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Running Head: Stability of Self-Report and Interview PD Scores

Comparing the Temporal Stability of Self-Report and Interview Assessed Personality Disorder

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Abstract

Findings from several large-scale, longitudinal studies over the last decade have challenged the long held assumption that personality disorders (PDs) are stable and enduring. However, the findings, including those from the Collaborative Longitudinal Personality Disorders Study (CLPS; Gunderson et al., 2000), rely primarily upon results from semistructured interviews. As a result, less is known about the stability of PD scores from self-report questionnaires, which differ from interviews in important ways (e.g., source of the ratings, item development, and instrument length) that might increase temporal stability. The current study directly compared the stability of the *DSM-IV* PD constructs assessed via the Schedule for Nonadaptive and Adaptive Personality (SNAP – 2; Clark, Simms, Wu, & Casillas, in press) with those from the Diagnostic Interview for *DSM-IV* Personality Disorders (DIPD-IV; Zanarini, Frankenburg, Sickel & Yong, 1996) over two years in a sample of 529 CLPS participants. Specifically, we compared dimensional and categorical representations from both measures in terms of rank-order and mean-level stability. Results indicated that the dimensional scores from the self-report questionnaire had significantly greater rank order (mean $r = .69$ versus $.59$) and mean-level (mean $d = .21$ versus $.30$) stability. In contrast, categorical diagnoses from the two measures evinced comparable rank-order (mean kappa = $.38$ versus $.37$) and mean-level stability (median prevalence rate decrease of 3.5% versus 5.6%). These findings suggest the stability of PD constructs depends at least partially on the method of assessment and are discussed in the context of previous research and future conceptualizations of personality pathology.

Keywords: Reliability, test-retest, longitudinal, SNAP-2, self-report, stability, personality disorder, consistency, interview.

Comparing the Temporal Stability of Self-Report and Interview Assessed Personality Disorder

The American Psychiatric Association's (2000) *Diagnostic and Statistical Manual of Mental Disorders* (text revision; *DSM-IV-TR*) defines a personality disorder as "an enduring pattern of inner experience and behavior that...is stable over time" (p. 685). Nonetheless, over the past decade, findings from longitudinal studies have cast doubt over whether the personality disorder (PD) constructs are, in fact, defined by temporal stability (Cohen, Crawford, Johnson, & Kasen, 2005; Skodol et al., 2005; Zanarini, Frankenburg, Hennen, Reich, & Silk; 2005). Results from these studies have suggested that prevalence of PDs in community participants decreased steadily from adolescence to early adulthood (Johnson et al., 2000).

Another potentially surprising finding from two studies in clinical samples was a relatively high rate of remission. Zanarini, Frankenburg, Hennen, and Silk (2003) indicated that nearly three-quarters of the individuals diagnosed with borderline personality disorder (BPD) no longer met criteria by six-year follow-up. Zanarini and colleagues concluded that "BPD is relatively stable over time compared to mood disorders" but, in contrast to the DSM definition, is "mutable over more sustained periods of time" (p. 513). Similarly, the Collaborative Longitudinal Personality Disorders Study (CLPS) found that less than half of PD patients remained at diagnostic threshold over periods of one year (Shea et al., 2002), and that most experienced remission (defined as 12 consecutive months with no more than two diagnostic criteria) within the first two years of the study (Grilo et al., 2004).

The above findings primarily concern absolute changes and certainly suggest notable mean-level decreases in PD scores both within and across individuals. However, one can also examine the relative, or rank-order, stability of these scores across individuals (e.g., the correlations between scores at different time points; Roberts, Wood, & Caspi, 2008). Previous results from

CLPS have suggested that dimensional scores demonstrate greater rank-order stability than do categorical diagnoses (Grilo et al., 2004; Morey et al., 2007). It is worth noting, though, that Morey and colleagues (2007) also indicated that even the rank-order stability of dimensional PD scores was significantly lower than for trait models of general personality functioning. However, this particular comparison is confounded because the PD constructs were assessed via semistructured interview, whereas the trait model scores come from a self-report questionnaire.

In fact, one commonality among these large-scale longitudinal studies is their focus on PD stability as assessed by semistructured interviews. This is regarded as a methodological strength (McDermut & Zimmerman, 2005; Widiger & Samuel, 2005) because interviews rely on trained clinical assessors who carefully document the presence or absence of each diagnostic criterion through a series of open-ended questions, often taking into account subject behaviors during the interview (Rogers, 2001). Nonetheless, as a result of this practice, less is known about the temporal stability of PDs assessed via self-report questionnaires, which are more commonly used in research and clinical settings (Lenzenweger, Loranger, Korfine, & Neff, 1997; Widiger & Samuel, 2005). Although there are potential disadvantages to studying the temporal stability of PDs via a self-report questionnaire, such as susceptibility to bias from Axis I symptoms (e.g., Piersma, 1989; Zimmerman, 1994; but see also Morey et al., 2010), there are also compelling reasons why it is informative and useful.

It is important to examine the stability of PD scores on self-report questionnaires because they provide information that is usefully different from other assessment methods. For example, Hopwood and colleagues (2008) demonstrated that a self-report questionnaire and an interview measure of borderline PD each incremented the other in predicting functional impairment. More specifically, each method had unique strengths: self-report questionnaires fared better for

diagnostic criteria that were experiential in nature (e.g., identity disturbance), whereas the interview measure was superior for more externally observable indicators (e.g., impulsivity).

