3-25-2007

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Kang, Seong Jun; Kocabas, Coskun; Ozel, Taner; Shim, Moonsub; Pimparkar, Ninad; Alam, Muhammad A.; Rotkin, Slava; and Rogers, John A., "High Performance Electronics Based on Dense, Perfectly Aligned Arrays of Single Walled Carbon Nanotubes" (2007). Other Nanotechnology Publications. Paper 4.
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High-performance electronics using dense, perfectly aligned arrays of single-walled carbon nanotubes

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Published online: 25 March 2007; doi:10.1038/nnano.2007.77

Single-walled carbon nanotubes (SWNTs) have many exceptional electronic properties. Realizing the full potential of SWNTs in realistic electronic systems requires a scalable approach to device and circuit integration. We report the use of dense, perfectly aligned arrays of long, perfectly linear SWNTs as an effective thin-film semiconductor suitable for integration into transistors and other classes of electronic devices. The large number of SWNTs enable excellent device-level performance characteristics and good device-to-device uniformity, even with SWNTs that are electronically heterogeneous. Measurements on p- and n-channel transistors that involve as many as ~2,100 SWNTs reveal device-level mobilities and scaled transconductances approaching ~1,000 cm² V⁻¹ s⁻¹ and ~3,000 S m⁻¹, respectively, and with current outputs of up to ~1 A in devices that use interdigitated electrodes. PMOS and CMOS logic gates and mechanically flexible transistors on plastic provide examples of devices that can be formed with this approach. Collectively, these results may represent a route to large-scale integrated nanotube electronics.

Fundamental studies of charge transport through individual SWNTs reveal remarkable room-temperature properties, including mobilities more than ten times larger than silicon, current-carrying capacities as high as 10⁹ A cm⁻² and ideal subthreshold characteristics in single-tube transistors¹⁴. The implications of these behaviours could be significant for many applications in electronics, optoelectronics, sensing and other areas⁵⁻⁸. Devices that use single SWNTs as functional elements might not, however, form a realistic basis for these technologies, due in part to their low current outputs and small active areas. More importantly, integration of single tube devices into scalable integrated circuits requires a solution to the very difficult problem of synthesizing and accurately positioning large numbers of individual, electrically homogeneous tubes with linear geometries. The use of densely packed, perfectly aligned horizontal arrays of non-overlapping linear SWNTs as an effective thin-film electronic material has the potential to avoid these problems while retaining the attractive properties of the individual tubes. The multiple, parallel transport pathways in these arrays provide large current outputs and active areas, together with statistical averaging effects that lead to small device-to-device variations in properties, even with tubes that individually have widely different transport characteristics. Although theoretical work has examined some of the anticipated electrical properties of such arrays¹⁰,¹¹, experimental results are lacking¹²⁻¹⁴, owing to difficulties associated with generating dense, large-scale, aligned SWNTs at the extremely high degrees of alignment and linearity needed to avoid percolating transport pathways, tube/tube overlap junctions, electrostatic screening effects and non-ideal electrical properties¹⁵⁻¹⁷. This paper presents high-performance p- and n-channel transistors and unipolar and complementary logic gates that use perfectly aligned arrays of long, pristine, individual SWNTs with perfectly linear geometries. The excellent properties of the devices derive directly from a complete absence, to within experimental uncertainties, of any defects in the arrays, as defined by tubes or segments of tubes that are misaligned or have nonlinear shapes. This level of perfection represents several orders of magnitude improvement over previous results¹¹,¹⁵⁻¹⁷. Analysis of measurements on these devices using rigorous models of the capacitance coupling of the arrays to the gate electrodes reveals device-level properties that approach those of pristine individual tubes. These features, together with the ability of these devices to provide both p- and n-type operation and CMOS circuit designs, and their compatibility with a range of substrates, suggest that these approaches have some promise for realistic SWNT-based electronic and optoelectronic technologies.
Figure 1 Perfectly aligned arrays of long, linear SWNTs and their implementation in thin-film-type transistors. a, SEM image of a pattern of perfectly aligned, perfectly linear SWNTs formed by CVD growth on a quartz substrate. The bright horizontal stripes correspond to the regions of iron catalyst. The inset provides a magnified view. These arrays contain ~5 SWNTs µm⁻¹. b, Schematic illustration of the layout of a type of transistor that incorporates these aligned SWNTs as the semiconductor. The device uses source (S), drain (D) and gate (G) electrodes, and a dielectric layer formed sequentially on top of the SWNTs on quartz. c, SEM image of the channel region of such a device. The distance between the source and drain electrodes defines the channel length (L). d, Output currents (I_D) measured on more than 100 two-terminal test structures using electrodes with widths, W, of 200 µm and separated by distances (that is, channel lengths, L) of 7 µm, evaluated with an applied potential, V_G, of 10 V. e, Transfer curves (I_D as a function of gate voltage, V_G) measured from transistors with L = 5, 10, 25 and 50 µm, from top to bottom, and W = 200 µm at V_S = −0.5 V. The blue lines indicate the linear regions of the transfer curves. These devices used polymer gate dielectrics, with thickness of ~1.5 µm. f, Width-normalized on and off currents (open circles and squares, respectively, left axis) and linear-regime device mobilities (solid circles, µ_{L,max} right axis) as a function of L.

FABRICATION OF NANOTUBE ARRAYS AND DEVICES

Figure 1 shows scanning electron microscope (SEM) images of representative arrays of SWNTs, SEM images and schematics of their integration into transistors, and some electrical properties. Chemical vapour deposition (CVD) on ST (stable temperature) cut quartz wafers using patterned stripes of iron catalyst and methane feed gas forms arrays of individual SWNTs with average diameters of ~1 nm, lengths of up to 300 µm, and densities (D) approaching ~10 SWNTs µm⁻³. More than 99.9% of the SWNTs lie along the [2110] direction of the quartz, to within <0.01°, with perfectly linear configurations, within the measurement resolution of an atomic force microscope¹². (Fig. 1a; see also Supplementary Information, Fig. S1). This nearly ideal layout, in particular as obtained at high D, is critically important to the device results presented here, and represents a significant improvement over previously reported results¹⁸–²⁰. The simplest method to integrate these arrays into transistors begins with photolithography to define source and drain electrodes (Ti, 1 nm/Pd, 20 nm) on the SWNT/quartz substrates in regions between the catalyst stripes. Etching SWNTs outside the channel region, spin casting a uniform epoxy gate dielectric (1.5 µm) and photolithographically defining top gate electrodes (Ti, 1 nm/Au, 20 nm) aligned to the channel regions yields arrays of electrically isolated transistors. Figure 1b,c shows a schematic illustration and image. We formed devices in this manner with channel lengths (L) between 5 and 50 µm, all with widths (W) of 200 µm. For these geometries, each device incorporates ~1,000 perfectly linear parallel SWNTs in the channel, most of which (for example, >80%, even for L = 50 µm) span the source/drain electrodes. This large number of active tubes per device provides high current outputs and good statistics for uniform reproducible properties. Figure 1d presents measurement results that show a ~10% standard deviation in source/drain currents, I_D, measured in more than 100 two-terminal test structures (source/drain voltage, V_{SD} = 10 V; L = 5 µm; W = 200 µm). We observe high yields both for growing the arrays and for building devices that incorporate them (see Supplementary Information, Figs. S1 and S2).

