while c) is for a P donor at 15 nm depth (bulk-like case). The letters C, H, and I mark the three confinement regimes: Coulomb confined (c), Hybridized between donor and interface states (h), and 2D interface confined (i). The zero of energy is the conduction band minimum at the donor site.

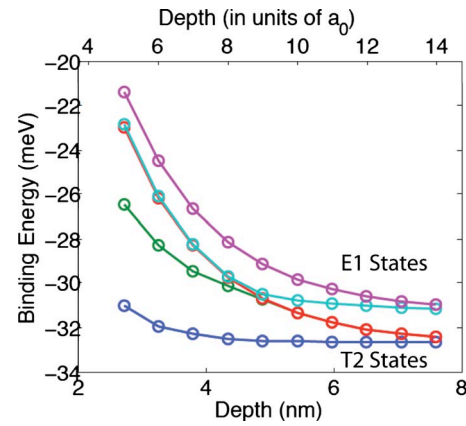


FIG. 3. (Color online) The orbital triplet (T_2) and the orbital doublet (E_1) manifolds as a function of donor depth. While all the states are pushed up by confinement, components of T_2 and E_1 are seen to anticross each other at low depths.

=0 for the As donor at 3.8 nm depth. The first six states are 318
seen to have 1s-type symmetries although they are truncated 319
by the interface. The seventh and eighth states are of p like, 320
and was also shown in Ref. 34, although a few of the 1s 321
states arising due to the multivalley structure of Si were not 322
captured in that work. 323

B. Hybridized regime 324

As the electric field increases, a triangular well is formed 325
at the interface, and the higher states of the system have 326
interfacial confinement. At higher field values, the interface 327
well and the donor well are somewhat aligned in energy. At 328
this point, the higher lying p states and the interface states 329
mingle with the 1s manifold (Fig. 2) pushing the whole 330
manifold downwards in energy. In this regime, strong hy- 331
bridization is observed between the donor states and the 332
interface states, as the donor bound electron begins its ioniza- 333
tion to the interface. The second excited state (S3), which 334
was moving downwards in tandem with the first excited state 335
(S2), begins to anticross the ground state (S1), while S2 con- 336
tinues to evolve downwards. At this point, the ground state 337
begins to evolve downwards while S3 moves up and mixes 338
with the higher states. This regime marks a symmetry transi- 339
tion from the 3D Coulomb confined states to 2D interface 340
states. This also serves as a signature of an atomic Coulomb 341
well linked to a gate-generated 2D electronic system. 342

The middle column of Fig. 4 shows some of the wave 343
functions in this hybridization regime. The electron resides 344
in a superposition of the donor state and the interfacial state, 345
as shown in the probability densities of S1, S2, and S3. 346
States S4 and S5 are actually excited interface states, which 347
penetrated the 1s manifold of the donor. S6, S7, and S8 are 348
still confined at the impurity. 349

C. Interfacial confinement regime 350

Increasing the electric field further pushes the interface 351
well below the impurity well. As a result, the states are 352
mostly localized in the interface well and has 2D symme- 353

303 order PT for a constant E-field F directed along y is
304 $\langle \psi | Fy | \psi \rangle$. For a symmetric unperturbed wave function ψ
305 about the donor nucleus at $y=0$, this first-order shift evalu-
306 ates to zero because of the odd function Fy . This is usually
307 the case for a bulk donor, and one has to resort to second or
308 higher order PT to give the usual Stark shift trends. On the
309 other hand, if the donor is close to the interface, many of the
310 wave functions are no longer symmetric about the nucleus
311 due to the truncation effect of the interface. As a result, there
312 can be first-order energy shifts that depend on the sign of F .
313 A slightly more rigorous treatment of this effect has been
314 presented in Ref. 35 in the context of the hyperfine interac-
315 tion.