A fundamental difference between self-report questionnaires and semistructured interviews that might influence their temporal consistency is that interviews compound sources of potential score variance. The same individual completes self-report measures at each time point; hence the only source of score variability is a difference in that individual's reporting. In contrast, interviews require the judgment of a second person and thus inherently contain not only reporting variability (e.g., the interviewee answers the same question differently), but also variable perception (e.g., the interviewee gives the same answer, yet the interviewer scores it differently) over multiple assessments. Compounding this even further, different clinicians often administer semistructured interviews at subsequent assessments (Zimmerman, 1994). Previous findings have demonstrated that scoring variability across interviewers influences tests of cognitive ability (e.g., Hopwood & Richards, 2005), and this might also be true for diagnostic interviews for PDs. Thus, temporal consistency might be higher for a self-report questionnaire simply because error variance attenuates stability for the semistructured interview.

Another important contribution of self-report inventories is that their development differs somewhat from interview measures. Self-report questionnaires typically are derived through an iterative process (i.e., Clark & Watson, 1995) that begins with writing many candidate items and administering them to large samples of participants. Whereas interview measures also undergo rigorous development, such detailed testing is more difficult due to the time cost of administering interview items. This same property results in many self-report questionnaires having more items assessing each PD than semistructured interviews, which typically include one scored item per diagnostic criterion, but do allow follow-up questions at the interviewer's

discretion. The greater length might allow self-report questionnaires to obtain a more fine-grained assessment of each PD construct. Relatively brief instruments, including only a few items, might be perfectly acceptable for assessing narrow constructs. However, as the breadth of the construct increases, it might require a greater number of items to capture it adequately. This seems particularly relevant to the PDs, which are considered quite heterogeneous in nature (Trull & Durrett, 2005). Thus, having multiple scored items to assess a diagnostic criterion might provide an advantage for the self-report questionnaire. At the very least, having more scored items yields a greater range of possible dimensional scores, which might increase temporal stability in and of itself. In any event, a direct comparison of the relative temporal consistency of self-report questionnaires and semistructured interviews would help to determine whether existing findings reflect the stability of the PD constructs themselves or properties of the method of assessment.

One longitudinal study (Longitudinal Study of Personality Disorders [LSPD]; Lenzenweger, 2006) has provided stability results from a self-report questionnaire as well as a semistructured interview. Among a sample of college undergraduates, Lenzenweger and his colleagues identified 134 students who met criteria for at least one *DSM-III-R* (APA, 1987) PD and another 124 with virtually no PD pathology (i.e., fewer than 10 criteria across the PDs). These individuals were twice reassessed using a semistructured interview (International Personality Disorder Examination [IPDE], Loranger et al., 1994) and a self-report PD measure (Millon Clinical Multiaxial Inventory – II [MCMI-II], Millon, 1987)) over the next three years (Lenzenweger, 1999). When the PD scores from the IPDE were considered dimensionally, the rank-order stability coefficients ranged from .44 (avoidant) to .74 (schizoid), with a mean of .57. The self-reported scores from the MCMI-II obtained coefficients ranging from a low of .63 to a

high of .76, with a mean of .71 (Lenzenweger, 1999). Although these values were not tested against each other, Lenzenweger noted that stability was higher for self-report questionnaire than for semistructured interview. Lenzenweger also noted significant, albeit relatively small, mean level decreases for many of the PD scores on both instruments over time, with most of this change occurring in the first reassessment. Appreciable differences regarding the mean-level stability of the dimensional scores were not noted between the two assessment methods. Finally, indices of categorical agreement (e.g., kappa) for individual PDs could not be calculated due to the low base-rates within the sample.

Although informative, these LSPD results are potentially limited because the individuals assessed, although endorsing significant ranges of PD symptoms, comprised a sample of non-clinical, university students. There are conceptual advantages to studying personality disorders within the general population. Community samples might provide a more naturalistic picture of the pathology as it exists in nature compared with a sample influenced by whether a given individual decides to seek treatment. Nonetheless, the use of clinical samples also has appreciable advantages: it facilitates obtaining the complete range of possible pathology as well as higher rates of individual diagnoses. This is particularly useful statistically and conceptually as it oversamples the upper ranges of each PD construct, which is by definition the portion of greatest clinical interest.

Trull and Goodwin (1993) examined the temporal stability of *DSM-III-R* PD scores within a clinical sample and reported the mean rank-order stability coefficient across the 10 PDs was .61 for an interview measure, whereas two self-report questionnaires obtained values of .75 and .65. These values appear similar to those reported by Lenzenweger (1999), bolstering support for the notion that PD scores from self-report questionnaires might show higher rank-order stability than

those from semistructured interviews. Nonetheless, the results of Trull and Goodwin reflect a sample of only 44 psychiatric outpatients who were reassessed over a six-month interval. It would be useful to replicate and extend these results using a larger clinical sample over a longer duration. In addition, the findings from both Trull and Goodwin (1993) and Lenzenweger (1999) concern assessments of *DSM-III-R* PD constructs. It would be useful to update these findings for *DSM-IV* constructs.

Surprisingly few studies have even examined the temporal stability of self-report questionnaires assessing the *DSM-IV* PDs. At least ten self-report measures provide an assessment of the PD constructs (Widiger & Boyd, 2009) and most have been used to examine temporal stability in at least one study. However, most studies used older versions of these instruments, assessing PDs from prior editions of the diagnostic manual. In fact, a literature search revealed only six studies that have examined the stability of a self-report questionnaire assessing the *DSM-IV PD* constructs. Even this literature might be deemed limited, as studies typically considered only one type of stability (i.e., rank-order), and most used non-clinical samples (e.g., Okada & Oltmanns, 2009) or reassessed over very brief intervals (e.g., 1-6 weeks; Millon, 1994; Ottosson et al., 2000; Piersma & Boes, 1997).