Figure 1e shows typical transfer characteristics measured from a set of devices. The responses indicate p-channel behaviour, consistent with observations in single-tube devices that use similar materials and designs (see Supplementary Information, Fig. S3). The large current outputs are consistent with the high channel conductance provided by the multiple tubes. These currents vary approximately linearly with the channel length, indicating diffusive transport, with ratios of the on and off currents in a range (between ~2.3 and ~5) consistent with the relative populations of metallic and semiconducting SWNTs (see Supplementary Information, Figs. S1 and S4). Resistances of the semiconducting tubes in their ‘on’ state (that is, biased to gate voltages, V_G, of ~50 V), are 36 ± 10 kΩ µm⁻¹ for L = 50 µm, where the effects of contacts are least significant. Single-tube device results, computed using reported diameter-dependent resistances¹ and diameter distributions measured from these arrays (see Supplementary Information, Fig. S1), yield a resistance of ~21 kΩ µm⁻¹. Similar calculations for the metallic tubes in these
devices yield higher and lower resistances in the low- and high-bias regimes, respectively, than the best single-tube device measurements4,21–23 (see Supplementary Information, Figs. S4–S6).

CAPACITANCE COUPLING AND MOBILITY CALCULATIONS

The low on-state resistances of the semiconducting tubes yield excellent device-level transistor properties. Figure 1f presents linear-regime device mobilities as a function of channel length, computed from the transfer curves, the physical widths of the source/drain electrodes \((W = 200 \mu m)\), and a parallel plate model for the capacitance, \(C\), according to \(\mu(V) = \frac{L}{WC\left(1/V_G\right)\partial I_D/\partial V_G}\). These mobilities are as high as \(\sim 1,100 \text{cm}^2\text{V}^{-1}\text{s}^{-1}\) for \(L > 25 \mu m\), decreasing with \(L\), likely owing to effects of contacts1,24,25 that are not considered explicitly in these calculations. The validity of this simple parallel plate model for the capacitance can be explored through measurements and calculations for devices having different values of \(D\). Figure 2a,b presents SEM images of SWNT arrays with \(D\) between \(\sim 0.2\) SWNTs \(\mu m^{-1}\) and \(\sim 5\) SWNTs \(\mu m^{-1}\), obtained by controlling the growth conditions. The responses of devices built with these arrays and with single tubes are similar to those in Fig. 1 (see Supplementary Information, Figs. S3 and S7). The influence of fringing fields and partial electrostatic screening by the tubes on the capacitance, \(C\), is important in this range of tube spacing and gate dielectric thickness10,11.

Figure 2c,d presents results of calculations that include these effects, the quantum nature of the tubes and their intrinsic capacitance, the electronic density of states, and screening. The results in Fig. 2c,d present the electron energy bands for \(D = 5\) SWNTs \(\mu m^{-1}\), where screening is dominant, and for \(D = 0.2\) SWNTs \(\mu m^{-1}\), where it is small. In the former regime, the calculated \(C\) differs, only by \(\sim 10\%\), from that determined by a simple parallel plate model. In the latter case, the capacitance coupling to each individual tube is nearly the same as that for isolated tubes (see Supplementary Information, Figs. S8 and S9).

Such models enable calculations of the average per tube mobilities, which we denote as \(\langle \mu_0 \rangle\), according to \(\langle \mu_0 \rangle = \frac{L}{WC\left(1/V_G\right)\partial I_D/\partial V_G}\), where \(C\) is the capacitance per unit length for a semiconducting tube in the array (computed using \(D\)), and \(\partial I_D/\partial V_G\) is the density of semiconducting tubes that span the channel. For \(L = 50 \mu m\) and \(D = 5\) SWNTs \(\mu m^{-1}\), \(\langle \mu_0 \rangle \approx 2,200 \pm 130 \text{cm}^2\text{V}^{-1}\text{s}^{-1}\), if we assume that approximately two out of three of the SWNTs in the channel are semiconducting and that \(\sim 80\%\) of them span the source and drain electrodes. As with the device mobility, the per tube mobility (as computed in a manner that does not account for the contacts) decreases with channel length (for example, \(\sim 570 \text{cm}^2\text{V}^{-1}\text{s}^{-1}\), \(L = 5 \mu m\)), which is qualitatively consistent with expectations based on reports on single-tube devices1,24–26. Averaging the diameter-dependent mobilities inferred from single-tube devices1, weighted by the measured distribution of tube diameters in the arrays (see Supplementary Information, Fig. S1d), yields \(\sim 3,000 \text{cm}^2\text{V}^{-1}\text{s}^{-1}\) if we assume that most of the \(3–4\) nm tubes are small bundles \((\sim 4,300 \text{cm}^2\text{V}^{-1}\text{s}^{-1}\) without this assumption). This value is only somewhat higher than the results determined from measurements on the array devices. An analysis of per tube mobilities in devices with various tube densities at \(L = 10 \mu m\), where the effects of contacts are significant, yields \(\langle \mu_0 \rangle \approx 800 \pm 100 \text{cm}^2\text{V}^{-1}\text{s}^{-1}\), with a negligible systematic dependence on \(D\). Similar mobility values are observed in single-tube devices (see Supplementary Information, Fig. S3).