316 The 8 lowest wave functions (S1 through S8) are shown
317 in Fig. 4. The left column shows the wave functions at F

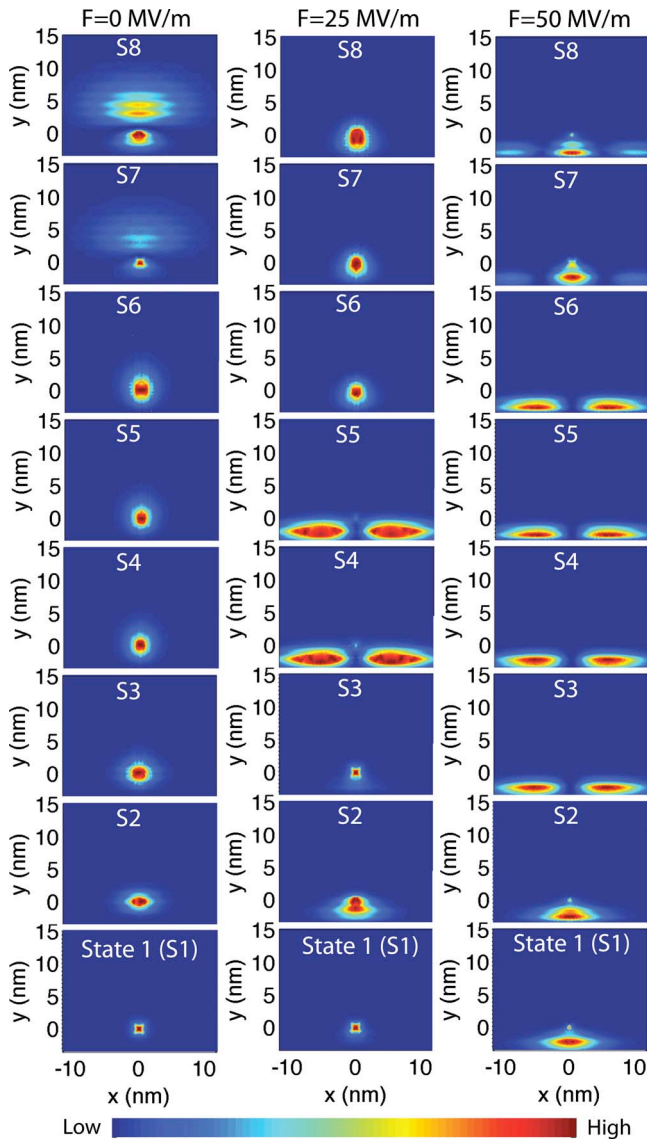


FIG. 4. (Color online) The lowest 8 single electron probability densities ($|\Psi|^2$) of the Si:As system in the a) Coulomb confined regime (left column), b) hybridized regime (middle column), and c) interfacial confinement regime (right column). The As donor is at 3.8 nm from the interface, and its energy spectrum is shown in Fig. 2(a). The field and depth are both in the y direction. The plots show a 2D cut through the $z=0$ plane passing through the donor center.

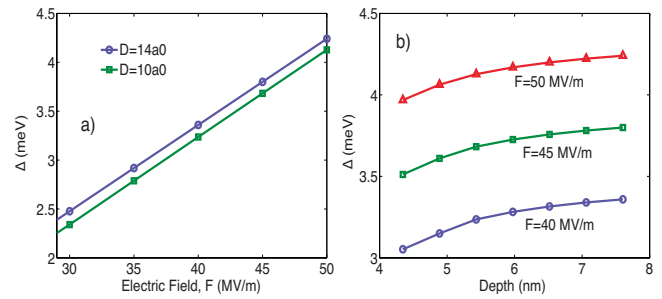


FIG. 5. (Color online) a) Energy difference (Δ) between the two lowest interfacial states, S1 and S2, as a function of a) field, and b) donor depth.

States comprising of one, two, and three lobes are observed in Fig. 4 (right column) in this regime. The higher states S7 and S8 are still somewhat hybridized with donor states, whereas the lowest states do not have much electron density near the impurity site.

The splitting between the two lowest states in this field regime is due to valley splitting resulting from confinement. The strong confinement potential of the hard wall interface on one end and the electric field on the other cause coupling between the two lowered valleys, and result in a splitting between the states to which these valleys contribute. This phenomena has been studied in Si quantum wells and dots, where valley splitting can be engineered to separate out the spin states used for encoding qubits.