Perhaps the most relevant data was provided by Craig and Olson (1998), who administered the MCMI-III to 35 African-American men in an inpatient substance use treatment facility and reported test-retest correlations for the 10 *DSM-IV* PDs over a 6-month interval. Craig and Olson reported rank-order stability coefficients ranging from .52 (schizotypal) to .83 (dependent), with a median of .69. Although these studies provide information regarding the stability of the self-reported *DSM-IV* PDs, they are limited because they examined stability in relatively small samples of individuals engaged in active treatment for Axis I disorders. It would be useful to

examine stability in a sample with a greater range of personality pathology. Finally, the use of the MCMI-III also could be considered problematic for studying stability as there is extensive item overlap between the scales, such that changes on a single item would alter the stability of more than one PD.

The participants in CLPS completed a self-report questionnaire assessing the *DSM-IV* PDs: the Schedule for Nonadaptive and Adaptive Personality – 2 (SNAP-2; Clark, Simms, Wu, & Casillas, in press). The SNAP-2 was derived through analyses of maladaptive personality symptoms (see Clark, 1993) and assesses three broad temperaments (e.g., disinhibition vs. constraint) and 12 traits that fall beneath these domains (e.g., impulsivity, propriety, and workaholism). An emerging literature supports the reliability and validity of the SNAP-2 temperament and trait scales (e.g., Simms & Clark, 2006), which have correlated well with other measures of personality pathology (e.g., Reynolds & Clark, 2001) and shown predictable relationships with PD pathology (Morey et al., 2003).

The SNAP-2 also includes scales assessing the ten *DSM-IV-TR* PDs. These PD scales range in length from 19 (avoidant) to 34 (antisocial) items, with a median of 25. Each diagnostic criterion is assessed by at least two items, which allows the PD scales to be scored categorically (i.e., meeting a sufficient number of criteria) or dimensionally. Although items overlap between the PD scales and the trait and temperament scales, novel items were developed for the PD scales when extant items did not assess specific criteria well. Thus, the PD scales were constructed for a different purpose and contain unique items not scored on any trait or temperament scale. In addition, all the SNAP-2 PD scales are non-overlapping, as items are scored for only a single PD. Previous CLPS studies have examined the temporal stability of the temperament and trait scales (Morey et al., 2007), but not the SNAP-2 PD scales.

In fact, only a single study has described the temporal stability of SNAP PD scores (Melley, Oltmanns, & Turkheimer, 2003). Melley and colleagues reported that test-retest correlations of dimensional PD scores over a nine-month interval ranged from .59 (schizotypal) to .84 (antisocial), with a median of .75. However, this study was conducted within a sample of undergraduates and employed the original version of the SNAP (Clark, 1993), which assesses the *DSM-III-R* PDs. The temporal stability of the SNAP-2 PD scales has yet to be examined.

Beyond general qualities of self-report instruments, there are two particular advantages of studying stability of self-reported PD scores in the CLPS sample. First, the scores on the semistructured interview (i.e., Diagnostic Interview for DSM-IV Personality Disorders [DIPD-IV], Zanarini, Frankenburg, Sickel, & Yong, 1996) were used to determine inclusion in the CLPS sample. This strategy ensured an adequate representation of the PDs but, by definition, also slanted the sample toward individuals with extreme DIPD scores and potentially increased false positives (Chmielewski & Watson, 2009). In fact, a majority of the change (i.e., decrease) observed for the DIPD scores occurred during the study's first six months (Grilo et al., 2004), which some have interpreted as regression to the mean (Clark, 2005). The SNAP-2 PD scales were administered at CLPS baseline assessment but infrequently used for inclusion decisions (Morey et al., 2003), and hence should be less prone to these issues. Another strength of the CLPS sample for testing temporal stability is that participants, although mostly treatment-seeking at study onset, did not necessarily receive treatment throughout follow-up. This allows a more naturalistic look at the stability of the PD constructs that is at least partially independent of the effects of active treatment.

The current study builds upon previous research in several important ways. First, it investigates the temporal stability of the *DSM-IV* PDs assessed via self-report questionnaire in a

large, clinical sample with appreciable rates of PD diagnoses. In addition, the current study extends the previous literature on the stability of self-reported PD pathology by examining rank-order and mean-level stabilities of both dimensional and categorical representations of the PD constructs. Finally, it explicitly compares, using these metrics, the relative stability of PD ratings from a self-report questionnaire to those from a semistructured interview within the same sample.

Method

Participants

Participants for this study were drawn from the 668 recruited from multiple clinical sites for the Collaborative Longitudinal Study of Personality Disorders. Participants underwent clinical diagnostic interviews and completed self-report instruments as part of a standardized assessment process (Gunderson et al., 2000). They were assigned to one of four PD groups (borderline, avoidant, schizotypal, and obsessive-compulsive), or to major depressive disorder but no PD diagnosis, based on reliably administered diagnostic interviews. Additional details regarding recruitment, screening, and diagnostic procedures have been previously published (Gunderson et al., 2000). Participants were not excluded based on the presence of other, non-study PDs and they received an average of 2.1 PD diagnoses (McGlashan et al., 2000). To limit the effect of sampling on our results, we followed the same procedures as Morey et al. (2003) and confined our sample to participants for whom the SNAP-2 was not utilized to establish diagnostic assignment. This subsample contains 432 participants assigned to one of four primary PD groups. The number of participants in each PD group was 40 for schizotypal, 139 for borderline, 128 for avoidant, and 125 for obsessive-compulsive. There was also a comparison group of 97 individuals who met criteria for major depressive disorder but had no PD diagnosis, bringing the

total sample to 529. Participants were not included in the MDD group if they had 15 or more PD symptoms or came within two criteria of any PD diagnosis. This is important for the current analyses as it increases the variability in the SNAP-2 PD scale scores. The sample used in this study was primarily Caucasian (76%), female (64%), with an average age at intake of 32.7 years ($SD = 8.1$).