HIGH-PERFORMANCE NANOTUBE ARRAY DEVICES

Although the devices of Figs. 1 and 2 have high mobilities, their on/off ratios are modest, owing to the presence of metallic tubes, and their transconductances are low, owing to the use of low-capacitance gate dielectrics. The on/off ratios can be improved by destroying the metallic tubes in a breakdown procedure that involves slowly increasing \(V_{Gp}\) while holding \(V_G\) at a large positive value (Fig. 3a; see also Supplementary Information, Fig. S10) in a manner similar to previous demonstrations on random-network and multiwalled nanotube devices15,27. To implement this procedure, the SWNT arrays were first transferred, with high yields (see Supplementary Information, Figs. S10–S12), onto a substrate of epoxy (150 nm)/SiO\(_2\) (100 nm)/Si, using an adaptation of previously reported techniques28–30. The epoxy/SiO\(_2\) bilayer and Si provided the gate dielectric and gate, respectively, in a back-gate geometry that leaves the SWNTs exposed to air to facilitate the breakdown
Figure 3  High on/off ratios, current outputs and transconductances in transistors that use aligned arrays of SWNTs as the semiconductor, on rigid and flexible substrates. a, Transfer curves from a transistor \((L = 12 \text{ \mu m}, W = 200 \text{ \mu m})\) that uses aligned arrays of SWNTs \((D = 4 \text{ SWNTs m}^{-1})\) transferred from the quartz growth substrate to a doped silicon substrate with a bilayer dielectric of epoxy (150 nm)/SiO\(_2\) (100 nm). The data correspond to measurements on the device before (open triangles) and after (open circles) an electrical breakdown process that eliminates metallic transport pathways from source to drain. This process improves the on/off ratio by a factor of more than 10,000. b, Full current/voltage characteristics of the same device, measured after breakdown, illustrating a well-behaved response. The gate voltage varies from −5 V to 5 V (top to bottom). c, Optical (inset) and SEM images of a transistor that uses interdigitated source and drain electrodes, in a bottom gate configuration with a gate dielectric of HfO\(_2\) (10 nm) on a substrate and gate of Si. The width and length of the channel are 93 mm and 200 \(\mu\)m, respectively. The box indicated by the dashed blue lines in the optical image inset delineates the region shown in the SEM image. d, Output current \(I_D\) as a function of \(V_G\) at \(V_G = −2\) V, for the device shown in c. High on currents (up to \(−1\) A) can be obtained. e, Transconductance per unit effective width \((g_m/W_{\text{eff}})\) as a function of channel length \((L)\), for devices \((D = 2 \text{ SWNTs m}^{-1})\) that use a polymer electrolyte gate (solid circles, \(V_D = −0.5\) V) and a 10-nm HfO\(_2\) (solid triangles, \(V_D = 0.5\) V) gate dielectric, respectively. f, Optical image of an array of SWNT transistors with \(D = 3 \text{ SWNTs m}^{-1}\) on a flexible plastic substrate (PET), and \(L = 27 \mu m\) and \(W = 200 \mu m\). g, Current/voltage characteristics of a typical device. The gate voltage varies from −20 V to 20 V (top to bottom). The linear-regime field-effect mobility was \(\sim 480 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}\), representing the highest p-channel mobility reported for a device on plastic. The inset shows the variation of the normalized mobility as a function of bending-induced strain, down to a radius of curvature of 0.4 cm. Beyond this degree of bending, fracture occurs due to fracture of the gate electrode.

Increasing the capacitance of the gate dielectric improves the transconductance and eliminates the hysteresis. These aspects, as implemented in devices described in the following, also increase the voltage gain by more than one order of magnitude. In addition, extremely high current outputs can be obtained in this manner, especially in devices that use interdigitated source and drain electrodes to increase \(W\). Figure 3c,d shows images and electrical responses of such a device that uses an array of SWNTs \((D = 7 \text{ SWNTs m}^{-1})\) transferred onto a substrate of HfO\(_2\) (10 nm)/Si, where the HfO\(_2\) serves as the gate dielectric and the Si provides the gate. In such a device, each SWNT in the array is active at multiple separate segments along its length, similar to related demonstrations in single-tube systems\(^\text{31}\). For this device, the output current reaches \(\sim 1\) A at \(V_D = −2\) V and \(V_D = −5\) V, as illustrated in Fig. 3d. The transconductance \((g_m)\), computed using an effective width \((W_{\text{eff}})\) defined by the summed widths of the SWNTs that are active in the device, in a manner analogous to similar analyses of single-tube devices\(^\text{31}\), can also be high in such devices. Figure 3e shows results from devices that use slightly lower tube densities \((D = 2 \text{ SWNTs m}^{-1})\), non-interdigitated electrodes and various channel lengths. The peak values of
transconductances scaled in this manner are $\sim 800 \, \text{S} \, \text{m}^{-1}$ (at $V_D = -0.5 \, \text{V}$, $L = 7 \, \mu\text{m}$) with HfO$_2$ (10 nm) dielectrics, and up to $\sim 3,000 \, \text{S} \, \text{m}^{-1}$ (at $V_D = -0.5 \, \text{V}$, $L = 5 \, \mu\text{m}$) with polymer electrolyte gating.

The transfer process used for these devices also enables integration onto unusual substrates, including flexible plastics. As an example, Fig. 3g shows an image and electrical characteristics of devices ($D = 3 \, \text{SWNTs} \, \mu\text{m}^{-1}$) on a sheet of poly(ethylene terephthalate) (180 µm), where polyimide (1.6 µm) and indium tin oxide (150 nm) provide the gate dielectric and gate, respectively, with $L = 27 \, \mu\text{m}$ and $W = 200 \, \mu\text{m}$. The linear-regime mobility, computed using a parallel plate approximation for the capacitance, is $\sim 480 \, \text{cm}^2\,\text{V}^{-1}\,\text{s}^{-1}$. The inset of Fig. 3g shows the normalized mobility as a function of bending induced strain ($\varepsilon$) for bending down to radii of curvature of 0.4 cm. At higher values of strain, the devices fail owing to fracture of the gate electrode.