In Fig. 5(a), we plot this interfacial valley splitting as a function of electric field for two different donor depths. In the field regime shown, valley splitting increases linearly with the field as the triangular confinement provided by the electric field becomes stronger. Figure 5(b) shows valley splitting as a function of donor depth at three field values. At a constant electric field, the splitting seems to increase non-linearly with donor depths, and flattens out at higher depths. This is a consequence of the fact that a higher field is needed to ionize the electron bound to donors closer to the interface. While the confinement provided by the interfacial hard wall was held fixed for the data in Fig. 5, we will show later that the magnitude of the valley splitting is affected by image charges that modify the interfacial confinement potential. However, the general trends of the graphs in Fig. 5 with field and depth remain unchanged irrespective of the screening effects.

The presence of this interfacial valley splitting is critical for proposals in which 2D confined electrons at the surface are to be used as qubits either in the form of double quantum dots analogous to experiments done in GaAs or donor-dot hybrid qubits. By increasing the field, the two-valley split states can be separated out and quantum information can be encoded in the twofold degenerate spin states, so as to minimize decoherence effects. However, such architectures need to account for the interface dependence of the valley splitting.

D. Donor species and depths

Comparison of the Stark shifted spectrum of an As donor with a P donor at the same depths of 3.8 nm, as shown in

tries. It is to be noted that the long-range Coulomb potential still binds the electron laterally at the interface and prevents it from forming a two-dimensional electron gas (2DEG) over an extended lattice. This gives rise to the possibility of preserving identities of qubits, as pointed out in Refs. 37 and 38, as well as producing interfacial qubits with a lesser number of gates.

Since the unidirectional electric field lowers two of the four valleys of Si, we expect a manifold of two lowest states arising from the contribution of the lowered valleys. These two states are expected to be somewhat isolated from the higher manifold of states. In Fig. 2(a), we observe the two closely spaced states occurring above $F=30$ MV/m. A gap of about 20 meV with the higher manifold is also observed.

412 Figs. 2(a) and 2(b), reveals the basic trends of the eigenstates
 413 to be similar. The only notable difference arises in the spac-
 414 ing between the ground state and the excited manifold since
 415 As has a higher binding energy than P. As a consequence, the
 416 P donor states reach the hybridization regime at a lower field.
 417 A transport spectroscopy experiment, which can probe the
 418 energy spacings of a few of the excited states relative to the
 419 ground state, can determine the species of the donor with the
 420 aid of a statistical fitting procedure presented in Ref. 40. This
 421 technique, however, relies on a measurable difference be-
 422 tween the binding energies of the group V donors, and is not
 423 likely to be successful for donor pairs like P and Sb whose
 424 binding energies only differ by less than 2 meV.

425 The onset of ionization occurs when the interface well
 426 states are at similar energies to the donor states—a regime
 427 we denote as a hybridized regime since the eigenstates are in
 428 a superposition of the donor and the interface states. For
 429 larger donor depths, this hybridization occurs at lower fields
 430 as it takes a smaller field to cause the same drop in potential
 431 between the donor and the interface. In Ref. 32, it was shown
 432 that the critical field at which the donor and the well ground
 433 states anticross decreases with depth. For donors at small
 434 depths, the electron resides in a superposition state over a
 435 range of field values as its ionization is not abrupt like a bulk
 436 impurity. In our earlier work,¹ we were able to identify a
 437 hybridized regime in a field-depth curve, and map the experi-
 438 mental data points on this curve. A data sample to the left of
 439 this curve signified a Coulomb confined regime, whereas a
 440 data sample to the right signified an interfacial confinement
 441 regime. As the donor depth increases, the width of this hy-
 442 bridized regime gets narrower as the donor-interface cou-
 443 pling diminishes. Comparison of a P donor at 3.8 and 15.2
 444 nm [Figs. 2(b) and 2(c)] shows that not only does the ion-
 445 ization field decrease as depth increases,^{28,30,32} but also the
 446 field regime for hybridization becomes narrower.

447 The Stark spectrum for a shallow donor [Fig. 2(b)] looks
 448 somewhat different qualitatively from that of a deeper donor
 449 [Fig. 2(c)] primarily because a number of states remain de-
 450 generate for a deeper donor-interface system. For a shallow
 451 donor, the stronger tunnel couplings and symmetry breaking
 452 by the interface remove these degeneracies. The fact that the
 453 hybridized regime is in a very narrow field regime also con-
 454 tributes to this difference.