The SNAP-2 was administered at 6 months, 1 year, and 2 years after baseline, and then biennially throughout CLPS. The DIPD was administered at baseline and biannually. Because of attrition or failure to complete the SNAP-2, the total sample of 529 decreased to 356 by the 2-year assessment. Although additional data are available concerning the stability of these constructs through ten years, attrition further limited the available sample at these latter points. Thus, we considered only data through two years to maximize the available sample size and provide the most robust estimates of stability (Watson, 2004).

Instruments

Schedule for Nonadaptive and Adaptive Personality – 2 (SNAP-2; Clark, Simms, Wu, & Casillas, in press). Comprising 390 true/false statements, the SNAP-2 provides a self-report assessment of a dimensional model of personality pathology and the *DSM-IV* PDs (APA, 2000). The latter scales dimensionally assess the PDs and range in length from 19 (avoidant) to 34 (antisocial) items. In the current sample, the SNAP-2 PD scale internal consistencies ranged from .69 (OCPD) to .88 (avoidant), with an overall median of .83. The SNAP-2 PD scores correlate strongly with those from other self-report PD inventories (see Widiger & Boyd, 2009) and scores from a structured PD interview (Samuel et al., in press).

Diagnostic Interview for DSM-IV Personality Disorders (DIPD-IV; Zanarini et al., 1996).

The DIPD-IV is a semistructured diagnostic interview for assessing PD. Each of the criteria for

all PD diagnoses is assessed with one or more questions, which are then rated on a three-point scale (0 = *not present*; 1 = *present but of uncertain clinical significance*; 2 = *present and clinically significant*). The DIPD-IV requires that criteria be present and pervasive for at least two years and be characteristic of the person for most of his/her adult life to be counted toward a diagnosis. In the present study, inter-rater reliability (based on 84 pairs of raters) kappa coefficients for PD ranged from .58 to 1.00 (Zanarini et al., 2000).

Structured Clinical Interview for DSM-IV Axis I Disorders – Patient Version (SCID-I); First, Spitzer, Gibbon, & Williams, 1996). The SCID-I/P is a semistructured diagnostic interview for assessing current and lifetime Axis I psychiatric disorders. In the present study, kappa coefficients for inter-rater reliability for Axis I diagnoses ranged from .57 to 1.0; kappa for MDD was .80 (Zanarini et al., 2000).

Data analyses

We calculated the temporal stability of the PD scores in terms of both rank-order stability and mean-level change. In addition, because we were interested in both the dimensional and categorical representations of the PD constructs, we computed these values separately. Finally, to facilitate the comparison across methods, we computed these sets of values for the self-report scores from SNAP-2 and the interview scores from the DIPD. This creates four separate points of comparison that fit a 2 x 2 matrix, with dimensional and categorical scoring across the rows and rank-order stability and mean-level change down the columns.

For the first cell, featuring the rank-order stability of the dimensional scores, we computed Pearson correlations between scores at baseline and the two-year retest. These correlations indicate the degree to which the rank ordering of participants remained constant. We then compared the resulting values for each PD across the methods using Steiger's (1980) method for

comparing dependent correlations. This method produces a z -score value that determines whether the differences between the correlations are significant.

We also examined the mean-level change to assess how much dimensional PD scores changed, on average, over time. We used the means and standard deviations at baseline and 2 years to compute effect size estimates (Cohen's d). These effect size estimates were standardized to the baseline assessment for the 356 subjects with all data available and represent the magnitude of change from baseline. In order to index whether the mean-level change was significantly different between the self-report and interview methods, we then computed difference scores between the two assessments (e.g., SNAP-2 avoidant PD score at baseline subtracted from SNAP-2 avoidant PD score at year 2). Because the two measures have different numbers of items, we first equated them so that these difference scores shared the same metric. We then compared the change scores for each instrument using a paired samples t -test.

We next investigated the rank-order stability of the categorical representations of the PDs for each instrument using kappa coefficients. These values indicate the diagnostic agreement between diagnoses assigned at baseline and follow-up, within each instrument. The kappa coefficients for each PD were compared between the two methods using a bootstrapping procedure (with 1000 samples) to produce a 95% confidence interval around the kappa values for the SNAP-2 and DIPD (Vanbelle & Albert, 2008). The presence of non-overlapping confidence intervals is more conservative than null hypothesis testing, but is the only method by which to compare these same-sample kappas.

Finally, we also sought to examine the mean-level change of the categorical diagnoses. Because these rely on the same cross-tabulations on which the kappa coefficients were based, we computed the percentage of individuals who met criteria according to each instrument at each

time point. This provides the most equivalent method of determining whether the sample, on average, demonstrated change in terms of the categorical diagnoses. We are unaware of any method that permits null hypothesis statistical testing for these percentages.

Results

Stability of Dimensional PD Scores

Table 1 provides both the rank-order and mean-level stability of the dimensional scores on the SNAP-2 and DIPD. The first column of Table 1 presents the Pearson correlations between the baseline and 2-year assessments for the SNAP-2 PD scales. The second column presents the same values calculated using criterion counts from the DIPD. Beneath each column are median and mean values, with the latter calculated using Fisher's r to z conversion, averaging, then converting back to correlations. Statistical comparisons conducted using Steiger's (1980) method indicated that the dimensional scores from the SNAP-2 had significantly higher rank-order stability than those from the DIPD for six PDs. There were no PDs for which the DIPD scores were more stable.