Many of the exceptional electrical properties can be obtained, at once, in suitably designed devices. Figure 4 provides optical micrographs and electrical measurements of such devices, formed on quartz growth substrates in top gate geometries similar to the devices of Figs. 1 and 2, but with high-capacitance dielectrics and with split gate electrodes aligned to the transistor channel to avoid parasitic overlap capacitances for high-speed operation. The dielectric consists of a bilayer of HfO$_2$ (≈10 nm) deposited by atomic layer deposition, on top of a layer of benzocyclobutene (BCB, ≈20 nm) spin-cast on the SWNTs. Figure 4a-c shows several devices that use arrays with $D = 7 \, \text{SWNTs} \, \mu\text{m}^{-1}$. The design enables high-speed (radio frequency, RF) operation, and provides electrode pad layouts in the ground–signal–ground configuration to facilitate RF probing. The mobilities can be computed using the parallel plate model for the capacitance or using rigorous calculations similar to those for ($\mu_r$), but which also include capacitance contributions from the metallic tubes. Figure 4d shows the results, along with the average per tube mobility, from measurements on 15 devices. The high mobilities and scaling behaviours are quantitatively similar to those of the devices in Fig. 1. The on resistances of the semiconducting tubes are also similar, at $\sim 40 \, \text{k\Omega} \, \mu\text{m}^{-1}$. The current outputs are high; they scale linearly with $W$, and in an expected manner with $L$. Figure 4e,f shows these results. The high-capacitance gate dielectrics lead to transconductances scaled by $W_{eff}$ as high as $\sim 2,900 \, \text{S} \, \text{m}^{-1}$, as shown in Fig. 4g. Behaviours in the upper end of the range for all of these properties are achieved in devices with $L = 4 \, \mu\text{m}$ and $W = 600 \, \mu\text{m}$, where, for example, the mobility, scaled transconductance and current output are $400 \pm 50 \, \text{cm}^2\,\text{V}^{-1}\,\text{s}^{-1}$ (using the parallel plate capacitance, $\sim 160 \, \text{nF} \, \text{cm}^{-2}$), $\sim 3,000 \, \text{S} \, \text{m}^{-1}$ and 8 mA, respectively. The mobility is $800 \pm 100 \, \text{cm}^2\,\text{V}^{-1}\,\text{s}^{-1}$ when evaluated using the rigorous models for the capacitance ($\sim 70 \, \text{nF} \, \text{cm}^{-2}$). These devices also have a strong potential for high-speed operation. Measurements using a network analyser indicate small signal gain up to frequencies ($f_g$) approaching 0.5 GHz, evaluated without any de-embedding (see Supplementary Information, Fig. S14).

### COMPLEMENTARY DEVICES AND LOGIC GATES

As illustrated in Fig. 5a–c, coating the devices with polyethyleneimine (PEI)$^{15,33}$ enables n-channel operation, similar to observations in single-tube devices$^{33}$. These results provide straightforward means to form complementary and unipolar logic gates (inverters), and other circuit elements. Figure 5d shows a PMOS inverter that uses an SWNT-array-based p-channel transistor (response shown in Fig. 5b) as the drive and an array of SWNTs, partially processed by electrical breakdown, as the load. Combining n- and p-channel devices yields CMOS inverters, as illustrated in Fig. 5e. The gains observed in the PMOS and CMOS inverters were 2.75 and 1.8, respectively, as
measured at $V_{DD} = 5\text{ V}$ for the PMOS device and $V_{DD} = \pm 2\text{ V}$ for the CMOS device. Taken together, these and the other results presented in this paper indicate a scalable path to SWNT-based thin-film electronics, with high-performance capabilities. Initial applications might be envisioned in areas that require unusual substrates (for example plastics), such as flexible displays or conformal structural health monitors, or optical transparency, such as in heads-up displays and certain security devices. The high-performance attributes, combined with the possibility of direct integration with silicon, also create interest in the possible use of nanotube array devices with Si CMOS for enhanced operation (for example, power-handling capabilities, linearnoise or high-speed operation). The array geometry should also be useful for a range of other applications, which currently exist only in the form of single-tube demonstrations. Examples include light-emitting diodes, photodetectors, chemical sensors, nanoelectromechanical oscillators, and electrically or thermally conductive elements. These and other related systems appear promising for future study.

**METHODS**

**GROWTH OF PERFECTLY ALIGNED ARRAYS OF LONG, LINEAR SWNTs**

CVD procedures were used to grow SWNTs on ST cut quartz wafers (Hoffman), which were annealed at 900°C in air for 8 h. The first step of the growth process involved photolithography to open lines ($W = 10\mu m$ and $L = 1\mu m$) in a layer of photoresist (AZ 5214) on the quartz, followed by electron beam evaporation ($3 \times 10^{-6}\text{ torr}$; Temescal CV-8) of Fe (Kurt J. Lesker; 99.95%) to a nominal thickness of $<0.5\text{ nm}$. Lifting off the photoresist with acetone left a pattern of Fe lines. Annealing the Fe at 550°C in air formed isolated iron oxide nanoparticles with diameters near $\sim 1\text{ nm}$. The particles served as the catalytic seeds for CVD growth of the SWNTs. Purging with hydrogen at 900°C for 5 min and then introducing a flow of methane (1,900 s.c.c.m.) and hydrogen (300 s.c.c.m.) at 900°C for 1 h led to the growth of SWNTs (see Supplementary Information, Fig. S2, for the reproducibility of this growth technique).

**FABRICATION OF TOP AND BOTTOM GATE TRANSISTORS**

To make top gate transistors on the quartz growth substrates, Ti (1 nm)/Pd (20 nm) (electron beam evaporated at $3 \times 10^{-6}\text{ torr}$; Temescal CV-8) source/drain electrodes were formed by photolithography and lift-off. For device isolation, these electrodes and the channel region were covered with photoresist, the exposed tubes were removed by reactive ion etching (50 mtorr, 20 s.c.c.m. O$_2$, 30 W, 30 s). Then the resist was washed away with acetone. A spin-cast layer of a photodefinable epoxy (SU-8–2, Microchem) formed the gate dielectric. On top of this layer, we defined the gate (Ti (1 nm)/Au (20 nm)) using photolithography and lift-off. Reactive ion etching through the epoxy, using a layer of photoresist as a mask, created openings to enable probing of the source and drain electrodes (see Supplementary Information). The fabricated devices were measured using a network analyzer and calibrated using various measured properties of devices with different dielectric thicknesses and layouts. The high-performance devices of Fig. 4 and 5 were fabricated using high-speed measurements with a versatile network analyzer.