455 E. Electron localization

456 Figure 6 gives a quantitative description of the electron
 457 localization at different fields and donor depths. Figure 6(a)
 458 shows the dipole moment in the direction of the field for
 459 different donor depths as a function of the field. At $F=0$, the
 460 electron is localized at the impurity, and the dipole moment
 461 is 0. As the field is increased, the electron probability distri-
 462 bution shifts toward the interface either gradually for small
 463 donor depths or abruptly for larger donor depths. Once ion-
 464 ized, it exhibits a weaker dependence on the field.

465 To provide some insight into how strongly the electron is
 466 laterally bound at the interface, we can make use of the ex-
 467 pected value of the operator $\rho = \sqrt{(x-x_0)^2 + (z-z_0)^2}$ as the
 468 field is in y direction with (x_0, y_0, z_0) being the coordinates of

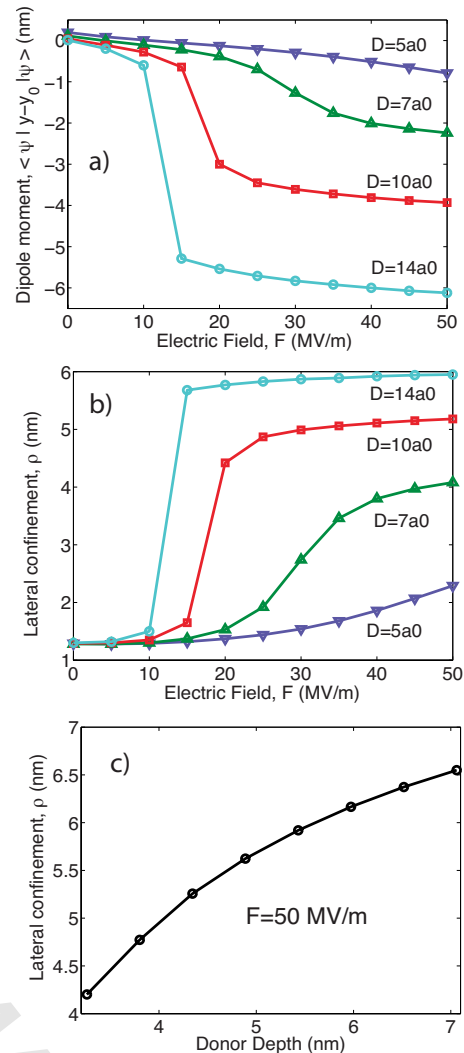


FIG. 6. (Color online) a) The ground-state dipole moment in the direction of the field showing average electron localization. The electron shuttling is smooth for donors near the surface, but abrupt for donors buried deep. b) The lateral confinement of the electron as a function of field for different donor depths. c) The variation of lateral electron confinement at the interface as a function of donor depth at $F=50$ MV/m. All the data are for an As donor.

the impurity. Figures 6(b) and 6(c) show this lateral confinement of the donor electron as a function of field and depth respectively. At $F=0$ in Fig. 6(b), the lateral confinement is between 1 and 2 nm, which is of the order of the Bohr radii of the donor. As the field increases, the lateral confinement deteriorates as the electron moves away from the impurity core. Figure 6(c) shows the lateral confinement at the interface as a function of donor depth at a high field value of $F=50$ MV/m. As expected, the lateral confinement is strongest for donors close to the interface.

This shows that experiments, which are aiming to build interfacial qubits may benefit from a delta doped layer of impurities at depths chosen to suit their confinement criteria based on gate densities and qubit separations for optimal exchange interactions.

484 **F. Effects of screening**

485 In realistic devices, presence of charges near a boundary
 486 between a semiconductor and another material can induce
 487 image charges. These image charges occur because of a re-
 488 distribution of the charges in the vicinity of the boundary,
 489 and they affect the electrostatics of the system by modifying
 490 the net potential the source charges experience. The image
 491 charges and their screening effects strongly depend on the
 492 materials at the other side of the boundary, most notably
 493 through their dielectric functions.