Table 1 also presents the mean-level change on the dimensional PD scores. Paired samples t -tests indicate that all PDs showed a significant decrease. To ease comparison between methods, these values for the dimensional scores are presented in terms of Cohen's d , such that each column indicates the effect size change from baseline to the 2-year assessment. For instance, the SNAP-2 borderline PD scores decreased by an effect size of $d = .31$, whereas the DIPD borderline scores decreased $d = .43$. Mean and median effect sizes across the 10 PDs appear below the columns. Paired sample t -tests of the difference scores indicated that the DIPD scores decreased significantly more than SNAP-2 scores for obsessive-compulsive, borderline, avoidant, histrionic, and narcissistic PDs. Decreases for all other PDs were non-significant.

Stability of Categorical Diagnoses

Table 2 presents the rank-order stability values for categorical diagnoses provided by each instrument at both time points. These kappa coefficients ranged from .18 (OCPD) to .49 (paranoid), with a median value of .43 for the SNAP-2 and from .12 (narcissistic) to .60 (antisocial), with a median value of .38 for the DIPD. In addition, the 95% confidence intervals around these kappas are presented within Table 2. The confidence intervals for the SNAP-2 and the DIPD overlapped for all 10 PDs indicating that the kappa values were not meaningfully different across methods.

Finally, Table 2 provides the percentage of individuals meeting each categorical diagnosis at baseline and 2 years, as well as the difference between these two values. This indicates the population level change in the diagnoses for both the SNAP-2 and DIPD. These percentages demonstrate that although avoidant, borderline, obsessive-compulsive, and schizotypal PDs predominated, all ten *DSM-IV* PD constructs were represented. Because several prevalence rates were below five percent, however, the kappa should be interpreted very cautiously. Diagnostic rates decreased across the board, but were largest for those PDs with the highest initial prevalence. The mean and median of the diagnostic prevalence rate differences are presented below the column and suggest that change was relatively consistent across the two instruments, with perhaps a slightly greater decrease for the DIPD.

Discussion

Whereas most previous studies on the longitudinal assessment of PDs have used scores from semistructured interviews, the current study investigated temporal stability of scores from a self-report questionnaire. We observed a mean value of 0.69 for the rank-order stability for the SNAP-2's dimensional scores over a two year period. These findings over two years closely

resemble the value Melley and colleagues (2003) obtained for the SNAP over a nine-month interval. These findings also converge with those previously reported by Lenzenweger (1999) in his comprehensive analysis of *DSM-III-R*-based PD dimensions in college students. Thus, the rank-order stability of self-reported PD scores appears no lower in our clinical sample than among undergraduates.

The primary and novel findings of interest from the current study concern the direct comparison of the rank-order and mean-level stability of the PD scores generated via the self-report questionnaire and those from a semistructured interview. Interestingly, the current findings indicate that differential stability does emerge, but depends on whether one adopts a dimensional or categorical scoring approach. Whereas dimensional PD scores from a self-report questionnaire demonstrated higher rank-order and mean-level stability than interviews, this was not true for categorical diagnoses. Specifically, for the dimensional scoring, the rank-order stability for SNAP-2 assessments of paranoid, schizoid, histrionic, narcissistic, dependent, and obsessive-compulsive PDs were significantly higher than for the DIPD. Similarly, the SNAP-2 assessments of borderline, histrionic, narcissistic, avoidant, and obsessive-compulsive PDs evinced a smaller mean-level decrease than the DIPD. There was no PD for which the DIPD demonstrated greater dimensional stability by either metric.

The current study is the first to provide a direct statistical comparison between the stability of a self-report and interview based assessment. Its results, however, are comparable with those from previous studies. For example, the mean rank-order stability coefficient across the ten SNAP-2 PD scales in the current study was .69 and the value for the DIPD was .59. These values strongly resemble those reported by Lenzenweger (1999) who reported a mean rank-order correlation of .71 for self-reported PDs and .57 for an interview measure. The similarity between

these findings is all the more remarkable because the studies employed different instruments and even assessed PD constructs from different versions of the diagnostic manual (*DSM-III-R* versus *DSM-IV*). Additionally, the results are consistent with those Trull and Goodwin (1993) reported in examining the temporal stability of *DSM-III-R* PD scores in 44 psychiatric outpatients over 6 months. Trull and Goodwin reported the mean stability across the 10 PDs was .61 for an interview measure, whereas two self-report questionnaires obtained values of .75 and .65. Thus, the current results add to converging evidence suggesting greater rank-order stability for self-reported PD scores than those derived from an interview.

The temporal stability of scores from the SNAP-2 and DIPD were also evaluated in terms of the mean-level stability of the dimensional scores. The mean-level analysis indicated meaningful decreases on scores for all 10 PDs assessed by both methods. However, the overall decrease was larger for the DIPD (mean $d = .30$) than for the SNAP-2 (mean $d = .21$). We are aware of no previous study that provides a useful context for these results, but it again suggests that self-report questionnaires might be less prone to change across time than semistructured interviews.