For bottom gate devices, the SWNT arrays were transferred from quartz onto other substrates. To pick up the aligned tubes, a 100-nm layer of Au was first deposited on the nanotubes/quartz by electron beam evaporation ($3 \times 10^{-6}\text{ torr}$, 0.1 nm s$^{-1}$; Temescal CV-8). On top of this Au layer, a film of polycarbonate (polymethacrylic acid, Aldrich) was spin-coated at 3,000 r.p.m. for 30 s and cured at 110°C for 2 min. Physically peeling away the polycarbonate/Au/SWNT film lifted the tubes of the quartz with nearly 100% transfer efficiency. Placing this film on the silicon substrate (SiO$_2$/Si) coated with an adhesive layer of SU-8–2 (150 nm) and then etching away the polycarbonate by reactive ion etching (50 mtorr, 20 s.c.c.m. O$_2$, 150 W, 35 min) left the Au/SWNT film on the substrate. Photolithography and etching of the Au (Au–TFA, Transene) defined the Au source and drain electrodes. In the final step of the fabrication, the SWNTs outside the channel regions were removed by reactive ion etching to isolate the devices (see Supplementary Information, Figs. S11 and S12, for SEM images that indicate the nearly 100% efficiency of this process).

**Figure 5**  
**n- and p-type SWNT array transistors, with implementation in CMOS and PMOS logic gates.** a, Transfer curves of p- and n-channel transistors that use aligned arrays of as-fabricated and PEI-coated arrays of SWNTs, respectively. All devices were processed using electrical breakdown to achieve high on/off ratios. In the case of the n-channel devices, this process was performed before PEI coating. $L = 4\mu m$, black; $L = 7\mu m$, red; $L = 12\mu m$, green; $L = 27\mu m$, blue; $V_G = -0.5\text{ V}$. b, Current/voltage response of a typical p-channel device in a regime of small $V_D$ ($V_G = -5\text{ V}$ to $-5\text{ V}$). c, Similar results from an n-channel device. ($V_G = -0.0\text{ V}$ to $5\text{ V}$). d, Transfer curve from a PMOS inverter that uses an SWNT-array transistor for the drive, and a two-terminal device with SWNT arrays for the resistive load. The gain of the PMOS inverter is 2.75. The inset provides a circuit schematic. e, Similar information for a CMOS inverter that combines $p$- and $n$-channel SWNT-array transistors. The gain of the CMOS inverter is 1.8.
FABRICATION OF TRANSISTORS WITH POLYMER ELECTROLYTE AND HfO2 GATE DIELECTRICS
To achieve high transconductances, we used high-capacitance gate dielectrics consisting of either 10-nm HfO2 or a polymer electrolyte. The electrolytes were made by directly dissolving LiClO4·3H2O in poly(ethylene oxide) (PEO, Mw = 550 kg mol⁻¹) or in polyethyleneimine (PEI, Mw = 800 kg mol⁻¹) in air at room temperature with 2:1 and 1:1 polymer to salt weight ratios, respectively. The electrolytes were injected into a polydimethylsiloxane (PDMS) fluidic channel laminated over aligned arrays of SWNTs on quartz substrates with source/drain electrodes defined according to the previously described procedures. In these devices, gate voltages were applied through a silver wire dipped in the electrolyte. The HfO2 was prepared on a doped silicon substrate by atomic layer deposition (Savannah 100, Cambridge NanoTech) using H2O and He(25%N2), (99.99%+9%, Aldrich) and a substrate temperature of 150 °C. SWNT arrays were transferred onto the HfO2 using the procedures described above, but without the adhesive layer. Photolithography and etching defined the Au electrodes for source and drain.

FABRICATION OF n-TYPE TRANSISTORS, CMOS AND PMOS LOGIC GATES
Spin-coating layers of PEI (Mw = 800, Aldrich) on the top of the nanotubes switches the operation of the transistors from unipolar p-channel to unipolar n-channel. To form these coatings, PEI was first dissolved in methanol with a volume concentration of 1:5. Spin-coating the PEI directly onto the SWNTs at 2,000 r.p.m. for 30 s created the coatings. Heating at 50 °C for 10 h gave n-channel transistors. Suitable interconnection of such devices can yield logic gates of various types. For PMOS inverters, one transistor served as a resistor load, and the other served as the drive. CMOS inverters were formed with uncoated p-channel devices and PEI-coated n-channel devices.

Received 3 November 2006; accepted 23 February 2007; published 25 March 2007.

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Acknowledgements
We thank T. Banks and K. Colravy for help with processing, using facilities at the Frederick Seitz Materials Research Laboratory. This material is based upon work supported by the National Science Foundation under grant NIRT-0403489 and the US Department of Energy, Division of Materials Sciences under Award No. DEFG02-91ER45439, through the Frederick Seitz MRL and Center for Microanalysis of Materials at the University of Illinois at Urbana-Champaign. S.J.K. acknowledges fellowship support from the Institute of Information Technology Assessment of Korea. N.P. and M.A.A. acknowledge the support from the Network for Computational Nanotechnology. Correspondence and requests for materials should be addressed to J.A.R. Supplementary information accompanies this paper on www.nature.com/naturenanotechnology.

Author contributions

Competing financial interests
The authors declare that they have no competing financial interests.

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Supplementary Information

High performance electronics using dense, perfectly aligned arrays of single walled carbon nanotubes
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Growth of SWNT arrays and fabrication of array devices
The procedures outlined in the main text lead to SWNT arrays that have extremely high levels of perfection, as determined by the degree of alignment and the degree of linearity in the shapes of the SWNTs, even at values of $D$ that approach 10 SWNT/µm. Figure S1 provides various measurements of a typical SWNT array that quantify the degree of alignment, the degree of linearity, the diameter distribution, the length distribution and the approximate ratio of metallic to semiconducting tubes. Figure S1i presents some statistical information on the extent of variation in the properties of devices formed with these arrays, using electrode geometries and fabrication procedures outlined in the part of the main text that describes Fig. 1. We performed extensive SEM analysis to examine the yields and extent of variation in the SWNT arrays grown using similar procedures on multiple substrates. Figure S2 provides representative results, illustrating the high level of reproducibility in the growth procedures. (The ‘wavy’ shapes of the catalyst lines apparent in the low magnification views result from charging during the collection of the SEM images.)