494 In Ref. 63, MacMillen used a variational technique to
 495 derive an approximate model of screening for a donor near
 496 an interface. Assuming that the donor is located at the coordi-
 497 nates (x_0, y_0, z_0) and the interface is closest in the y direc-
 498 tion, the additional screening potential due to the image
 499 charges in his model is of the form,

500
$$H_S = \frac{CQ}{\sqrt{(x-x_0)^2 + (y+y_0)^2 + (z-z_0)^2}} - \frac{CQ}{4y}, \quad (1)$$

501 where the first term represents the interaction of the electron
 502 with the image of the positively charged nuclear core, while
 503 the second term is the interaction of the electron with its own
 504 image. In effect, the first term is that of a point charge Q
 505 located a distance D on the other side of the interface and
 506 interacting with the donor electron. The second term due to
 507 the electron image term is a one-dimensional (1D) confined
 508 potential commonly used to describe electronic image
 509 screening effects in 2DEGs. C is the electrostatic constant
 510 given by $e^2/(4\pi\epsilon_{Si})$. In comparison, the unscreened Hamil-
 511 tonian of the system can be expressed as,

512
$$H_U = H_0 - \frac{C}{\sqrt{(x-x_0)^2 + (y-y_0)^2 + (z-z_0)^2}} + eFy, \quad (2)$$

513 where H_0 is the Si crystal Hamiltonian, the 2nd term is the
 514 donor potential energy, and the 3rd term represents the
 515 y -directed electric field. The total Hamiltonian is given by,
 516 $H_T = H_U + H_S$.

517 Although we employ this model in this work, a more
 518 accurate model may involve a self-consistent Poisson solu-
 519 tion taking into account the probability distribution of the
 520 electron. Such a model would capture the lateral confinement
 521 of the electron image missing in this work. It was also sug-
 522 gested in another work⁶⁴ that the electron image charge term
 523 assumes a more gradual variation and does not assume such
 524 a high value at the dielectric boundary. For simplicity and
 525 ease of computation, we have ignored the two above-
 526 mentioned corrections. The screening model in Eq. (1) has
 527 been used in other works,^{32,34} and presents a good basis for
 528 comparison.

529 In Eq. (1), Q is a ratio given by $Q = \frac{\epsilon - \epsilon_{Si}}{\epsilon_f + \epsilon_{Si}}$. For a metallic
 530 interface, $\epsilon_f = \infty$ and Q reduces to 1. An SiO_2 interface has
 531 $\epsilon = 3.4$, and Q assumes the value -0.55 . Q also vanishes if the
 532 interface material is Si suggesting that there are no image
 533 charges if there is no dielectric discontinuity. It is to be noted
 534 that for a metallic interface the image charges have opposite
 535 signs as the source charges, which implies that the electron
 536 image tends to pull the electron toward the interface while

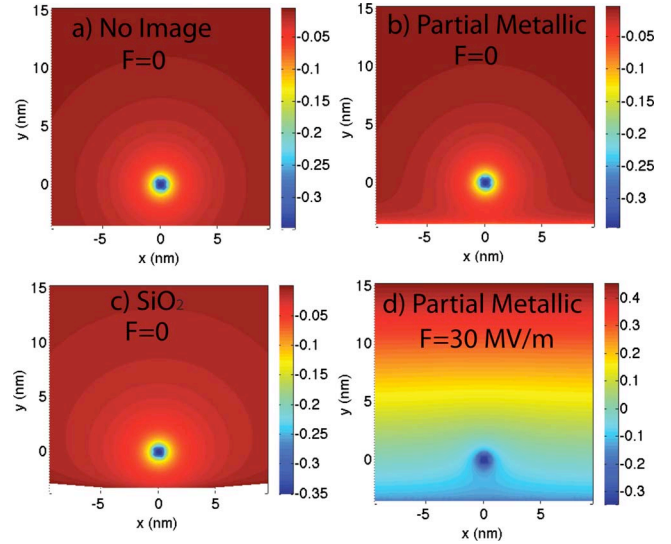


FIG. 7. (Color online) Effect of screening on the donor poten-
 tial. The donor is Si:As at a depth of 3.8 nm. a) The potential at
 $F=0$ without any image charge effects. Total donor potential with
 b) partial metallic type screening ($Q=0.5$), and c) SiO_2 type
 screening ($Q=-0.55$), and d) partial metallic type screening at
 $F=30$ MV/m.

the donor image tends to push the electron away from the
 interface. For an insulator interface like SiO_2 , the image
 charges are of the same sign as their source charges, and has
 the reverse screening effect as compared to a metal. Since a
 small layer of oxide is sandwiched between the metal and the
 semiconductor in realistic devices, a more realistic screening
 might be something between a metallic and an insulator type
 screening. We also investigated the screening effects for such
 a case with $Q=0.5$, henceforth referred to as partial metallic
 (PM) screening.