This is the first longitudinal study to examine the stability of categorical diagnoses assigned by a self-report questionnaire, as they are typically studied within non-clinical samples with base rates too low for adequate calculations of diagnostic agreement (e.g., Lenzenweger, 1999). Differences between the SNAP-2 and DIPD concerning the stability of the categorical diagnoses could not be tested for significance, but did not appear as pronounced as for the dimensional scores. The kappa values were largely similar across the two methods, and the 95% confidence intervals overlapped for all 10 PDs. Additionally, the decreases in diagnostic rates across the 2 year interval did not appear appreciably different, at least when collapsed across the PDs. This suggests that although self-report scores are somewhat more stable, the differences are not as

detectable from a categorical viewpoint. It further indicates that many of the important CLPS findings regarding the instability of categorical diagnoses (e.g., Shea et al., 2002; Grilo et al., 2004) remain consistent regardless of the assessment method employed.

These findings have important ramifications for our understanding of the stability of PDs relative to other constructs. Although the current results echo previous findings in suggesting that the categorical PD diagnoses are not as stable as indicated in the text of *DSM-IV-TR* (APA, 2000), they do suggest that dimensional representations evince rather substantial consistency across time. In this regard, it is perhaps helpful to consider these results in the context of the stability of other constructs (e.g., Conley, 1984; Watson, 2004). For example, Reichenberg, Rieckmann, and Harvey (2005) reported that the mean rank-order stability of schizophrenia symptoms was .48 over two years and Larsen, Hartmann, and Nyborg (2008) reported a stability of .85 for general intelligence over even longer intervals. In addition, Roberts and DelVecchio's (2000) meta-analysis of personality stability indicated an overall rank-order coefficient of .64 over nearly 7 years for adults aged 30-39 years. In sum, it appears that dimensional PD scores, when assessed via the SNAP-2, are somewhat more stable than even relatively enduring symptoms of other psychiatric disorders (e.g., schizophrenia), but not as stable as intelligence, indicating the possibility of meaningful change over time. Instead, the overall stability of self-reported PD scores appears rather similar to that found for general personality traits.

An even more immediate comparison involves results reported from the CLPS sample. Previous findings from our group have suggested that PDs are less stable than general personality traits of the five-factor model (FFM), because the mean rank-order stability for the PDs and the 30 FFM facets meaningfully differed from one another (Morey et al., 2007). However, that comparison was across methods, as the PDs were assessed via interview and the

FFM via self-report. The mean rank-order stability of self-reported PD scores in the current study (.69) appears comparable to the rank-order values for the facets (.67) and domains (.74) of the FFM reported by Morey and colleagues (2007). In short, the stability of constructs depends upon many factors, including content, but also more procedural differences such as the source of the ratings.

Possible Explanations and Future Directions

There are several possible explanations for the finding that dimensional PD scores assessed by a self-report questionnaire have higher stability than those assessed by a semistructured interview. One methodological possibility is that the differences in stability values could simply reflect differences in how the instruments were used. The baseline DIPD interview provided the primary data used to determine CLPS inclusion and PD diagnostic assignment. In contrast, in the current study we selected a subsample for which SNAP-2 PD scales were not used to determine study inclusion. Thus, the finding of higher self-report stability might simply reflect that they include less systematic measurement error at baseline and thus are less prone to regression to the mean. Three of the PDs for which significant mean-level differences were noted between the interview and self-report method were those oversampled in CLPS (viz., borderline, avoidant, and obsessive-compulsive). Perhaps the greater decrease on the DIPD scores for these PDs might be partially explained by inflated baseline scores. Future research should address this question.

For example, a self-report measure could constitute the sole basis for study inclusion, and researchers could examine stability by both semistructured interview and self-report questionnaire. If the current results solely reflect the instruments' use as inclusion criteria, one would expect such a study to yield reversed results (i.e., interview more stable than self-report). This outcome, though, does not seem likely, as Lenzenweger (1999) selected participants based

on a self-report screener, and Trull and Goodwin (1993) used no inclusion measure, yet the self-report questionnaire had greater stability than the semistructured interview in both studies.

Nonetheless, future research that directly examines this possibility is crucial, as it has important implications for studying the stability of any construct that is defined by extremity above a given threshold. For example, it might ironically suggest that samples of individuals selected based on extreme scores (e.g., those who meet diagnostic criteria) would be imperfect for studying the temporal stability of that construct as it would artificially exaggerate the decrease on their dimensional scores. Were this the case, it might be preferable to obtain a community sample representative of the population and large enough to ensure an adequate representation of the low base-rate phenomena of interest.

An alternate possibility is that, rather than semistructured interviews underestimating the true stability of PDs, perhaps self-report questionnaires *overestimate* their stability. An interviewer might exercise judgment in interpreting an individual's response that increases validity and accuracy. One might speculate that self-report is more prone to finding consistency (i.e., stability) that might not be apparent to others. This consistency might not be a valid indicator of personality pathology, and future research testing this would be useful. However, given the findings of Hopwood et al. (2008) for borderline PD, it seems likely that both methods may be valid but for different aspects of PD. Perhaps descriptions using a self-report questionnaire provide greater validity for the assessment of internal, subjective experiences (e.g., disinterest in close relationships within the schizoid criteria) whereas an interviewer might provide more valid scores for directly observable characteristics that are ego-syntonic (e.g., impressionistic style of speech from histrionic PD). Future research clarifying the validity of these methods would be

helpful in creating recommendations for empirically supported assessment and diagnostic practices (e.g., Widiger & Samuel, 2005).