Fabrication of single tube devices
To provide further data to compare to our array devices, we fabricated single tube devices using aligned arrays of SWNT grown on quartz and then transferred to SiO$_2$(100 nm)/Si. Photolithography defined Ti (1 nm)/Pd (20 nm) source and drain electrodes onto these arrays. We then protected individual SWNTs in the array with 2 µm wide stripes of photoresist (AZ 5214) and then etched away all of the unprotected SWNTs with oxygen reactive ion etching (RIE). The photoresist was then removed with acetone and isopropyl alcohol. We collected SEM images to verify that there is only one SWNT bridging the S/D electrodes. Figure S3 provides an SEM image and measured transfer curves. The devices operate in p channel mode, consistent with observations in array devices. Also, the calculated mobility is in the same range as the average tube mobility inferred from array devices.
Measurements of dielectric constants

Metal-insulator-metal structures were used to measure the dielectric constant of the polyimide (PI) gate dielectric. We used Au top and bottom contacts defined by photolithography and lift-off. The PI was spin cast at 5000 rpm for 30 s and cured at 150 °C for 5 hours. The thickness of the PI layer was measured by surface profilometry (Sloan Dektak3 ST). To open the bottom electrode for probing, RIE etching was used to remove locally the PI. The capacitance of the resulting structure was measured using an Agilent 4288A Capacitance Meter. By using the equation

\[ C = \frac{\varepsilon \varepsilon_0}{d}, \]

dielectric constant was obtained (3.2). For the BCB/HfO2 bilayer dielectrics, we fabricated the same electrode structure to measure the capacitance. The thicknesses of the BCB (~20nm) and HfO2 (~10 nm) layers were measured by AFM (DI, Dimension 3100). The dielectric constant for the BCB inferred from these measurements is somewhat higher than values reported in the literature, due possibly to modifications induced in the BCB during the atomic layer deposition of the HfO2.

On/off ratio vs. channel length

Even though more than 99.9% of the m- and s-SWNTs are aligned, to within <0.01 degree, the on/off ratio of these devices is observed to be systematically increasing with channel length (L), as shown in Fig. S4. This is unexpected because the ratio of the number of m- and s-SWNTs (~1/3) is independent of channel length.

Below we show that the presence of significant contact resistance plays an important role in determining the on/off ratio. The resistance of an individual m-SWNT can be written as \( R_M + \rho_M L \) and the resistance of an individual s-SWNT can be written as \( R_S + \rho_S L \), where, \( R_M \) and \( R_S \) are m- and s-SWNT contact resistance per tube, respectively, while \( \rho_M \) and \( \rho_S \) are m- and s-SWNT resistance per unit length of the tube. Hence the total current through all the m-SWNTs can be written as

\[ I_m = f_M N V_D / ( R_M + \rho_M L) \]

where, \( f_M \) is fraction of m-SWNTs and \( N \) is total number of tubes in a device. A similar equation can be written for s-SWNT as well.

The on/off Ratio (S) can be written as
\[ S = \frac{I_{on}}{I_{off}} = \frac{I_s + I_m}{I_m} = 1 + \frac{I_s}{I_m} = 1 + \frac{f_s N V_D / (R_S + \rho_S L)}{f_M N V_D / (R_M + \rho_M L)} \quad (2) \]

Eq. (2) can be rearranged as,

\[ S = 1 + \left( \frac{R_M + \rho_M L}{R_S + \rho_S L} \right) \frac{f_s}{f_M} \quad (3) \]

Note that Eq. (3) can be independent of \( L \) only if \( R_M = R_S = 0 \) or \( R_M/R_S = \rho_M/\rho_S \). Both of these conditions may not be true for every given device. Considering the limiting cases,

\[ S(L \to 0) = 1 + \frac{R_M}{R_S} \frac{f_s}{f_M} \quad (4) \]

\[ S(L \to \infty) = 1 + \frac{\rho_M}{\rho_S} \frac{f_s}{f_M} \quad (5) \]

The on/off ratio will vary from limit in Eq. (4) to Eq. (5) for intermediate values of \( L \). For simplicity we assume that \( R_M, R_S \) and \( \rho_M \) are independent of \( V_g \) while \( \rho_S \) depends on \( V_g \) (this assumption needs further justifications) i.e. the on/off ratio \( S(L \to 0) \) is independent of \( V_g \) while \( S(L \to \infty) \) depends on \( V_g \) at which the on current is defined. The observation that the on/off ratio increases with \( L \) implies that \( R_M/R_S < \rho_M/\rho_S \) for given \( V_g \). Figure S4 shows measured (symbols) and curve fit (lines, using Eq. 3) on/off ratio vs. \( L \) for different values of \( V_g \). Specifically, if \( V_g \) is reduced, the s-SWNTs have lesser current (\( \rho_S \) higher) and slope of the curve decreases as expected. With this set of data, it is possible to extract parameters by curve fitting, but the accuracy of such a process in this case is limited to do the small number of data points.

**Resistance of metallic SWNTs**

Figure S5 shows the \( I_D-V_D \) plot for primarily the metallic tube contribution to the current (here \( V_G = 10 \) V so the semiconducting tubes are mostly off). The metallic tubes show lower resistivity at low bias and higher resistivity at high bias, which can be observed in most clearly for the case of the 5 \( \mu \)m channel length case.

At low bias, only acoustic phonons which have a higher mean free path (\( \lambda \sim 1.5 \) \( \mu \)m) (Ref. 1, 2) are present in metallic tubes. On the other hand at high bias, the optical phonons dominate (\( \lambda \sim 15-20 \) nm) and the resistivity increases. The transition point comes roughly when the electric field is high enough to have a voltage drop of \( \sim>160 \) mV (which is optical phonon energy) in one mean free path.

Figure S5 also shows in the plot of \( I_D \) vs. \( V_D/L \) all 4 curves lay roughly on top
of each other with the saturation point roughly at $V_D/L \sim 0.5 \text{ V} \mu\text{m}^{-1}$.

Figure S6 shows a scaling plot of the low bias resistances as a function of channel length. The zero intercept indicates small overall influence of contacts in these devices. The high and low bias resistivity values for various references including the present work are also presented.

From the scaling plot, the slope = $300 \ \Omega \mu\text{m}^{-1}$. Each of the transistors have roughly 1000 SWNTs and 1/3 of them for m-SWNTs and approximately >80% of all the tubes bridge S/D.

The resistivity can be estimated as

$$300 \times 1000 \times 1/3 \times 0.8 = 80 \text{ k}\Omega \mu\text{m}^{-1}.$$  

This value is significantly higher than the best observed values for single tubes ~6 k$\Omega$ $\mu$m$^{-1}$. The high bias resistance is somewhat lower than observations in single tubes.


**Devices with different tube densities**

We fabricated a series of devices with different tube densities, $D$. Figure S7 presents transfer curves for representative devices. Qualitatively, the responses are similar to those of the high density devices presented in the main text and in Fig. 1.