Figure 7 shows the net potential the donor electron is
 subjected to under different types of screening. Plot Fig. 7(a)
 ignores screening, plot Figs. 7(b) and 7(d) employ partial
 metallic screening, whereas plot Fig. 7(c) assumes insulator
 type screening of SiO_2 . Plots Figs. 7(a)–7(c) are all at zero
 electric fields. Comparison of Figs. 7(a) and 7(b) shows that
 the partial metallic type image charges cause the potential
 well to spread out more near the interfacial region and advo-
 cates ionization. Figure 7(c) shows that oxide type screening
 not only raises the net potential, but also provides more don-
 or confinement and hinders ionization. Figure 7(d) shows
 the screened donor under a strong electric field.

Figure 8(a) shows the effect of each of the image charge
 terms of Eq. (1) on the binding energy of the donor with
 partial metallic type screening. If the first term of Eq. (1)
 is taken into account only, the net attractive potential of the
 system is lowered as the donor image term is of opposite
 sign to the donor source potential term. Hence, the binding
 energy of the electron decreases at all field values. On the
 other hand, the electron image term is attractive and in-
 creases the total attractive potential the donor electron expe-
 riences. As a result, the donor electron is more strongly
 bound relative to the conduction band edge. If we include
 both the image terms and compare the resulting binding en-

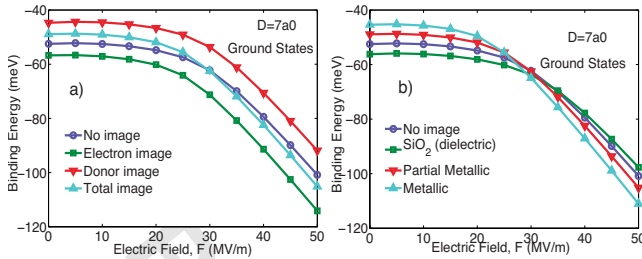


FIG. 8. (Color online) Effect of image charges on the ground state of Si:As at 3.8 nm donor depth. a) Effect of the various image potential terms of Eq. (1) on the binding energy with partial metallic type screening. b) Variation of the binding energy with various types of screening.

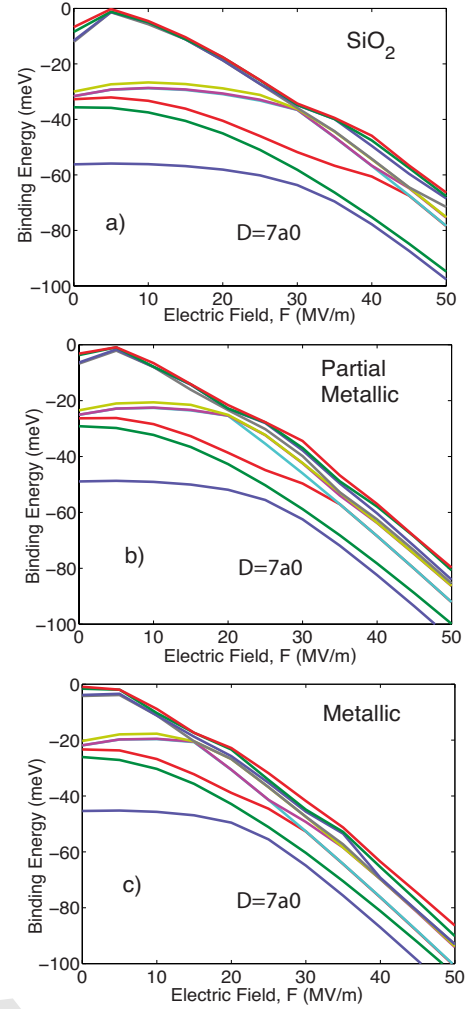


FIG. 9. (Color online) Partial Stark spectrum of Si:As at 3.8 nm depth with a) SiO₂ type screening ($Q=-0.55$) b) partial metallic type screening ($Q=0.5$) c) Metallic screening ($Q=1$).