Additional research is needed to better understand the temporal consistency of PDs and arbitrate between the different stability values obtained for self-report questionnaire and semistructured interview in the current study and previous research. One method would be to examine the relative stability of PD scores provided by other sources. For example, PD ratings provided by a knowledgeable informant have been shown to increment self-report questionnaires (and *vice versa*) in predicting external criteria (e.g., Clifton, Turkheimer, & Oltmanns, 2005; Klonsky, Oltmanns, & Turkheimer, 2002). Thus, it would be interesting to investigate the temporal stability of informant ratings. Informant descriptions provide an alternative assessment that might be less prone to mood fluctuations and might better assess the observable, interpersonal qualities of PDs. In addition, unlike semistructured interviews, informant reports come from the same person at multiple time points. Although we know of no published research on the subject, the St. Louis Personality and Aging Network (SPAN; Oltmanns & Gleason, in press) is collecting longitudinal data that include multiple ratings by the same informant. Their stability findings will help address this question.

Additionally, although informant methodology typically relies on ratings by spouses or family members, one might collect PD descriptions from clinical informants. Clinicians could rate their patients using a validated instrument over the course of treatment. This approach is routine in other areas of psychiatry (e.g., Hamilton Rating Scale for Depression; Hamilton, 1960) and could be implemented for PDs. These ratings would likely differ from interview scores because clinicians would complete them based on their experiences with the patient over the course of treatment, rather than responses during a single, one to two-hour interview.

Finally, differences in temporal stability might reflect other distinctions between the composition of self-report questionnaires and semistructured interviews. For example, self-report inventories typically contain more items assessing each PD than do interviews. This stems from obvious practical reasons as the time (and personnel) cost per interview item is greater than for a questionnaire item. Nonetheless, multiple items assessing the nuances of a given construct may yield greater measurement precision and perhaps a superior assessment of the “core” of each construct (Sanislow et al., 2009). Yet self-report questionnaires need not always contain more items than interviews. In fact, the Personality Diagnostic Questionnaire (Hyler, 2006) only includes a single item assessing each diagnostic criterion. Notably, the PDQ-R (Hyler & Rieder, 1987) was one of the two self-report inventories Trull and Goodwin (1993) administered, and its temporal stability coefficient (.65) was somewhat lower than the other self-report questionnaire (.75) and only marginally larger than the interview (.61). Thus, future research investigating the relative stability of self-report questionnaires and semistructured interviews of equal length would be useful and might also correct for differences in internal consistency. This could be done by using existing self-report inventories that contain fewer items or developing interview measures with more items.

A further relevant point is that interviews and self-report questionnaires might also differ in item content. Many of the diagnostic criteria for the *DSM-IV* PDs are quite behaviorally specific and, consistent with this fact, so are the items on most semistructured interviews. It is possible that the items from the SNAP-2, owing to their inclusion in an instrument designed primarily to assess personality traits, might be less behaviorally specific. It would be possible to investigate such a hypothesis through a content analysis, but this is beyond the scope of the current study. If this was the case, this fact might also contribute to the SNAP-2's greater stability, as general

personality styles are likely less prone to change than are specific behavioral manifestations. This could be particularly true as individuals grow older and their life circumstances change (e.g., Tackett, Balsis, Oltmanns, & Krueger, 2009).

Limitations

The current study provides the most comprehensive look to date at the temporal stability of self-reported PD scores within a clinical sample, but it has limitations. The results for the non-study PD constructs require cautious interpretation, as the CLPS design recruited individuals diagnosed with at least one of four specific PDs: schizotypal, borderline, avoidant, and obsessive-compulsive. Although this approach offered advantages for studying these four diagnoses, it builds in comorbidity for the other PDs that complicates the potential study of a full range of personality pathology. For example, individuals with clinical diagnoses of narcissistic PD were only included in CLPS if they also had one of the four study diagnoses that was considered primary. Thus, the stability of the primary diagnoses could influence NPD stability. Nonetheless, a “pure” case of any particular PD, if it exists at all, is likely the exception rather than the rule. Rates of comorbid PD diagnoses in our study were comparable with those in other studies (e.g., Blashfield, McElroy, Pfohl, & Blum, 1994; Oldham et al., 1995; Stuart et al., 1998).

We selected the subsample for the present analyses because the SNAP-2 was not used to determine study inclusion or assign primary diagnoses. Although this likely increased the range of scores on the ten PD scales, it did not eliminate the possibility of regression to the mean affecting results. To the degree that the SNAP-2 and DIPD scales measure the same constructs, we would expect that SNAP-2 scores for the four study PDs might also be elevated at baseline. However, this would mean the current results *underestimate* the stability of PDs from self-report

questionnaires. Further, we considered only the data from baseline to two years, rather than utilizing the longer term follow-up points, in order to maximize the available sample size and ultimately statistical power to detect the differences between methods.

Finally, the stability of self-reported PDs was studied using the SNAP-2. Although this instrument contains PD scales that exhibit large convergent correlations with other self-report and interview measures of the *DSM-IV* PDs, it was primarily designed and understood as a measure of a dimensional trait model. Future research that utilizes other self-report questionnaires that were designed explicitly to assess the PDs would be useful.