**Gate capacitance and mobility**

Mobility calculation requires knowledge of the charge density of a single SWNT in the channel and the average drift electric field: $\mu = I / (\rho E)$. We estimate the latter as $V_D/L$. The former depends on the gate voltage according to $\rho = C_t V_g$, where $C_t$ is the specific capacitance per unit length of the single tube in the array, which depends on the device geometry. We define the field-effect mobility as

$$\mu = \frac{L}{V_D C_t} \frac{dI}{dV_g}$$
for the single SNWT current channel and similarly for the effective field-effect mobility of the TFT device as

$$\mu = \frac{L}{V_d C_W W} \frac{dI}{dV_g}$$

where $C_W$ is the specific capacitance per unit area. For example $C_W = \varepsilon_o \varepsilon_{\text{ins}} / d$ is the specific capacitance (per unit area) of the plate capacitor. This definition of mobility is valid even in the presence of metallic nanotubes since the current through these tubes is independent of $V_g$. The current can be written as $I = I_M + I_s(V_g)$ and hence $dI/dV_g = dI_s(V_g)/dV_g$.

The relation between $C_W$ and $C_t$ is important for our conclusions on the TFT performance. In order to obtain the total charge density (and current) of the TFT device with $D$ tubes per unit width we have to multiply the single tube capacitance by $D$:

$$C_W = D \cdot C_t$$

As we have shown in Ref. [S. V. Rotkin, in *Applied Physics of Nanotubes*, (Ed: Avouris P.), Springer Verlag GmbH Co., KG 2005.] the SWNT capacitance has two contributions: the quantum one and the geometrical one. The former is given by the SWNT density of states: $C_Q = e^2 g_o \sim 3.2$ [S. Rosenblatt, Y. Yaish, J. Park, J. Gore, V. Sazonova, P. L. McEuen, *Nano Lett.* 2002, 2, 869. K. A. Bulashevich, S. V. Rotkin, *Jetp Lett.* 2002, 75, 205.]. The latter has been recently derived by us for an infinite array of parallel SWNTs with uniform spacing $1 / D$ in:

$$C^{-1}_{\text{array}} = \frac{1}{2 \pi \varepsilon_0 \varepsilon_s} \cdot \log \left[ \frac{\sinh(2\pi D)}{\pi RD} \right]$$

where $R$ is the SWNT radius, $t$ is the distance to the gate electrode, $\varepsilon_s$ is the dielectric constant of the surface/interface where we place the tubes. For the SWNT in the quartz/SWNT/SU-8 sandwich structure the dielectric constant $\varepsilon_s = (\varepsilon_{\text{SiO2}} + \varepsilon_{\text{SU-8}}) / 2 \sim 4$ due to the low dielectric contrast between these materials: quartz substrate ($\varepsilon_{\text{SiO2}} = 4.1$), gate dielectric (SU-8 Epoxy, $\varepsilon_{\text{SU-8}} = 3.9$), corresponding distribution of the electrostatic potential is shown in Fig. S8f. For the case of the SWNT array been transfer at the quartz or resin surface, the effective capacitance is half of the substrate capacitance $\varepsilon_s = (\varepsilon_{\text{SiO2}}/\varepsilon_{\text{SU-8}} + 1) / 2 \sim 2$ where 1 is the dielectric permittivity of the air (see Fig. S8d).
The specific capacitance per unit area for the SWNT TFT has an analytical expression:

\[ C_W = D \cdot C_t = \frac{D}{C_0^{-1} + \frac{1}{2\pi\varepsilon_0\varepsilon_s} \cdot \log \left[ \frac{\sinh(2\pi D)}{\pi RD} \right]} \]

This expression allows series expansion in a small unit-less parameter \( 1/(D_t) \) which is just the number of SWNTs in an area of the width \( t \), where the tubes are still electrostatically coupled. The tubes at the longer distances are completely screened by the gate, as shown in Fig. S8a-c. Then the specific capacitance reads as:

\[ C_W = \frac{D\varepsilon_0\varepsilon_s}{\varepsilon_0\varepsilon_s C_0^{-1} + \frac{1}{2\pi} \cdot \log \left[ \frac{\exp(2\pi D)}{2\pi RD} \right]} = \frac{D\varepsilon_0\varepsilon_s}{\varepsilon_0\varepsilon_s C_0^{-1} + \left[ tD - \frac{1}{2\pi} \log(2\pi RD) \right]} \]

where in last expression we single out terms of the order of \( 1/(D_t) \) and smaller that must be neglected for the dense array \( D >> 1/t \).

This expression allows us to estimate the TFT drain current as

\[ I_d = WDI_{SWNT} = WDCLV_s V_d L = WV_s \frac{V_d}{L} \frac{\varepsilon_0\varepsilon_s}{t} \cdot \left[ 1 + o(1/(D_t)) \right] \]

We can draw two conclusions: (1) this formula shows that the capacitance coupling of the SWNT TFT with the density higher than the inverse distance to the gate \( D > 1/t \) is almost equal to the capacitance of the solid metal plate channel of the same geometry. (2) the effective mobility of the SWNT TFT saturates at this density due to the inter-tube screening: even though we may increase the number of current channels per unit width by increasing \( D \), the overall current will be approximately constant due to lower charge density per individual channel. We note that this analysis does not consider fringing field effects due to the finite length of the TFT device which effects would result in slightly underestimated capacitance.

Figure S9 shows the capacitance of the array for different tube densities. The derivation assumes that the tube-tube distance and tube diameters are constant. Numerical values of the gate capacitance can be calculated by finite element technique. Scatter plot shows the values calculated in this way. The FEM results and the
analytical expression show very good agreement for all densities. For very low density case where $D << 2t$ analytical expression goes to single tube values. Our tube densities are typically between 1 SWNT $\mu$m$^{-1}$ to 8 SWNT $\mu$m$^{-1}$. We have built devices with different gate dielectric thickness (from 10 nm to 1.5 $\mu$m). If the gate thickness is much larger than the tube-tube distance, the array can be assumed as continuous film and the parallel plate capacitance can be used.

The capacitance of the SWNT array has a weak (log) dependence on the inter-tube distance $1/D$. We have shown that the result for the capacitance coupling is only weakly sensitive to deviations from the even spacing assumption used in the above analysis. Numerical simulation confirms that variations in $D$ in different parts of a single device do not contribute any significant correction to the capacitance value (Fig. S8g).

In the regime of $D >> 1/t$ we may also neglect the variation in the number of tubes per device. Even though the smaller number of tubes per device width means a bit smaller number of current channels it means also better capacitance coupling according to our formula above. These two effects tend to cancel each other.