571 ergy with the unscreened binding energy, we notice that the
572 binding energy decreases (less negative) in the Coulomb
573 confined regime and increases (more negative) in the inter-
574 facial confinement regime. This suggests that the donor im-
575 age term plays a dominant role in the Coulomb confined
576 regime, while the electron image term is more dominant in
577 the interfacial regime. There is a point at which the un-
578 screened and screened binding energy curves cross each
579 other, implying that the donor and the electron image effects
580 completely cancel each other.

581 In Fig. 8(b), we show the binding energy with various
582 types of screening. All three screened binding energy curves
583 cross the unscreened binding energy curve, suggesting that
584 the donor and electron image terms switch their dominant
585 roles between the Coulomb and the interfacial confinement
586 regimes. A closer look at the interfacial regime at F
587 = 50 MV/m shows that the SiO₂ screened curve has the low-
588 est binding energy while the metallic screened curve has the
589 highest. This is expected provided the electron image term
590 plays a dominant role in the interfacial regime. In the metal-
591 lic case, the electron image term is attractive and causes the
592 electron to be strongly bound at the interface. The repulsive
593 electron image term, in case of SiO₂, causes the electron to
594 be bound at a lower energy.

595 In Fig. 9, we show part of the Stark shifted spectrum for
596 the As donor at 3.8 nm depth under different types of screen-
597 ing. The major effect of screening is a shift of the whole
598 spectrum in absolute energy scale. The relative differences
599 between the lowest states in the Coulomb confined and in the
600 hybridized regime remain mostly unchanged. However, the
601 relative energy spacing of the states are somewhat affected in
602 the interfacial confinement regime. This effect is maximum
603 with full metallic-type screening. It must be mentioned that a
604 full metallic type screening is unrealistic in real MOSFETs
605 as it forms a metal semiconductor junction allowing current
606 leakage. The more realistic type of screening is likely to be
607 of SiO₂ or of partial metallic nature.

608 A plot of the valley splitting with screening in Fig. 10
609 shows that valley splitting can vary by several meVs depend-
610 ing on the type of screening. This is expected as the electron
611 image term varies rapidly near the interface boundary and
612 modifies the confinement potential.

613 **IV. CONCLUSION**

614 We have computed the Stark shifted spectrum for an As
615 and a P donor in Si at various depths from an interface.

Utilizing the tight-binding approximation, we capture a more
complete manifold of s and p type states skipped in earlier
works. Understanding the details of these excited states, has
proved to be critical to interpret experimental data.¹ The re-
sults show adiabatic ionization of the donor electron to the

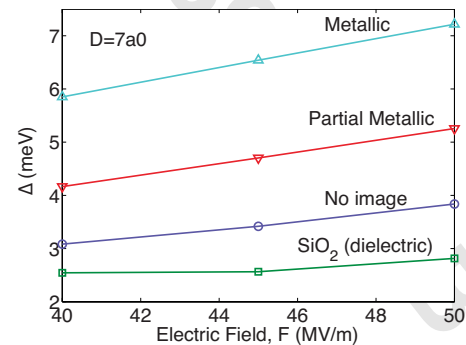


FIG. 10. (Color online) Energy difference (Δ) between the two lowest states (S1 and S2) at interfacial confinement as a function of field. This valley-orbit splitting can be sensitive to the type of screening.

621 field defined interfacial well as the higher excited states in-
 622 terfere with the $1s$ manifold. Anticrossing between the
 623 ground state and the second excited state characterizes this
 624 ionization as the electron transits from a Coulomb confined
 625 regime to an interfacial confinement regime through an in-
 626 termediate hybridization of the donor and interface well
 627 states. At weak field, surface effects are visible as the triplet
 628 and doublet degeneracy of the $1s$ manifold are lifted. At high
 629 fields, the states conform to the 2D symmetries of the inter-
 630 face well. Strong confinement by a hard wall and the field
 631 produces valley splitting of the lowest two states. Finally, we
 632 investigate the effect of various types of screening on the
 633 Stark shifted spectrum. We observe that the donor image
 634 term has a dominant effect in the Coulomb regime, while the
 635 electron image term is dominant in the interfacial confine-
 636 ment regime.
 637 The model and the method presented here helps to obtain
 638 a comprehensive quantitative description of the donor Stark

shift problem that has been of recent interest in the context of 639
 quantum computing applications. This numerical approach 640
 helps in the large-scale qubit device modeling, and was used 641
 to interpret single donor transport measurements in 642
 FinFETs.¹ 643

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