Conclusions

The current study provided the first examination of the temporal stability of PD scores from a self-report questionnaire in the CLPS sample. Consistent with previous findings from this and other longitudinal studies, PD scale scores decreased significantly over time. However, the current study also indicates that dimensional scores from a semistructured interview were even less stable than scores from a self-report questionnaire. This finding was consistent with other studies presenting stability results for both assessment methods (e.g., Lenzenweger, 1999; Trull & Goodwin, 1993). Interestingly, the same trend was not observed for categorical representations, as diagnoses assigned by the two methods did not show appreciably different stability. It is not immediately clear why this is the case. However, we hypothesize that it might reflect that categorical scoring equates both methods in terms of the range of possible scores (i.e., 0 or 1). In this way, the finding that categorical diagnoses show similar stability for both methods might arbitrate between potential explanations for the differences noted for dimensional scores. Namely, the creation of categorical diagnoses obviously does not alter the item content of the two instruments or how they were used for study inclusion decisions. Thus, the fact that stability

is comparable when assessed categorically suggests that the greater range of possible scores is the most likely explanation for why the SNAP-2 exhibited greater dimensional stability. In any event, it further indicates that perhaps the black/white distinction of categorical diagnoses fail to capture important clinical information and suggests that the dimensional conceptualizations proposed for DSM-5 (DSM-5 Personality and Personality Disorders Work Group, 2010) have the potential to improve the diagnosis of personality pathology.

Taken together, the current findings generally support previous findings from CLPS and other longitudinal samples. Namely, PDs do appear less stable than *DSM-IV* indicates, when considered categorically. However, dimensional scores of the same constructs show temporal consistency that, although lower than cognitive abilities, exceeds relatively enduring psychiatric symptoms and resembles that of general personality traits, particularly when both are assessed using the same method. Specifically, the current study goes beyond previous work to suggest that the stability of the PD constructs depends at least partially on the method of assessment. It is possible that the differences observed between the self-report questionnaire and semistructured interview reflect the way each method was employed in the current study (e.g., as inclusion criteria), the perspective of the person providing the ratings, or more practical considerations such as the number of items within each measure. Future research that continues to investigate the stability, and external validity, of PD constructs assessed by various methods is highly warranted.

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Table 1

Stabilities for Dimensional Representations of the Personality Disorders

Personality Disorder	Rank-Order (<i>r</i>)				Mean-level (<i>d</i>)			
	SNAP-2	DIPD	<i>z</i>		SNAP-2	DIPD	<i>t</i>	
Paranoid	0.74	0.57	4.54	***	-0.23	-0.21	-0.96	
Schizoid	0.68	0.44	4.83	***	-0.15	-0.20	-0.42	
Schizotypal	0.71	0.70	0.22		-0.31	-0.27	-1.05	
Antisocial	0.84	0.84	0.15		-0.06	-0.09	0.35	
Borderline	0.67	0.63	1.12		-0.31	-0.43	4.24	***
Histrionic	0.70	0.45	5.07	***	-0.13	-0.35	2.56	*
Narcissistic	0.63	0.49	2.77	**	-0.11	-0.27	1.99	*
Avoidant	0.68	0.65	0.85		-0.24	-0.37	3.67	***
Dependent	0.61	0.45	3.06	***	-0.26	-0.34	0.57	
Obsessive Compulsive	0.61	0.50	2.01	*	-0.29	-0.46	5.01	***
median	0.68	0.54			-0.24	-0.31		
mean ^a	0.69	0.59			-0.21	-0.30		

Note: Values presented were computed only for those subjects with all data available at both time points ($n = 356$). SNAP-2 = Schedule for Nonadaptive and Adaptive Personality -2. DIPD = Dimensional Interview for DSM-IV Personality Disorders. ^a = scale correlations were transformed to *z* scores, averaged, and transformed back to correlations.

* = $p < .05$, ** = $p < .01$, *** = $p < .001$

Table 2

Stabilities for Categorical Representations of the Personality Disorders

Personality Disorder	Rank-Order (κ)				Mean-level (% with diagnosis)					
	SNAP-2	95% CI	DIPD	95% CI	SNAP-2			DIPD		
					baseline	2 year	Diff.	baseline	2 year	Diff.
Paranoid	0.49	[.31, .66]	0.47	[.30, .64]	8.8%	6.2%	-2.6%	8.5%	7.1%	-1.4%
Schizoid	0.44	[.27, .58]	0.17	[-.02, .50]	10.7%	8.8%	-1.9%	2.3%	0.8%	-1.5%
Schizotypal	0.42	[.26, .56]	0.58	[.42, .72]	14.1%	10.2%	-3.9%	11.6%	5.9%	-5.7%
Antisocial	0.46	[.24, .66]	0.60	[.38, .77]	5.6%	4.8%	-0.8%	6.8%	5.9%	-0.9%
Borderline	0.31	[.19, .43]	0.50	[.40, .59]	19.5%	13.0%	-6.5%	32.0%	18.4%	-13.6%
Histrionic	0.45	[.28, .60]	0.21	[-.01, .57]	8.8%	9.3%	0.5%	1.7%	0.8%	-0.9%
Narcissistic	0.36	[.12, .57]	0.12	[-.04, .32]	4.5%	4.2%	-0.3%	4.5%	3.1%	-1.4%
Avoidant	0.44	[.34, .53]	0.49	[.40, .59]	42.7%	36.4%	-6.3%	41.1%	30.2%	-10.9%
Dependent	0.24	[.10, .38]	0.27	[.06, .49]	13.3%	8.2%	-5.1%	5.4%	2.3%	-3.1%
Obsessive Compulsive	0.18	[.03, .32]	0.30	[.20, .39]	14.1%	6.2%	-7.9%	34.8%	18.6%	-16.2%
median	0.43		0.38		12.0%	8.5%	-3.3%	7.7%	5.9%	-2.3%
mean	0.38		0.37		14.2%	10.7%	-3.5%	14.9%	9.3%	-5.6%

Note: Values presented were computed only for those subjects with all data available at both time points (n = 356). SNAP-2 = Schedule for Nonadaptive and Adaptive Personality - 2; DIPD = Diagnostic Interview for DSM-IV Personality Disorders; CI = confidence interval; Diff. = difference