**Electrical breakdown process**

One method to obtain high on/off ratios involves electrical breakdown of the metallic nanotubes. For the devices described here, this process involved sweeping the drain voltage from 0 V to negative values while holding the gate voltage at +20 V. Multiple sweeps, up to voltages of 50 V, eventually eliminated virtually all of the off state current in the devices. The current reductions tended to occur in well-defined steps of $\sim 25 \mu$A, consistent with expectation based on single tube device studies. Figure S10 summarizes some aspects of these procedures, as performed on devices that consist of $D = 4$ SWNT $\mu$m$^{-1}$, $L = 12$ $\mu$m, $W = 200$ $\mu$m on SU8 (150nm)/SiO$_2$ (100nm)/Si substrate with 100 nm Au layer source and drain electrodes.

**Transfer of the SWNT arrays**

The transfer procedures outlined in the main text provide reproducible and high yield operation. Figure S11 shows SEM images of representative SWNT arrays on their growth substrate and after transfer onto a target substrate. These images show no loss of alignment, degree of linearity after transfer. In addition, to within uncertainties associated with the imaging, >99% of the SWNTs are transferred using this procedure. Figure S12 shows a bare growth substrate after transfer, indicating the high yields associated with removal of the tubes.
Device Yields
The overall yields of the high performance devices of Fig. 4 result from the combined yields of the growth procedures and the lithographic and deposition processes used to define the electrodes and dielectric layers. The results of Fig. 4 illustrate the typical scatter in the device properties. The yields are >80%, with defects that are typically associated with the liftoff and etching procedures used to define the source, drain and gate electrodes. Figure S13 presents optical images that show two examples of this class of defect.

High frequency response
High-frequency characteristics were measured in the common-source configuration using an Agilent E5062A network analyzer and Cascade Microtech RF-1 probe station. Figure S14 shows the current gain (H21) versus frequency (f_T) for a drain bias (V_D) of 2 V and a gate bias (V_G) of 0 V. The f_T value was 420 MHz for a device with L = 4 µm and W = 300 µm.
Supplementary Figures

**Supplementary Figure S1:**  

- **a,** SEM image of an array of SWNTs showing 99.97% alignment. The inset shows the single, small segment of a misaligned tube that exists in this entire area.  
- **b,** AFM image of an array of SWNTs showing excellent parallelism.

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c, Plot of deviation in the position of a SWNT as a function of position along its length, measured relative to a perfect linear shape. To within the uncertainties of the AFM instrument, the SWNT is perfectly linear. d, Distribution of SWNT diameters measured from an array like that shown in a. e, Diameters of SWNT measured as a function of position across an array. f, Distribution of SWNT lengths in an array similar to that shown in Fig. 1a of the main text. g, Measured numbers of SWNTs that bridge the gap between source and drain electrodes spaced by some distance (i.e. the channel length). h, Distribution of radial breathing mode frequencies as measured by Raman scattering from individual tubes in an array. i, Measured on currents in transistors (TFTs) with different channel lengths (L).
Supplementary Figure S2: Growth yield for nanotube arrays. Five substrates with patterned Fe catalyst were prepared and tubes were grown on them by CVD.
Supplementary Figure S3: Scanning electron micrograph (a) and transfer curves (b) from devices built with single tubes.
Supplementary Figure S4: Experimental (symbols) and curve fitting (lines, using Eq. 3) for on/off ratio vs. L for different values of $V_g$. 
Supplementary Figure S5: Current/voltage characteristics of metallic tube contributions to device response, as a function of channel length for the case of D = 5 SWNT μm⁻¹.
Supplementary Figure S6: Scaling plot (top frame) of resistance, evaluated in the low bias regime, associated with metallic contribution to device response as a function of channel length, for the case of D = 5 SWNT μm⁻¹. Low and high bias resistances (bottom frame) evaluated in the present paper to two other references.
Supplementary Figure S7: a-d. Transfer curves measured from typical devices with $V_D = -0.5$ V ($D = 5, 1, 0.6, 0.3$ tubes $\mu$m$^{-1}$), which were used for figure 2e. The channel length and width were 10 $\mu$m and 200 $\mu$m, respectively, and 1.5 $\mu$m epoxy layer was used as a gate dielectric.
Supplementary Figure S8:  

**a-c**, Electrostatic potential distribution for the NT-array TFT embedded in the insulator with dielectric constant $\varepsilon = 3.9$, the NT radius is 0.7 nm, the insulator thickness is 1500 nm, the tube densities are 0.2, 0.4 and 2 tubes $\mu m^{-1}$ for a, b and c respectively. The spots corresponding to nanotubes are artificially large because it may not be seen at this size scale.  

**d-f**, Electrostatic potential distribution for the NT-array TFT embedded in between two layers of the insulator with the dielectric constants (d) $\varepsilon = 4.1 / 1$, (e) $\varepsilon = 3.9 / 3.9$ (same as in a above), (f) $\varepsilon = 3.9 / 4.1$, the NT radius is 0.7 nm, the insulator thickness is 1500 nm, the tube density is 0.2 tubes $\mu m^{-1}$.  

**g**, The range of a random variation of the TFT array capacitance after 1/3 of tubes (metallic
tubes) are destroyed. h, Three sample (random) distributions of the tubes left after destruction of metallic tubes. As shown in g the capacitance decreased by 14-36% for the NT-array TFT embedded in the insulator with dielectric constant $\varepsilon= 3.9$, the NT radius is 0.7 nm, the insulator thickness is 250 nm, the tube density is 4 tubes/micron. In this figure the bar scale is 1 µm.
Supplementary Figure S9: Modeling results for the capacitance between an array of conducting wires and a gate electrode, separated by a dielectric as a function of dielectric thickness.
Supplementary Figure S10:  

- **a**, Current-voltage response of a device during electrical breakdown procedures.  
- **b**, Schematic illustration of a device.  
- **c**, Calculated field effect mobility as a function of on/off ratio.  
- **d**, On and off currents as a function of on/off ratio.
**Supplementary Figure S11:** Transfer yields for nanotube arrays. The SEM images on the left show the nanotube arrays on quartz before transfer. The images on the right show the nanotube arrays on 10 nm HfO₂.
Supplementary Figure S12: SEM image of a quartz substrate after transfer nanotube arrays. There are no remaining tubes on the substrate.
Supplementary Figure S13: Optical images that indicate the sorts of imperfections that are associated with defective devices.
Supplementary Figure S14: Measurement of the small signal current gain (H21) as a function of frequency. The results indicate a cutoff frequency value of $f_T = 420$ MHz.